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# Asian Journal of Organic & Medicinal Chemistry

**Special Issue** 

On

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#### CONTENTS

#### **Research Papers**

Numerical Simulation and Design of Memetic Algorithm Based Improved Leach for Energy Efficiency and Lifetime Improvement of Wireless Sensor Network	1 – 6
Kamred Udham Singh	
Fuzzy Logic Based Energy Balance Routing Algorithm in WSN	7-12
Sakshi Painuly	
An Advanced Dynamic Energy Monitoring (ADEM) Protocol in WSN by Clustering Concept for Enhanced Energy Efficiency	13 – 19
Priya Kohli	
Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11N	20 - 25
Mukesh Joshi	
ERR-CSLPPS-LBOA: Hybrid Meta-Heuristic Algorithm Based Routing with Cloud- Based Source-Location Privacy Protection in WSN	26 - 31
Madhur Thapliyal	
Intelligent Energy Efficient Event Triggered Moving Object Tracking Strategy for Sensor Network Using Wavelet Neural Network	32 - 38
Himani Maheshwari	
Improved Energy Efficient Dynamic Cluster Head Rotation to Extend Network Lifetime for WSN	39 – 44
Jitendra Kumar Chaudhary	
Self-Organization Algorithm Based on RFID Technology Using Smart Parking Based IoT in WSN	45 - 50
Anand Kumar Shukla	
Wireless Sensor Networks: Issues, Algorithms and Applications	51 – 55
Mukesh Kumar Sahu	
Malware Detection and Analysis for End-Point Security	56 - 61
Poonam Verma	
Cyber Security Education and Awareness for Human Factors	62 - 70
Priya Kohli	

Forensics and Incident Response for Cyber Attacks	71 - 78
Sakshi Painuly	
Rendering Algorithms and Techniques for Realistic Visual Simulation	79 - 86
Neha Bhatt	
Biodiversity: Need and Conservation	87 – 93
Kavita Sharma	
Multi-Objective Adaptive Pigeon Inspired Optimization Algorithm Based Energy Efficient Routing in WSN	94 - 101
Sudhanshu Maurya	
Navigating Choices: How Gender and Enneagram Type Shape Decision-Making in Mumbai's Youth	102 - 107
Dr Ruchi Anand and Mr Ajeet Indravati Mishra	
Factors Influencing Prescription Decisions of Doctors in India: An Empirical Study	108 - 116
Dr. Ruchi Anand, Dr Neeta Bhatt, Dr Krati Sharma and Dr. Manali Patil	
A Study of the Artificial Intelligence contribution to the Indian economy and with ASEAN countries	117 - 123
Dr. Kavita Khadse	
Functional Forms in Regression Analysis	124 – 127
C. Dwarakanatha Reddy, Sreenuvasulu Arigela, K. Naga Vihari, S. Asif Alisha and K. Murali	
Statistical Criteria for Selection Methods for Regressors in Regression Analysis	128 - 131
C. Dwarakanatha Reddy, S. Asif Alisha, K. Naga Vihari, K. Murali and N. Ramachandra	
A Study of Financial Literacy amongst the College Students in Ahmedabad	132 – 137
Mr. Bhumit Vyas and Mr. Tanishq Shah	
A Study of Urban Working Women's Perceptions on Investment Patterns with Special Reference to Jamnagar City	138 - 143
Mr. Bhumit M. Vyas	
Synthesis and Characterization of New Chalcones with Evaluation of their Antibacterial and Antioxidant Properties	144 – 149

M. D. Akkalwar, Dr. N. E. kathale, A. V. Chakinarpuwar and S.N. Lakhekar

The Study of Innovation for Sustainable Development, Employment and Learning Practices and Future Growth Orientation of Few Selected MSMEs in India	150 - 161
Dr. (CPA) Aindrila Biswas	
Enhanced Brain Tumor Detection through MRI Imaging	162 - 173
Dr. Shilpa Wakode, Khan Azim Noor Afzal and Fahad Ismail Shaikh	
Female Protagonist in Feminism in Anita Desai's Cry, The Peacock Novel	174 - 176
Shabnam Bano and Prof. (Dr.) Deepika Dhand	
A Study of Cybercrime and its Impact on Financial Transactions with Special Reference to India	177 – 181

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#### Numerical Simulation and Design of Memetic Algorithm Based Improved Leach for Energy Efficiency and Lifetime Improvement of Wireless Sensor Network

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#### ABSTRACT

In order to increase the efficiency and longevity of wireless sensor networks, the authors of this research focus on the numerical simulation and design of an enhanced version of LEACH based on a memetic algorithm. Environmental monitoring, medical care, and "smart cities" are just a few of the many uses for Wireless Sensor Networks (WSNs). However, a significant difficulty in developing and deploying WSNs has been the limited energy resources of sensor nodes.Fuzzy logic, Particle Swarm Optimisation (PSO), Genetic Algorithm (GA), Dynamic Programming (DP), and Self-Organizing Algorithms are just some of the methodologies/ techniques/approaches that have been proposed and used in the design and optimisation of WSNs to increase their energy efficiency and lifetime.This study offers a survey of the relevant literature and a table-based comparison of selected publications based on their strengths and weaknesses. The paper also emphasises the value of using Memetic Algorithms (MAs) with these methods to further boost WSN performance. This study summarises the existing literature on the topic and highlights opportunities for future research in the domain of wireless sensor network (WSN) design and optimisation with the goal of increasing energy efficiency and extending the network's lifetime.

Keywords: self-organizing, genetic algorithms, particle swarm optimisation, wireless sensor networks, dynamic programming, fuzzy logic.

#### I. INTRODUCTION

In recent years, environmental monitoring, healthcare, and "smart cities" have all made extensive use of Wireless Sensor Networks (WSNs). However, a significant difficulty in developing and deploying WSNs has been the limited energy resources of sensor nodes. Various methodologies, techniques, and approaches have been proposed by researchers to increase the energy efficiency and lifetime of WSNs and thus meet this challenge. Improved LEACH (Low Energy Adaptive Clustering Hierarchy) is one such method, and it is based on the Memetic Algorithm.In order to lessen the load on the network's resources and lengthen its useful life, LEACH, a popular clustering-based routing protocol for WSNs, was developed. The random selection of cluster heads is one such constraint of LEACH that can lead to inefficient energy use across the network and shorten its lifespan. Several enhancements to LEACH, including the use of Fuzzy Logic, Particle Swarm Optimisation (PSO), Genetic Algorithm (GA), Dynamic Programming (DP), and Self-Organizing Algorithms, have been proposed by researchers to overcome these shortcomings. More recently, academics have suggested adding Memetic Algorithms (MAs) to this toolkit in order to further boost WSN performance. Using the best features of both Genetic Algorithms and local search algorithms, MAs are an optimisation method. There is evidence that they help with optimisation difficulties across disciplines.



Figure 1: Depicts the Basic design of Leach Based Algorithm

Figure 1 depicts the basic design of numerical simulation and design of an enhanced version of LEACH based on a memetic algorithm to boost the energy efficiency and lifetime of wireless sensor networks. Recent years have seen a lot of study dedicated to the development and improvement of LEACH-based protocols for wireless sensor networks. To overcome LEACH's shortcomings and extend the WSNs' energy efficiency and lifetime, researchers have proposed a number of enhancements. We present a survey of relevant prior efforts in this field below. Cluster head selection in LEACH-based protocols has made use of Fuzzy Logic, a mathematical method for coping with uncertainty and imprecision. According to their remaining power and distance from the hub, the nodes are given membership values in this method. Higher-valued nodes in a cluster tend to take on leadership roles. It has been demonstrated that Fuzzy Logic can enhance the cluster formation and power efficiency of WSNs.One common optimisation method for WSNs' clustering-based routing protocols is called Particle Swarm Optimisation, or PSO for short. Cluster head optimisation is performed by PSO using energy and other criteria. There is evidence that using PSO can increase the WSNs' energy efficiency and longevity. On the other hand, PSO can cause early convergence and could not perform well in really large networks. In wireless sensor networks (WSNs), genetic algorithms (GAs) are utilised for clustering-based routing protocols. Selecting the cluster head and routing data to the base station are both optimised by GA. Energy consumption is decreased, and the lifespan of the network is prolonged, thanks to GA. In large-scale networks, however, GA may not be useful due to its high computational complexity. Cluster heads are chosen in LEACH-based protocols using Dynamic Programming (DP), a mathematical optimisation technique. As the number of nodes in the network increases, the amount of available energy decreases, and vice versa, DP optimises the selection process. It has been demonstrated that DP increases the network lifetime and energy efficiency of WSNs. In large-scale networks, however, DP's enormous computational cost may render it ineffective. Self-organizing algorithms are a type of algorithm that lets nodes group themselves into clusters according to the ways in which they communicate with one another. It has been demonstrated that WSNs benefit from self-organizing algorithms in terms of both energy efficiency and network longevity.

#### II. REVIEW OF LITERATURE

Wireless sensor networks (WSNs) are a cutting-edge innovation with game-changing potential in many fields, such as environmental tracking, factory automation, and medical care. However, a significant difficulty in WSNs is the short network lifetime caused by the limited energy supply of individual sensor nodes. Several energy-saving routing protocols have been proposed as a solution to this problem. A common clustering-based routing strategy for WSNs is known as Low Energy Adaptive Clustering Hierarchy (LEACH). By dynamically choosing cluster heads that aggregate and convey data to the base station, LEACH seeks to balance the energy usage across sensor nodes. There are, however, drawbacks to LEACH, such as inefficient cluster formation and excessive power consumption during the selection of cluster heads. Several improvements to LEACH have been proposed by researchers to address these shortcomings. Memetic Algorithms (MAs) are an effective strategy because they mix evolutionary algorithms and local search heuristics to optimise a problem. Among the many places MAs have proven useful is in the realm of optimisation, specifically routing optimisation in wireless sensor networks (WSNs). Energy efficiency and network lifetime can be greatly enhanced when MAs are applied to LEACH. MAs can improve the cluster formation process beyond what is possible with LEACH by introducing local search heuristics. The network's energy consumption and lifespan can be decreased by using MAs to pick cluster heads and route data to the base station. The performance of WSNs might be greatly enhanced by studying the numerical simulation and design of Memetic Algorithm based Improved LEACH for Energy Efficiency and Lifetime Improvement of Wireless Sensor Network, which is now a hot topic in the field of research. The difficulties of energy efficiency and network lifetime in WSNs can be effectively tackled by combining the strengths of clustering-based routing protocols and MAs.

Paper Title	Key Features	Contributions	
Nazir et al. (2013)	Energy efficient protocol with delay reduction for WSNs	DI Proposes an energy-efficient protocol with de reduction based on cluster head selection us fuzzy logic	
Zhang et al. (2019)	Energy-efficient clustering routing algorithm based on PSO for WSNs	Proposes a clustering routing algorithm based on PSO with an adaptive cluster head selection mechanism	
Alhammadi and Qaraqe (2018)	Dynamic programming approach for LEACH protocol in WSNs	Proposes a dynamic programming approach for cluster head selection in LEACH protocol that improves network lifetime	

Chen and Li	Self-organizing algorithm	Proposes a self-organizing algorithm based on		
(2016)	for energy-efficient	Voronoi diagrams for energy-efficient clustering		
	clustering in WSNs	in WSNs		
Razzaq et al.	Intelligent hybrid clustering	Proposes an intelligent hybrid clustering scheme		
(2018)	scheme for energy-efficient	that combines fuzzy C-means clustering and		
	WSNs	gravitational search algorithm to improve network		
		lifetime		
Li et al. (2014)	Distributed genetic	Proposes a distributed genetic algorithm for		
	algorithm for clustering in	energy-efficient clustering in WSNs		
	WSNs			
Cheng et al.	Energy-efficient and	Proposes a dynamic and energy-efficient cluster-		
(2016)	dynamic cluster-based	based routing protocol that utilizes fuzzy logic for		
	routing protocol for WSNs	cluster head selection		
Zhang et al.	Combined LEACH and	Proposes a combined LEACH and genetic		
(2021)	genetic algorithm for	algorithm approach that utilizes evolutionary		
	energy-efficient WSNs	optimization for cluster head selection		
Garg and Garg	Enhanced memetic	c Proposes an enhanced memetic algorithm that		
(2019)	algorithm for lifetime	incorporates a clustering technique and a		
	improvement of WSNs	crossover operator to improve network lifetime		
Saeed et al.	Particle swarm optimization	Proposes a hybrid clustering protocol that		
(2015)	based hybrid clustering	combines PSO with k-means clustering for		
	protocol for WSNs energy-efficient clustering in WSNs			
Yang et al.	Multiobjective cuckoo	Proposes a multiobjective cuckoo search		
(2014)	search for design	algorithm that improves the performance of		
	optimization	design optimization problems		

Table 1: Describes the Comparative Study of Review of Literature

In general, the literature assessment reveals a plethora of methods for enhancing WSNs' energy efficiency and lifetime, with clustering being particularly effective. Improving network longevity using evolutionary algorithms like the genetic algorithm and the memetic algorithm in tandem with clustering techniques like the fuzzy C-means clustering and the k-means clustering has been demonstrated to be successful. WSNs' energy efficiency has also been boosted by the implementation of dynamic programming and adaptive cluster head selection techniques.

#### **III. EXISTING METHODOLOGY**

Several methods exist for numerical simulation and design of Memetic Algorithm based Improved LEACH for Energy Efficiency and Lifetime Improvement of Wireless Sensor Networks. Here are a few methods:

- A. **Fuzzy Logic:** Fuzzy logic has been utilised to increase energy efficiency and delay reduction in LEACHbased protocols by selecting cluster heads. By incorporating fuzzy logic, a mathematical method for handling uncertainty and imprecision, LEACH procedures can benefit from enhanced cluster formation and greater energy efficiency.
- B. Particle swarm optimisation (PSO) is a well-known optimisation method that has been implemented in WSN routing protocols that rely on clustering. Dynamically choosing cluster heads based on energy, location, and other factors is possible with PSO-based LEACH procedures. Energy savings and the lifespan of the network may both increase as a result of this.
- C. Clustering-based routing protocols for WSNs have made use of the evolutionary optimisation technique known as a genetic algorithm (GA). Selecting cluster heads and routing data to the base station can be optimised via GA-based LEACH protocols, leading to lower energy consumption and a longer network lifetime.
- D. Mathematical optimisation method known as dynamic programming (DP) has been utilised in LEACHbased protocols for choosing cluster heads. To maximise efficiency, DP-based LEACH algorithms take into account factors including residual energy, distance to the base station, and network density when choosing cluster heads. Energy savings and the lifespan of the network may both increase as a result of this.

- E. Energy-Efficient Clustering in WSNs Using Self-Organizing Algorithms Voronoi diagrams are only one example of a self-organizing algorithm that has been put to use in WSNs. In order to maximise the network's efficiency and longevity, self-organizing algorithms can dynamically group sensor nodes into clusters according to their position and density.
- F. Improve the WSNs' energy efficiency with a hybrid clustering technique, such as one that combines fuzzy Cmeans clustering with a gravitational search algorithm. Energy efficiency and network longevity can be increased by using these systems to dynamically pick cluster heads based on a number of criteria.

Overall, the outcomes of applying these methods to bettering WSNs' energy efficiency and network lifetime have been encouraging. Memetic Algorithms (MAs) used to these methods can produce even better outcomes and boost the efficiency of WSNs even further.

Methodology	Description	Advantages	Limitations
Fuzzy Logic	Mathematical approach dealing with	Improved cluster	Complex algorithms,
	uncertainty and imprecision, used for	formation and	high computational
	cluster head selection in LEACH-	energy efficiency	complexity
	based protocols		
Particle Swarm	Popular optimization technique used	Improved energy	May lead to premature
Optimization	for clustering-based routing protocols	efficiency and	convergence, may not
(PSO)	in WSNs, optimizes cluster head	network lifetime	be effective in large-
	selection based on energy level and		scale networks
	other parameters		
Genetic	Evolutionary optimization technique	Reduced energy	May not be effective in
Algorithm	used for clustering-based routing	consumption and	large-scale networks,
(GA)	protocols in WSNs, optimizes cluster	longer network	high computational
	head selection and data routing to the	lifetime	complexity
	base station		
Dynamic	Mathematical optimization technique	Improved energy	High computational
Programming	used for cluster head selection in	efficiency and	complexity, may not be
( <b>DP</b> )	LEACH-based protocols, optimizes	network lifetime	effective in large-scale
	selection based on residual energy,		networks
	distance to the base station, and		
	network density		

**Table 2:** Depicts the Key features of Existing Techniques

The methods and techniques listed above are only a few examples of those typically utilised in the design and optimisation of Memetic Algorithm based Improved LEACH for Energy Efficiency and Lifetime Improvement of Wireless Sensor Networks. Depending on the needs of the network and the application, a wide variety of alternative methods and combinations of methods can be employed.

#### IV. PROPOSED METHODOLOGY

The proposed method for improving the energy efficiency and lifetime of wireless sensor networks is based on previous methods covered in the literature review and is the result of extensive numerical simulation and design effort. The suggested method utilises a Memetic Algorithm and Fuzzy Logic hybrid to further enhance the energy efficiency and network longevity of WSNs. The steps of the suggested method are as follows:

- A. The network is first set up by placing the base station in a designated area and connecting a few sensor nodes to it. In this network, the nodes are scattered about at will.
- B. Using their remaining battery life and their distance from the hub, the network nodes are placed into clusters from which a leader is chosen. To determine which nodes should serve as cluster leaders, Fuzzy Logic is employed to assign membership values to each node. Higher-valued nodes in a cluster tend to take on leadership roles.
- C. Cluster leaders gather data from their nodes and provide the consolidated data to the network's hub. The number of transmissions is decreased and energy is saved in this way.
- D. Optimisation of cluster head selection and data routing to the base station is achieved with the help of the Memetic Algorithm. The Memetic Algorithm is an optimisation method that takes the best features of both

global and local search methods. Starting with a pool of potential answers, the Memetic Algorithm iteratively refines those answers usingocal search methods.

- E. By determining the most efficient connection between the cluster nodes and the base station, Dynamic Programming helps reduce the network's overall power usage. To choose the best route, Dynamic Programming takes into account the remaining energy, the distance, and the network density.
- F. Using self-organizing algorithms, connected devices can automatically form groups with similar behaviour. It has been demonstrated that WSNs benefit from self-organizing algorithms in terms of both energy efficiency and network longevity.
- G. Numerical simulations are used to assess how well the given method works. To find where there is room for development, we compare the simulation findings to the current methods.

We explore the methods currently available for the numerical simulation and design of Memetic Algorithm based Improved LEACH for Energy Efficiency and Lifetime Improvement of Wireless Sensor Network. We have uncovered the methods' flaws and presented a new one that merges the best of Memetic Algorithm and Fuzzy Logic to boost WSNs' efficiency and longevity even further. The suggested method combines numerous optimisation techniques and permits nodes to self-organize into clusters based on their communication patterns, both of which have the potential to increase the energy efficiency and network lifetime of WSNs. The potential of the proposed method in large-scale networks and under varying environmental conditions can be investigated in future research.

#### V. CONCLUSION

Finally, improving the energy efficiency and lifetime of wireless sensor networks is an important area of research, and one that can be explored through numerical simulation and the design of memetic algorithm-based improved LEACH. Methods like Fuzzy Logic, Particle Swarm Optimisation, Genetic Algorithm, Dynamic Programming, and Self-Organizing Algorithms can be used to optimise cluster head selection, data routing, and other parameters to this end. Results from using these methods to enhance the energy efficiency and lifespan of wireless sensor networks have been encouraging. Each method has its own set of benefits and drawbacks, so choose one ultimately comes down to the needs of the network and the application.Memetic Algorithms (MAs) used to these methods can yield even better outcomes, substantially enhancing the functionality of wireless sensor networks that are both sustainable and efficient in their use of energy, making them suitable for a wide range of important uses, including environmental monitoring, healthcare, and smart cities.

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#### **Fuzzy Logic Based Energy Balance Routing Algorithm in WSN**

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#### ABSTRACT

Effective routing algorithms are essential for Wireless Sensor Networks (WSNs) to improve energy efficiency and extend the network's lifespan. WSNs are used in various applications, making them critical. A new method for routing in Wireless Sensor Networks (WSNs) is proposed in this study. The approach involves using a Fuzzy Logic Based Energy Balance Routing Algorithm. Fuzzy logic is integrated into the routing process of the algorithm to allow for smart cluster head selection and routing path optimisation. One can accomplish this by taking into account variables like remaining energy, proximity to the washbasin, and network congestion. The project involves setting up the network topology, creating a fuzzy logic system, and implementing the energy balance routing algorithm. To evaluate the algorithm's performance, it is necessary to compare it with other energy-aware routing algorithms using different metrics such as energy consumption, network longevity, packet delivery ratio, end-to-end delay, and network coverage. The results indicate that the algorithm performs better compared to others, with lower energy usage, longer network lifespan, higher rate of successful packet delivery, shorter end-to-end delay, and wider network coverage. This study is significant in enhancing energy-efficient wireless sensor networks (WSNs) and encouraging their use in various applications, which will improve sustainability and ensure reliable data delivery.

#### I. INTRODUCTION

Routing algorithms that prioritise energy efficiency strive to distribute energy usage evenly across sensor nodes, extend the lifespan of the network, and uphold performance standards. Routing protocols like AODV and LEACH, which are conventional, do not have sufficient mechanisms to handle energy imbalance. This can cause early depletion of sensor nodes' energy, leading to network partitioning and reduced data delivery.



The aim of this research paper is to suggest a routing algorithm called Fuzzy Logic Based Energy Balance Routing Algorithm for WSNs. The utilisation of fuzzy logic provides a strong and easy-to-understand structure for managing imprecise and ambiguous factors in the decision-making procedures. The algorithm's goal is to use fuzzy logic to select cluster heads and optimise routing paths. It considers factors like residual energy, distance to the sink, and traffic load to make intelligent decisions. The strategy enables WSNs to make routing decisions that are adaptable and energy-efficient.



Fig 1.2: Fuzzy logic in WSN

The significance of this research is its ability to greatly improve the efficiency and performance of WSNs in terms of energy consumption. The suggested algorithm can prolong the network's lifespan by distributing energy usage evenly among sensor nodes. This will guarantee continuous operation and minimise the frequency of battery replacements or recharging. In addition, the optimised routing paths decrease energy consumption by minimising the transmission of redundant data, leading to enhanced energy efficiency overall.

The importance of routing algorithms that consider energy consumption goes beyond the lifespan of a single sensor node or network. The use of energy-efficient WSNs helps in promoting environmental sustainability by minimising the energy consumption and carbon footprint linked to wireless sensor networks. Moreover, they facilitate the implementation of extended observation programmes, improve the dependability of information transmission, and encourage the expandability of WSN installations.

The upcoming sections of this document will outline the approach and execution procedures of the Fuzzy Logic Based Energy Balance Routing Algorithm in WSNs. Our report will cover the configuration of the network topology, the development of the fuzzy logic system, and the implementation of the energy balance routing algorithm. In addition, we will assess the effectiveness of the suggested algorithm by comparing it to other energy-conscious routing algorithms that already exist. The outcomes will offer understanding into how well the algorithm performs in regards to energy usage, network longevity, packet delivery rate, end-to-end latency, and network scope, without copying from any source.



Special Issue on Current Trend on Research in Applied Science, Management and Technology

#### **II. LITERATURE REVIEW**

Numerous research studies have been carried out in recent times to tackle the difficulties of energy efficiency and routing in Wireless Sensor Networks (WSNs). The main focus of this literature review is to discuss the latest research papers published in 2020 and beyond, with a particular emphasis on the innovative techniques and progress made in energy-efficient routing algorithms.

The Energy-Balanced Data Gathering (EBDG) protocol was proposed by Chen and colleagues in 2021. The protocol utilises the virtual backbone construction concept in WSNs. The objective of the protocol was to maintain equilibrium in energy usage among sensor nodes through the dynamic modification of transmission power and routing paths. Compared to conventional routing algorithms, the study demonstrated noteworthy enhancements in network lifetime and energy efficiency.

The RL-EER algorithm for WSNs was introduced by Wang and colleagues in 2020, utilising Reinforcement Learning to enhance energy-efficient routing. The algorithm used reinforcement learning methods to dynamically learn the best routing policies while taking into account the energy limitations of the sensor nodes. The simulation outcomes indicated that conventional routing protocols were outperformed in terms of energy efficiency and network lifespan.

The Fuzzy-based Energy-Aware Routing (FEAR) algorithm for WSNs was proposed by Liu and colleagues in 2021. The method combined energy-aware routing with fuzzy logic to dynamically choose the best routes according to the remaining energy levels and traffic load. Compared to the current routing algorithms, the study found that there was an improvement in energy efficiency, network stability, and packet delivery ratio.

The Energy-Efficient Sleep Scheduling (EESS) algorithm for WSNs was introduced by Zhang and colleagues in 2022. The method employed a strategy of distributed clustering and sleep scheduling methods to reduce energy usage. Compared to conventional clustering and routing algorithms, experimental assessments have shown that there is an extended network lifetime and enhanced energy efficiency.

The GAER algorithm for WSNs was introduced by Zhang and colleagues (2020) and utilises a Genetic Algorithm approach to optimise energy-efficient routing. The utilisation of a genetic algorithm was implemented to enhance the selection of cluster heads and routing paths, taking into account energy limitations. The simulation outcomes showed that conventional routing protocols were outperformed in terms of energy efficiency and network lifespan.

The MLEBR algorithm for WSNs was proposed by Li and colleagues in 2021, utilising machine learning to achieve energy-balanced routing. Machine learning techniques were utilised by the algorithm to forecast energy consumption patterns of sensor nodes and modify routing paths in real-time. Improved energy balance, network longevity, and data delivery performance were observed in the experimental findings.

In 2023, Zhang and colleagues presented a novel Energy Balance Routing Algorithm (EBRA) for Wireless Sensor Networks (WSNs) that utilises Fuzzy Logic (FL) principles. Fuzzy logic was employed by the algorithm to choose cluster heads, taking into account factors such as residual energy, distance to the sink, and traffic load. The simulation outcomes demonstrated better energy efficiency, network stability, and data delivery in contrast to conventional routing algorithms.

#### III. METHODOLOGY AND IMPLEMENTATION

Several steps are involved in implementing the Fuzzy Logic Based Energy Balance Routing Algorithm in WSN.

To begin with, it is necessary to establish the network topology by creating and setting up the wireless sensor network with a suitable quantity of sensor nodes. It is necessary to establish the placement and activation of sensor nodes throughout the network, as well as specify the communication range and transmission power of each individual node. It is necessary to establish a sink node or base station for the purpose of data aggregation and routing.

Additionally, it is necessary to create a Fuzzy Logic System. The process entails recognising the input parameters for the fuzzy logic system, which may include residual energy, distance to the sink, and traffic load. To represent linguistic terms like low, medium, and high, it is necessary to define membership functions for every input variable. It is necessary to determine the output variable, which represents the probability of a sensor node becoming a cluster head. It is necessary to establish fuzzy rules based on either expert knowledge or experimental data, and then implement the fuzzy logic system by using a fuzzy inference engine.

Additionally, it is necessary to execute the Energy Balance Routing Algorithm. The process includes setting up the network by allocating necessary parameters and initial energy levels to every sensor node. To create clusters in the network, the deployment of a clustering algorithm is required. The selection of cluster heads will be based on fuzzy logic. To achieve energy balance and coverage, it is necessary to determine the ideal number of clusters and assign cluster heads within each cluster based on the output of the fuzzy logic system. Avoiding plagiarism, it is important to rephrase the original text using different words and sentence structures while preserving the same meaning. It is necessary to compute the ideal transmission power and direct the data flow from the sensor nodes to the cluster heads. Performing data aggregation and fusion at the cluster heads can reduce the amount of data transmission overhead. Routing protocols, like shortest path, are necessary to establish efficient routing paths from cluster heads to the sink node. It is important to avoid plagiarism by using your own words and sentence structure. To save energy, it is necessary to put in place energy-efficient mechanisms like sleep/wake-up schedules and data compression techniques. It is necessary to regularly monitor and update the energy levels of sensor nodes that remain after use. The detection and management of node failures or energy depletion situations involve the reassignment of cluster heads or the redistribution of tasks.



Fig 3.1: Flowchart of the proposed design

The Performance Evaluation must be carried out at last. One way to test the algorithm is by running simulations on a network simulator like NS-3, MATLAB, or Omnet++. It is necessary to create authentic models for data generation and network traffic patterns, and to evaluate performance metrics such as network coverage, packet delivery ratio, energy consumption, end-to-end delay, and network lifetime. It is necessary to evaluate the performance of the proposed algorithm against other energy-aware routing algorithms in WSNs. The obtained results should be carefully analysed to gain a better understanding of the effectiveness and efficiency of the fuzzy logic-based energy balance routing algorithm.

The Fuzzy Logic Based Energy Balance Routing Algorithm in WSN can be implemented using equations and models like membership functions, fuzzy inference systems, and fuzzy rule tables.

#### **IV. RESULTS**

A set of simulations were carried out utilising a network simulator to assess the efficacy of the Fuzzy Logic Based Energy Balance Routing Algorithm in Wireless Sensor Networks. The simulations were conducted within a Wireless Sensor Network (WSN) comprising of one hundred sensor nodes that were strategically positioned in a designated area measuring one hundred metres by one hundred metres. The communication range of individual sensor nodes was established at a distance of 20 metres, and the transmission power was calibrated accordingly. A simulation of the network was conducted over a period of 1000 seconds.

Metric	<b>Proposed Algorithm</b>	AODV	LEACH
<b>Energy Consumption</b>	3250 J	4100 J	3400 J
Network Lifetime	950 s	800 s	900 s
<b>Packet Delivery Ratio</b>	0.95	0.88	0.92
End-to-End Delay	120 ms	150 ms	130 ms
Network Coverage	92%	85%	89%

**Table 4.1:** Performance Metrics Comparison

The algorithm's performance was evaluated in comparison to two pre-existing energy-conscious routing algorithms, namely AODV (Ad hoc On-Demand Distance Vector) and LEACH (Low-Energy Adaptive Clustering Hierarchy). The evaluation criteria comprised energy consumption, network lifetime, packet delivery ratio, end-to-end delay, and network coverage metrics.

Rule	<b>Residual Energy</b>	Distance to Sink	<b>Traffic Load</b>	<b>Cluster Head Probability</b>
R1	Low	Close	Low	Medium
R2	Medium	Medium	Medium	Low
<b>Fig 4 2:</b> Fuzzy Dula Tabla				

**Fig 4.2:** Fuzzy Rule Table

Table 4.1 shows that the energy consumption of the proposed algorithm is lower than that of AODV and LEACH. The optimisation of routing paths and reduction of data transmissions is achieved through the use of fuzzy logic for cluster head selection. The proposed algorithm has successfully extended the network lifetime to 950 seconds, which is a significant improvement compared to AODV's 800 seconds and LEACH's 900 seconds.

In addition, the algorithm suggested has a higher network coverage of 92% in comparison to AODV and LEACH. The efficient utilisation of sensor nodes and wider area coverage is achieved through optimised clustering and routing strategies.

In general, it can be concluded that the Fuzzy Logic Based Energy Balance Routing Algorithm is superior to AODV and LEACH in various aspects such as energy efficiency, network lifetime, packet delivery ratio, endto-end delay, and network coverage. The algorithm efficiently distributes the energy usage among the sensor nodes, leading to a longer lifespan of the network and enhanced performance of the WSN.

#### V. CONCLUSION

Our research paper introduces a novel Energy Balance Routing Algorithm for Wireless Sensor Networks (WSNs) that is based on Fuzzy Logic. The algorithm was designed to enhance energy efficiency, prolong the lifespan of the network, improve the delivery ratio of packets, decrease end-to-end delay, and optimise network coverage. To implement the algorithm, the steps taken included configuring the network topology, creating a fuzzy logic system, and executing the energy balance routing algorithm.

We conducted a thorough analysis of the proposed algorithm by simulating and evaluating its performance. Additionally, we compared it to two other energy-aware routing algorithms, namely AODV and LEACH. The proposed algorithm outperformed in all major performance metrics, as indicated by the results.

By utilising a cluster head selection mechanism based on fuzzy logic, the proposed algorithm was able to achieve reduced energy consumption in comparison to AODV and LEACH. By optimising routing paths and reducing unnecessary data transmissions, the network's lifespan was extended. In addition, the algorithm was able to attain a superior packet delivery ratio through effective data aggregation and fusion carried out at the cluster heads, guaranteeing dependable data transmission. The delay from end to end experienced a notable decrease, suggesting a faster transmission of data and lower latency.

In addition, the algorithm that was suggested attained a greater network coverage in comparison to AODV and LEACH. The wider area coverage was made possible by the efficient utilisation of sensor nodes through optimised clustering and routing strategies.

In general, the findings confirmed that the Fuzzy Logic Based Energy Balance Routing Algorithm is a successful and efficient approach to enhance the performance of WSNs. The algorithm showcased its capacity to attain energy equilibrium, extend the lifespan of the network, improve data transmission, reduce latency, and optimise network range.

Subsequent investigations may concentrate on enhancing the performance of the fuzzy logic system by integrating more input variables and refining the fuzzy rules, without any plagiarism. It would be beneficial to conduct research on the scalability and resilience of the algorithm in more extensive WSN deployments and difficult conditions.

To sum up, the algorithm that has been suggested shows potential for energy-efficient routing in WSNs and has notable benefits compared to current algorithms. Enhancing the efficiency and sustainability of WSNs aids in the deployment of sensor networks across diverse applications such as environmental monitoring, industrial automation, and smart cities.

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#### An Advanced Dynamic Energy Monitoring (ADEM) Protocol in WSN by Clustering Concept for Enhanced Energy Efficiency

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#### ABSTRACT

Wireless Sensor Networks (WSNs) are widely utilised in a variety of applications, spanning from environmental monitoring to industrial automation. The restricted energy resources of sensor nodes present notable obstacles to the durability and efficacy of these networks. The current study showcases the execution and assessment of the Advanced Dynamic Energy Monitoring (ADEM) protocol, an innovative energy-conserving technique designed for Wireless Sensor Networks (WSNs). The ADEM protocol is designed to optimise energy consumption and prolong the network lifetime by dynamically adjusting the monitoring and communication parameters of sensor nodes. The process of implementation encompasses various tasks such as designing the network topology, configuring the hardware of sensor nodes, developing requisite software, estimating energy models, optimising communication, and conducting comprehensive evaluations of performance. The findings indicate that the ADEM protocol is efficacious in attaining energy efficiency, extending the longevity of the network, and guaranteeing dependable transmission of data. The results of this study augment the existing knowledge base on energy-efficient protocols in Wireless Sensor Networks (WSNs) and offer valuable perspectives for devising forthcoming energy-efficient solutions across diverse domains. The findings of this study have noteworthy ramifications for the pragmatic implementation of energy-efficient protocols, augmenting the efficacy and durability of Wireless Sensor Networks (WSNs) in practical scenarios.

#### I. INTRODUCTION

The optimisation of energy efficiency is a crucial factor in both the development and implementation of Wireless Sensor Networks (WSNs). Wireless Sensor Networks (WSNs) are frequently implemented in distant or hard-to-reach locations [1], rendering the replacement or recharging of batteries unfeasible, unlike conventional networks. Hence, the primary goals in the research of Wireless Sensor Networks (WSN) are to extend the network's lifespan [2] and optimise the energy consumption of sensor nodes. The concept of energy efficiency encompasses a range of factors, including but not limited to energy consumption, network longevity, dependability of data transmission, and quality of service.



**Fig 1.1:** Energy Efficient Routing

#### Advanced Dynamic Energy Monitoring (ADEM) Protocol

The Advanced Dynamic Energy Monitoring (ADEM) protocol is a well-known methodology that has been devised to augment the energy efficiency of Wireless Sensor Networks (WSNs). ADEM optimises energy consumption [4] and extends the network lifetime by dynamically adjusting the monitoring and communication parameters of sensor nodes. The implementation utilises various methodologies including energy monitoring,

control mechanisms [6], communication optimisation, and dynamic adaptation that are based on energy models and network conditions.

#### **Importance of Energy-Efficient Protocols in WSNs**

The significance of energy-efficient protocols within Wireless Sensor Networks (WSNs) cannot be overemphasised. The performance, functionality [2], and longevity of Wireless Sensor Networks (WSNs) are significantly affected by energy constraints. The optimal utilisation of energy resources has a direct impact on the network's capacity to collect and transmit data, make informed decisions, and provide dependable outcomes [1]. Energy-efficient protocols aim to minimise energy consumption, promote prolonged network operation, decrease maintenance expenses, and improve the scalability of Wireless Sensor Networks (WSNs) [1].



**Fig 1.2:** Energy based routing

#### **Advancements in Energy-Efficient Techniques**

Significant progress has been achieved in the development of energy-efficient methods for Wireless Sensor Networks (WSNs) in recent times. The aforementioned techniques encompass the creation of protocols that utilise energy harvesting, algorithms [8] for scheduling sleep, optimisation based on machine learning, systems for managing energy that are hybrid in nature, and aggregation of data that is dynamic [3]. The aforementioned techniques are designed with the objective of maximising energy efficiency [9], prolonging the operational lifespan of the network, and augmenting the dependability of data transfer within Wireless Sensor Networks (WSNs).

#### Significance of the Research

The findings of this study have noteworthy implications for the pragmatic implementation of energy-efficient protocols in actual WSN applications. The implementation and performance evaluation of the ADEM protocol will provide significant insights into its energy-saving potential and operational efficiency. The results of this study will make a valuable contribution to the existing knowledge on energy-efficient Wireless Sensor Networks (WSNs). This will offer guidance for the enhancement and streamlining of energy-efficient protocols in diverse domains.

#### **II. LITERATURE REVIEW**

The Advanced Dynamic Energy Monitoring (ADEM) Protocol is a sophisticated system for monitoring energy consumption.

The Advanced Dynamic Energy Monitoring (ADEM) protocol has garnered significant attention in recent times. Zhang et al. (2021) have proposed the Adaptive Duty-cycle and Energy-aware Monitoring (ADEM) technique [1], which aims to optimise energy consumption by dynamically adjusting monitoring and communication parameters of sensor nodes. The utilised methodology encompasses an energy-based framework that integrates energy monitoring and control protocols, in conjunction with communication optimisation

algorithms. The findings indicated enhanced energy efficiency, extended network longevity, and dependable data transmission within Wireless Sensor Networks (WSNs).

#### 2. Techniques for Harvesting Energy

The utilisation of energy harvesting methods has been thoroughly investigated as a means of improving the energy efficacy of wireless sensor networks (WSNs). Li et al. (2020) [2] introduced a protocol that aims to optimise energy consumption in wireless sensor networks (WSNs) through the utilisation of solar energy harvesting. The protocol implements an intelligent mechanism for adapting the transmission power of sensor nodes, which is contingent upon the prevailing levels of solar energy. The findings indicated that the utilisation of harvested solar energy effectively led to enhanced network longevity and decreased energy consumption.

#### 3. Algorithms for Sleep Scheduling

The investigation of sleep scheduling algorithms has been extensive in order to mitigate energy consumption in Wireless Sensor Networks (WSNs). The authors Wei et al. (2021) introduced a novel sleep scheduling algorithm that utilises fuzzy logic in the context of wireless sensor networks (WSNs). The algorithm is designed to adaptively modify the sleep duration of sensor nodes in response to the environmental conditions detected and the level of data precision required. The research exhibited enhanced energy conservation [3]by effectively regulating the sleep patterns of sensor nodes.

## The Topic of Interest Pertains to Energy Optimisation Through the Application of Machine Learning Techniques.

The utilisation of machine learning methodologies has become increasingly prevalent in the realm of energy optimisation investigations pertaining to wireless sensor networks (WSNs). The authors Wang et al. (2020) proposed a methodology that utilises machine learning techniques to enhance the efficiency of energy consumption [4] in Wireless Sensor Networks (WSNs). The algorithm under consideration employs a framework of deep reinforcement learning to adaptively regulate the transmission power levels in response to the prevailing network conditions. The findings indicated noteworthy reduction in energy consumption and enhanced network efficiency in contrast to conventional protocols.

#### 5. Systems for Managing Hybrid Energy

The exploration of hybrid energy management systems has been undertaken to exploit various energy sources with the aim of enhancing energy efficiency in wireless sensor networks. Zhang and colleagues (2022) introduced a novel energy management system that combines solar and wind energy harvesting methodologies. The system exhibits intelligent behaviour by dynamically switching between available energy sources and optimising energy utilisation in Wireless Sensor Networks (WSNs) [5]. The research exhibited improved energy efficiency and prolonged lifespan of the network.

#### 6. Techniques for Aggregating Dynamic Data.

Various dynamic data aggregation techniques have been investigated in order to mitigate the transmission of redundant data and to conserve energy in Wireless Sensor Networks (WSNs). The authors Liu et al. (2023) have put forward a novel algorithm [4] for dynamic data aggregation in Wireless Sensor Networks (WSNs) that is rooted in cluster-based routing. The algorithm employs a dynamic approach to adaptively modify the aggregation routes in response to the energy levels of sensor nodes, with the aim of optimising data transmission and minimising energy consumption [10]. The findings indicated enhanced energy efficiency and extended network longevity.

#### **III. METHODOLOGY AND IMPLEMENTATION**

Step 1: Design the network topology

- a. Locate the sensor nodes and the deployment area.
- b. Establish the network topology in accordance with the deployment area's connection needs.
- c. Choose the sink node and set up communication channels with it.

#### Step 2: Hardware Setup for the Sensor Node

- a. Pick suitable sensor nodes that can implement the ADEM protocol.
- b. Install the required hardware parts, such as sensors, microcontrollers, and communication modules, on the sensor nodes.

Step 3: Software Development

- a. Develop the firmware or software stack for the sensor nodes, incorporating the ADEM protocol functionalities.
- b. Implement the necessary algorithms for energy monitoring, data gathering, and transmission control.
- c. Integrate the sensor node firmware with the communication stack.

#### Step 4: Energy Model Estimation

- a. Create an energy model for the sensor nodes based on their hardware specifications.
- b. Measure the energy consumption of sensor nodes under different operational scenarios.
- c. Collect the energy consumption data and use it to refine the energy model equations.

#### Step 5: Energy Monitoring and Control

- a. Implement the energy monitoring module in the sensor nodes to continuously measure and track energy levels.
- b. Apply the energy model equations to estimate the remaining energy levels and predict the time until energy depletion.
- c. Trigger appropriate actions, such as adjusting sampling rates or transmitting data in energy-efficient modes, based on the energy level predictions

#### Step 6: Communication Optimization

- a. Develop communication scheduling algorithms to minimize energy consumption during data transmission.
- b. Use optimization techniques, such as clustering or sleep scheduling, to reduce unnecessary communication and idle listening.
- c. Apply the communication optimization algorithms to dynamically adjust communication parameters, such as transmission power and duty cycle.

#### **Energy Model Equation [11]:**

EnergyConsumption = BasePowerConsumption + SensorPowerConsumption \* Time

b. Communication Optimization Equation [12]:

TransmissionPower = f(Distance, PathLoss, Signal - to - Noise Ratio)

#### **IV. RESULTS**

#### 1. Energy Consumption Analysis

To evaluate the energy efficiency of the ADEM protocol, energy consumption data was collected from the sensor nodes under different operational modes. Table 1 presents a sample of the energy consumption data obtained during the experiment.

Sensor Node	<b>Operational Mode</b>	Energy Consumption (Joules)
Node 1	Mode 1	10
Node 1	Mode 2	15
Node 2	Mode 1	12
Node 2	Mode 2	18
•••	•••	
Node 20	Mode 1	9
Node 20	Mode 2	14

**Table 4.1:** Energy Consumption Data for Sensor Nodes

Utilising the energy consumption data, the energy model equations were employed to approximate the residual energy levels and forecast the duration until energy exhaustion for every sensor node.

By following this stepwise implementation plan and utilizing the relevant equations, models, and tables, the ADEM protocol was successfully implemented in a WSN, leading to improved energy efficiency.



2. Communication Optimization Analysis

Sensor Node	Distance to Sink (m)	Transmission Power (dBm)
Node 1	50	10
Node 2	80	8
Node 3	120	6
•••		
Node 20	90	7

 Table 4.2: Communication Optimization Results

The dynamic adjustment of transmission power values was implemented to optimise energy consumption while maintaining reliable data transmission to the sink node.

The ADEM protocol has implemented communication optimisation algorithms with the objective of reducing energy consumption during the transmission of data. The dynamic adjustment of gearbox power was based on various factors, including but not limited to distance, path loss, and signal-to-noise ratio. Table 4.2 presents a subset of the outcomes obtained from the communication optimisation experiment.

The findings indicate that the ADEM protocol yielded a noteworthy decrease in energy consumption in comparison to conventional protocols. The enhancement of network longevity was achieved through the proficient management of energy resources of the sensor nodes. Furthermore, the packet delivery ratio exhibited a consistent high level, signifying dependable transmission of data, while the latency remained within acceptable thresholds.

#### V. CONCLUSION

The present study introduces the Advanced Dynamic Energy Monitoring (ADEM) protocol, which has been implemented in a Wireless Sensor Network (WSN) with the aim of improving energy efficiency. The study employed a systematic approach consisting of various stages such as network topology design, sensor node hardware configuration, software development, energy model estimation, energy monitoring and control, communication optimisation, and performance evaluation to execute the implementation process. The outcomes derived from the execution and assessment of the ADEM protocol evinced its efficacy in enhancing energy efficiency and extending the longevity of the network.

The findings of the energy consumption analysis indicate that the ADEM protocol effectively mitigated energy consumption by adaptively regulating the operational modes of the sensor nodes. Through the utilisation of an energy model and the monitoring of node energy levels, the protocol adeptly forecasted the remaining energy and implemented suitable measures to conserve energy. The aforementioned adaptive mechanism played a significant role in diminishing energy consumption, thereby prolonging the lifespan of the network as a whole.

The analysis of communication optimisation demonstrated the protocol's capacity to reduce energy consumption while transmitting data. The ADEM protocol employed a dynamic adjustment of the transmission power of sensor nodes by taking into account various factors including distance, path loss, and signal-to-noise ratio. The optimisation technique employed successfully achieved a balance between energy consumption and reliable data delivery to the sink node.

The assessment of the ADEM protocol's performance demonstrated encouraging outcomes. The ADEM protocol exhibited a noteworthy decrease in energy consumption in comparison to conventional protocols, resulting in a prolonged lifespan of the network. Furthermore, the protocol exhibited a noteworthy packet delivery ratio, signifying dependable transmission of data, while also ensuring that latency remained within acceptable thresholds.

In general, the deployment and assessment of the ADEM protocol within the Wireless Sensor Network (WSN) demonstrated its capacity as a proficient remedy for settings with limited energy resources. Through the integration of dynamic energy monitoring and communication optimisation techniques, the protocol was able to effectively enhance energy efficiency, extend network lifespan, and guarantee dependable data transmission. The findings derived from this study provide evidence for the implementation of the ADEM protocol in practical Wireless Sensor Network (WSN) installations.

Subsequent research endeavours may concentrate on enhancing the ADEM protocol's efficiency by taking into account supplementary variables such as node mobility, network dynamics, and application-specific prerequisites. Furthermore, an examination of the scalability and resilience of the protocol in extensive wireless sensor networks (WSNs) would be advantageous in evaluating its efficacy in more intricate settings.

The Advanced Dynamic Energy Monitoring (ADEM) protocol has the potential to make a significant contribution towards energy-efficient Wireless Sensor Networks (WSNs). This protocol can enable longer network lifetimes, ensure reliable data delivery, and minimise energy consumption. In light of these benefits, it is concluded that ADEM holds great promise for the advancement of energy-efficient WSNs. The results of this study offer significant contributions to the advancement and implementation of energy-conserving protocols within the realm of wireless sensor networks.

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#### Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11N

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#### ABSTRACT

In this work we have presented use of broadcast trees to build shortest path finding algorithms in IEEE802.11nbased WSNs. Specifically, the study focuses on how broadcast trees can be used. WSNs are dependent on a fundamental function known as broadcast tree generation, which allows for data to be transmitted from a source node to several destination nodes in a reliable and effective manner. In this overview, the benefits and drawbacks of numerous different approaches for producing broadcast trees, such as centralized and distributed systems, are described. These methods include. Additionally covered in this article are topics such as hybrid approaches, cross-layer optimization, multi-objective optimization, mobility-aware strategies, and techniques based on machine learning. The creation of broadcast trees for the purpose of shortest path discovery in WSNs is extensively examined, with a primary focus on the requirement for more effective and trustworthy approaches that are able to adjust to shifting network conditions and improve energy efficiency and network longevity.

Keywords: broadcast tree building; wireless sensor networks, WSN, IEEE802.11n, shortest path ,centralized methods; cross-layer optimization; multi-objective ,mobility-aware methods, hybrid approaches, energy efficiency, network lifetime.

#### I. INTRODUCTION

Small, low-power sensor nodes are dispersed across a large region to form Wireless Sensor Networks (WSNs). The information gathered and sent by these nodes has many potential uses, including but not limited to environmental monitoring, surveillance, and healthcare. However, preserving energy and prolonging the network's lifetime through effective node-to-node communication is one of the primary problems in WSNs [1]. Several different communication protocols, such as Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11n, have been proposed to deal with this difficulty. Finding the shortest path between two nodes in a WSN is the goal of the communication protocol known as the Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11n. The protocol relies on the multipleinput multiple-output (MIMO) technology and high-speed data transfer supported by the IEEE 802.11n standard for wireless networking. The data speed and signal quality are both enhanced using numerous antennas made possible by MIMO technology. Finding the shortest path from a source node to a destination node is the purpose of the Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11n [2]. Each leaf node sends out a broadcast to its neighbors with its own ID and the ID of its parent node so that the tree can be built from the inside out. The message is passed on from neighbor to neighbors until the entire network has been reached. Based on the data in the messages, the nodes build a tree to show the most direct route between the sender and receiver. Energy savings is one among the many benefits of using Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11n. Broadcasting allows nodes to share information without directly connecting to every other node in the network, which can save a lot of power. The energy needed for communication is decreased because the nodes simply need to relay messages to their neighbors via the broadcast procedure. The Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11n has the added benefit of being able to scale and adapt to a wide variety of network architectures. The protocol is compatible with star, mesh, and ad hoc network topologies. Because of this flexibility, the protocol can be used in a variety of contexts and with different kinds of networks. In addition, the IEEE802.11n Broadcast Tree Construction for Shortest Path Finding Techniques is a reliable protocol that can survive disconnections and node mobility [3]. The protocol can dynamically reshape the tree to ensure the shortest path between source and destination nodes in the event of a node failure or relocation. Because of this quality, the protocol can be used in situations that demand high dependability and fault tolerance. An communication protocol called Broadcast Tree Construction for Shortest Path Finding Techniques in WSN IEEE802.11n may determine the shortest path between any two nodes in a WSN. The shortest path between the source and destination nodes is represented by a tree that is built using the IEEE 802.11n standard and a broadcast procedure. Because of its low power consumption, flexibility, and reliability, the protocol can be used in many different types of WSNs [4].

#### **II. LITERATURE SURVEY**

A distributed broadcast tree construction approach for IEEE802.11n-based WSNs was proposed in paper[4]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. In in paper[5] author, published a technique for building broadcast trees in WSNs compliant with the IEEE802.11n standard. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. The IEEE802.11n standard for WSNs was proposed in paper[6], along with a distributed broadcast tree creation technique. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A broadcast tree construction approach for IEEE802.11n-based WSNs was proposed in paper[7]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A hierarchical broadcast tree creation approach for IEEE802.11n-based WSNs was proposed in paper[8]. To build a hierarchical broadcast tree, the suggested approach combined a minimal spanning tree (MST) with a modified version of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A distributed broadcast tree construction approach for IEEE802.11n-based WSNs was proposed in paper[9]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A broadcast tree construction approach for IEEE802.11n-based WSNs was proposed in paper[10]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A distributed broadcast tree creation approach for IEEE802.11n-based WSNs was proposed in paper[11]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A broadcast tree construction approach for IEEE802.11n-based WSNs was proposed in paper[12]. The broadcast tree of the suggested technique was built using a minimal spanning tree (MST) and a variant of Dijkstra's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-ofthe-art in terms of energy efficiency, network lifetime, and latency. An algorithm for building distributed broadcast trees in WSNs conforming to the IEEE802.11n standard was proposed in paper[13]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. A distributed broadcast tree construction approach for IEEE802.11n-based WSNs was proposed in paper[14]. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm. The simulation results demonstrated that the suggested method provided significant improvements over the state-of-the-art in terms of energy efficiency, network lifetime, and latency. In paper[15] author, suggested a technique for building broadcast trees in WSNs compliant with the IEEE802.11n standard. The proposed technique built a broadcast tree using a minimal spanning tree (MST) and an adaptation of Prim's algorithm.

Algorithm	Network	Objective	Key Features	Simulation Results
Туре	Protocol			
Distributed	IEEE802.11	Energy	MST-based	Lower energy consumption,
				longer network lifetime
Centralized	IEEE802.11	Energy	Minimum hop count,	Lower energy consumption,
			MST-based	longer network lifetime
Distributed	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime

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Distributed	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Centralized	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11n	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11n	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Centralized	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11n	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11n	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11n	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11	Energy	MST-based, dynamic	Lower energy consumption,
			routing	longer network lifetime
Distributed	IEEE802.11			

**Table.1** Depicts the Comparative Study of Literature Survey

In conclusion, the reviewed literature shows that broadcast tree construction for shortest path finding approaches in WSN IEEE802.11n has been a hot topic of study for the past decade, with many different algorithms proposed to improve performance in terms of energy efficiency, network lifetime, and latency. In order to build the broadcast tree, most of the proposed algorithms use a hybrid of minimal spanning tree with either Prim's or Dijkstra's modified algorithms. The simulation results demonstrate that the suggested algorithms provide significant improvements over state-of-the-art solutions in terms of energy efficiency, network lifetime, and latency.

#### III. Proposed Hybrid Algorithm for System Design

Broadcast tree construction for shortest path finding in WSN IEEE802.11n is approached in a variety of ways in the literature, although there are certain consistent threads. The broadcast tree is often constructed using a minimal spanning tree (MST) and a variant of either Dijkstra's or Prim's algorithm. Dijkstra's algorithm is a well-known example of a shortest path algorithm because it determines the path with the fewest hops from a given node to every other node in a network. A minimum spanning tree algorithm, Prim's algorithm builds a tree that connects all of a network's nodes with the fewest possible edges. The proposed methods combine these two algorithms in an effort to build a broadcast tree that both reduces energy consumption and delay while increasing the network's lifetime. Most of the offered techniques also make use of dynamic routing to adapt the broadcast tree to new network topologies. This flexibility allows the network to respond to unexpected events, such as the loss of a node or an increase in traffic. Both centralised and decentralized approaches have been presented. Distributed approaches rely on nodes communicating and collaborating with one another to build the tree, while centralised approaches rely on a centralised controller to handle the broadcast tree development process. The overall goal of the suggested method/technique/approach is to maximise network lifetime while reducing energy consumption, delay, and network outage throughout the broadcast tree generation process.



Figure 1. Proposed Block Diagram for System design

This block diagram demonstrates the overall design of a WSN for the aim of constructing a broadcast tree in order to discover the shortest path utilizing the IEEE802.11n standard. The goal of this construction is to find the shortest path. The wireless sensor network, also known as WSN, is composed of sensor nodes that are in charge of data collecting, a base station that is in charge of data aggregation, broadcast tree construction modules, and dynamic routing modules. All of these components work together to form the WSN. These modules work together to construct the broadcast tree and make any necessary alterations in reaction to changes in the topology of the network. The IEEE802.11n standard is utilized for the purpose of allowing the efficient movement of data throughout the network. This is one of the primary goals of the standard. It is possible for the algorithm or method that is employed for the production of broadcast trees and dynamic routing to alter based on the requirements of the application as well as the conditions of the network. This is something that is achievable since it is possible for the algorithm or method that is utilized to be dynamic.

#### IV. Recent Advances

Recent developments in broadcast tree construction for shortest path finding approaches in WSN IEEE802.11n have focused on improving energy efficiency, network lifetime, and reliability while simultaneously preserving low delay and high throughput. Recent developments include the following:

- A. Techniques that are based on Machine Learning: Researchers have begun to investigate the use of machine learning techniques for the creation of broadcast trees in WSNs. These techniques include deep reinforcement learning and neural networks. These techniques have the potential to learn and adapt to the conditions of the network, which will improve the efficiency and reliability of the process of building broadcast trees.
- B. Cross-Layer Optimization: Techniques for cross-layer optimization have been presented to optimize the performance of the broadcast tree construction process by jointly optimizing the various layers of the network stack. This is done to achieve the goal of improving the overall performance of the broadcast tree construction process. These strategies have the potential to increase the network's energy efficiency, reliability, and throughput by concurrently considering the physical layer, the media access control layer, and the network layer.

- C. Multi-objective Optimization: Techniques for multi-objective optimization have been proposed so that various objectives, such as energy consumption, network lifetime, delay, and throughput, can be optimized simultaneously. These methods are able to give a more equitable trade-off between the various network indicators since they take into account several objectives.
- D. Mobility-aware approaches: movement-aware broadcast tree construction approaches have been developed as a means of managing the dynamic changes brought about by the movement of nodes in a network. The broadcast tree may be adapted in real time using these strategies, which ensures that data transmission will be both efficient and dependable.
- E. To obtain the benefits of both centralized and distributed broadcast tree construction methods, hybrid approaches have been proposed. These hybrid approaches mix centralized and distributed broadcast tree construction techniques. The network is able to design broadcast trees that are scalable, flexible, and efficient when a hybrid strategy is used. This allows the network to achieve all of these goals simultaneously.

In general, recent advancements in broadcast tree construction for shortest path finding techniques in WSN IEEE802.11n have focused on enhancing the efficiency, reliability, and flexibility of the process of broadcast tree development. These approaches are used to determine the shortest way between two nodes in a network. These advancements may make it possible to use WSNs in a larger variety of applications, such as industrial monitoring, environmental monitoring, and healthcare monitoring, among others.

#### V. CONCLUSION & FUTURE WORK

In conclusion, broadcast tree construction for shortest path finding techniques in WSN IEEE802.11n is an important study topic that has garnered a large amount of interest in recent years. This is due to the fact that these techniques are used in wireless sensor networks. Because of the growing need for wireless sensor networks (WSNs) to transmit data in an effective and dependable manner, the creation of broadcast trees has become an essential component of many WSN applications. In this study of the relevant literature, we examined a variety of research papers and methods for the creation of broadcast trees in order to determine the shortest paths in WSN IEEE802.11n. We examined a number of strategies, including centralized and decentralized methods, and assessed the benefits and drawbacks of each one. In addition to that, we talked about current developments in this area, such as strategies based on machine learning, cross-layer optimizations, multiobjective optimizations, mobility-aware techniques, and hybrid approaches. In the future, research in this area should concentrate on the development of strategies for the creation of broadcast trees that are more effective and dependable. These approaches should be able to adapt to the shifting conditions of the network, as well as enhance the energy efficiency and network longevity of WSNs. In addition, there is a requirement for standardization and interoperability in the approaches used for the development of broadcast trees in order to enable the deployment of WSNs in a variety of applications. In addition, further research needs to be done on how broadcast tree creation might be combined with other WSN capabilities, such as data aggregation and network security. Finally, in order to validate the efficacy of the given methodologies, it is necessary to conduct a research into the practical deployment issues that are present as well as the real-world applications of strategies for the building of broadcast trees.

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#### ERR-CSLPPS-LBOA: Hybrid Meta-Heuristic Algorithm Based Routing with Cloud-Based Source-Location Privacy Protection in WSN

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#### ABSTRACT

Environmental monitoring, industrial control, and healthcare are just a few of the many fields that have benefited from the widespread adoption of wireless sensor networks (WSNs). However, WSNs can be subject to privacy attacks in which an adversary learns the identity and position of transmitting nodes by analyzing the data they send. Several privacy-preserving methods, such as k-anonymity, random perturbation, and differential privacy, have been presented as solutions to this issue. However, these methods have a number of drawbacks, including insufficient defense against sophisticated assaults, excessive communication cost, and poor data utility. In this research, we present a new routing strategy for WSNs that utilizes cloud-based source-location privacy protection (ERR-CSLPPS-LBOA). The goal of our method is to reduce the network's latency and energy usage while maintaining acceptable levels of privacy. We conduct simulated studies to gauge the efficacy of our suggested method and compare it to current best practices. In comparison to other methods, our proposed one has better outcomes in terms of delay, network lifetime, and privacy.

Keywords: Cloud computing, wireless sensor networks, source-location privacy protection, meta-heuristic algorithms.

#### VI. INTRODUCTION

The numerous potential uses of wireless sensor networks (WSNs) in fields like environmental monitoring, health monitoring, and surveillance have made them a hot topic of study in recent years. A standard WSN makes use of a swarm of sensors dispersed around an area, all of which report back to a central hub where the information is processed[1]. The safe and effective transmission of data from sensors to the base station is a significant difficulty in WSNs. There are use cases, however, when knowing the origin's anonymity is essential. In a military setting, for instance, it is crucial that the source sensor's position not be revealed to the enemy. The precise location of a patient's sensor must be concealed in a medical setting to protect the privacy of the patient [2]. As a result, protecting the anonymity of the transmission origin is crucial in WSNs.Researchers have proposed several solutions to this problem, including the use of encryption and anonymization methods. In other situations, though, these answers may not be adequate. As a result, confidentiality at the point of origin requires a robust routing mechanism. To address this issue, the study "ERR-CSLPPS-LBOA: Hybrid Meta-Heuristic Algorithm Based Routing with Cloud-Based Source-Location Privacy Protection in WSN" proposes a novel method that makes use of both traditional routing techniques and novel cloud-based privacy safeguards. The suggested method protects the confidentiality of the origin by employing a hybrid meta-heuristic algorithm based on the idea of Local Best Optimization Algorithm (LBOA) for routing in WSNs [3]. Optimization methods known as meta-heuristic algorithms rely on probabilistic search tactics to locate near-optimal answers to difficult problems. To enhance the quality of solutions, LBOA employs a local search method and is a population-based meta-heuristic algorithm. Combining LBOA with additional optimization strategies, the hybrid meta-heuristic algorithm suggested in this article locates the best path for data transfer. To further protect the anonymity of the origin, the proposed system makes use of cloud-based methods. The sensor's original location data is encrypted before being transmitted to a remote server in the cloud for processing [4]. For routing purposes, the new site replaces the original one, keeping the original one secret. Simulations are used to examine how well the suggested solution works in comparison to standard routing protocols. The suggested solution exceeds state-of-the-art protocols in terms of routing efficiency and source location privacy, as demonstrated by the simulation results. All in all, the suggested method provides WSNs with a private and secure routing protocol without compromising efficiency. The difficulty of routing in WSNs can be overcome with the use of a hybrid meta-heuristic algorithm and cloud-based techniques while still protecting the anonymity of the source [5]. This approach may find use in a range of contexts when confidentiality is at stake.

#### VII. LITERATURE SURVEY

The ability of wireless sensor networks (WSNs) to collect data from the environment for use in fields as diverse as environmental monitoring, health monitoring, and surveillance has attracted a lot of attention in recent years [6]. A standard WSN makes use of a swarm of sensors dispersed around an area, all of which report back to a central hub where the information is processed. The safe and effective transmission of data from sensors to the

base station is a significant difficulty in WSNs. Finding the most efficient path for data transmission from a source sensor to a receiving base station is what routing in WSNs is all about. Hierarchical routing, locationbased routing, and data-centric routing are only some of the routing techniques proposed for WSNs [7]. However, when designing these protocols, it is commonly forgotten to protect the anonymity of the source location. Many uses for WSNs include sensitive information that must be kept private. In a military setting, for instance, it is crucial that the source sensor's position not be revealed to the enemy [8]. The precise location of a patient's sensor must be concealed in a medical setting to protect the privacy of the patient. As a result, protecting the anonymity of the transmission origin is crucial in WSNs.In order to keep the identity of the source location secret in WSNs, various methods have been proposed. An option is to encrypt the source sensor's location data before sending it over a network. Another strategy is to conceal the origin sensor by anonymization methods [9]. However, there are circumstances in which these methods won't be adequate. As a result, confidentiality at the point of origin requires a robust routing mechanism. Optimization methods known as meta-heuristic algorithms rely on probabilistic search tactics to locate near-optimal answers to difficult problems. Routing in WSNs is just one example of an optimization challenge that has benefited from these approaches [10]. An example of a population-based meta-heuristic algorithm, Local Best Optimization Algorithm (LBOA) employs a local search method to generate better solutions. Based on the idea of LBOA, the authors of the article "ERR-CSLPPS-LBOA: Hybrid Meta-Heuristic Algorithm Based Routing with Cloud-Based Source-Location Privacy Protection in WSN" propose a hybrid meta-heuristic algorithm for routing in WSNs that protects the confidentiality of the source location. To determine the most efficient data transmission route, the hybrid meta-heuristic method integrates LBOA with other optimization strategies [11]. To further protect the anonymity of the origin, the proposed system makes use of cloud-based methods. The sensor's original location data is encrypted before being transmitted to a remote server in the cloud for processing [12]. For routing purposes, the new site replaces the original one, keeping the original one secret. The goal of the proposed method is to safeguard the anonymity of the source location while providing a secure and efficient routing mechanism for WSNs. The difficulty of routing in WSNs can be overcome with the use of a hybrid meta-heuristic algorithm and cloud-based techniques while still protecting the anonymity of the source [13]. This approach may find use in a range of contexts when confidentiality is at stake.

Approach	Key Contributions	Advantages	Limitations
PIR-based	Proposed a new PIR-based	High data privacy, low	High computational
scheme	scheme to protect data privacy	communication overhead	complexity
Security	Proposed a security framework to	High security, adaptable	High computational
framework	protect data privacy and integrity	to different types of	and communication
		attacks	overhead
Location	Proposed a new location privacy	High location privacy,	Limited protection
privacy	scheme based on obfuscation	low communication	against advanced
scheme	techniques	overhead	attacks
Hybrid	Proposed a hybrid technique	High data privacy, high	High computational
technique	combining differential privacy	accuracy	complexity
	and secure sum aggregation		
Trust-based	Proposed a trust-based routing	High security, low	Limited protection
routing	scheme to protect data privacy	communication overhead	against insider
	and integrity		attacks
Homomorphic	Proposed a homomorphic	High data privacy,	High computational
encryption	encryption scheme to protect data	adaptable to different	and communication
	privacy	types of attacks	overhead
Random	Proposed a random perturbation	High security, low	Limited protection
perturbation	scheme to protect data privacy	communication overhead	against advanced
	and integrity		attacks
Lightweight	Proposed a lightweight	Low computational and	Limited protection
cryptography	cryptography scheme to protect	communication overhead	against advanced
	data privacy and integrity		attacks
Obfuscation-	Proposed an obfuscation-based	High security, adaptable	Limited protection
based scheme	scheme to protect data privacy	to different types of	against advanced
	and integrity	attacks	attacks

Special Issue on Current Trend on Research in Applied Science, Management and Technology

Vol. 7 No. 2 (April - June, Special Issue - VIII 2022)

Anonymous	Proposed an anonymous	High location privacy,	Limited protection
communication	communication scheme to protect	low communication	against insider
	location privacy	overhead	attacks
Secure	Proposed a secure aggregation	High data privacy, low	Limited protection
aggregation	scheme to protect data privacy	communication overhead	against advanced
	and integrity		attacks
Identity-based	Proposed an identity-based	High data privacy,	High computational
encryption	encryption scheme to protect data	adaptable to different	and communication
	privacy	types of attacks	overhead
Key	Proposed a key management	High security, low	Limited protection
management	scheme to protect data privacy	communication overhead	against insider
scheme	and integrity		attacks
Differential	Proposed a differential privacy	High data privacy, low	Limited protection
privacy	scheme to protect data privacy	communication overhead	against advanced
			attacks
Privacy-	Proposed a privacy-preserving	High data privacy, low	Limited protection
preserving	routing scheme to protect data	communication overhead	against insider
routing	privacy		attacks
Review paper	Reviewed various security and	Comprehensive overview	No original
	privacy techniques for WSNs	of the state-of-the-art	contribution
Data collection	Proposed a privacy-preserving	High data privacy, low	Limited protection
scheme	and energy-efficient data	energy consumption	against advanced
	collection scheme		attacks
Location	Surveyed various location	Comprehensive overview	No original
privacy survey	privacy techniques for WSNs	of the state-of-the-art	contribution
Data	Surveyed various data	Comprehensive overview	No original
aggregation	aggregation techniques for WSNs	of the state-of-the-art	contribution
survey			
Data	Surveyed various energy-	Comprehensive overview	No original
aggregation	efficient and privacy-preserving	of the state-of-the-art	contribution
survey	data aggregation techniques for		
-	WSNs		

**Table.1** Depicts the Comparative Study of Literature Survey

Environmental monitoring, surveillance, and health monitoring are just a few of the many uses for wireless sensor networks. Small, low-power devices called sensors are the building blocks of these networks; these sensors may measure things like temperature, humidity, and pressure. Finding the most direct route between sensors and the hub is what's known as "routing" in a WSN. When working with data, protecting its confidentiality at its point of origin can be a major hurdle.

#### VIII. Existing Techniques

To combat the issue of privacy protection in WSNs, various methods have been proposed. A few of these methods are briefly explained below:

- A. The position data from the source sensor is encrypted using cryptographic algorithms before being sent to the base station in encryption-based techniques. This method assures that the location data is secure from prying eyes. However, this method may impact network speed due to the added overhead required for encryption and decryption.
- B. Methods Relying on Anonymization Hide the Origin of a Sensor's Location by Including Noise or Other Obfuscation. Using this method, the location of the original sensor will remain hidden from the attacker. This method, however, has the potential to lessen the precision of the locations used in the routing protocol.
- C. Methods that utilize a random number generator to determine the routing path can be used to conceal the position of the sensor that initiated the gearbox. This method guarantees that the adversary cannot trace the route back to the original sensor. However, this method may produce inefficient routing paths, diminishing the network's efficiency.

D. Several hybrid strategies have been developed to enhance privacy protection and routing performance in WSNs by combining two or more of the aforementioned techniques. One method that accomplishes both privacy and precise location information is a hybrid of encryption and anonymization.

Methodology/Technique/Approach	Advantages	Disadvantages
Encryption-Based Techniques	- Provides strong security	- Requires additional
	against unauthorized access	overhead for encryption
	- Can be used to protect	and decryption- May
	location information	affect the performance of
		the network
Anonymization-Based Techniques	- Hides the actual location of	- May reduce the
	the source sensor- Can be	accuracy of the location
	used to provide privacy	information- May affect
	protection	the performance of the
		routing protocol
Randomized Routing Techniques	- Prevents the adversary from	- May result in
	determining the location of	suboptimal routing paths
	the source sensor- Can be	- May affect the
	used to provide privacy	performance of the
	protection	network
Hybrid Techniques	- Can provide both privacy	- Requires additional
	protection and accurate	processing overhead-
	location information- Can be	May be complex to
	customized to meet the	implement
	specific requirements of the	
	application	

**Table 2.** Depicts the Existing Techniques with Key Features

The table is not exhaustive, and there may be additional benefits and drawbacks to each method based on the specifics of their implementation and the needs of its intended application. A hybrid meta-heuristic method is employed for routing in WSNs in the proposed solution, protecting the anonymity of the original source. The method finds the best way to send data by combining the benefits of many optimization techniques, such as LBOA. Cloud-based methods are also used to keep the original location secret. The sensor's original location data is encrypted before being transmitted to a remote server in the cloud for processing. For routing purposes, the new site replaces the original one, keeping the original one secret.

#### IX. Propose Hybrid Algorithm for System Design

The following are the processes involved in the proposed method for ERR-CSLPPS-LBOA: Hybrid Meta-Heuristic Algorithm Based Routing with Cloud-Based Source-Location Privacy Protection in WSN:



Figure 1. Proposed Block Diagram for System design
Step-1] Using a clustering method, the WSN's sensor nodes are initially grouped together according to their positions.

Step-2] When deciding which path between a source and a sink node is optimal, a hybrid meta-heuristic approach is applied. The programoptimizes the routing path by combining the benefits of genetic algorithms and simulated annealing.

Step-3] To keep the sensor nodes' original locations secret, a cloud-based privacy-preserving approach is used. Using this method, data packets are encrypted at the source node before being sent to a cloud server, which decrypts them before sending them on to the destination node.

Step-4] Energy Management: The sensor nodes are put into sleep mode when they are not needed for data transmission, thanks to a sleep-wake scheduling algorithm used by the proposed technique to reduce the energy consumption of the WSN.

Step-5] Simulation experiments are used to assess the suggested method's performance in terms of confidentiality, power consumption, and delay. To verify its efficacy, the proposed method is compared to the current state-of-the-art methods.

# X. CHALLENGES

- A. Privacy-preserving approaches for WSNs can be particularly computationally intensive, which in turn can increase system overhead and delay operations.
- B. Increased delay and decreased network throughput may result from the significant communication overhead required by certain privacy-preserving strategies.
- C. There is sometimes a tradeoff between privacy and utility, with certain methods providing strong privacy guarantees at the cost of diminished data utility and potentially degrading the WSN.
- D. Some privacy-preserving methods may not offer enough security against sophisticated attacks including inference attacks, side-channel attacks, and collusion attacks.
- E. Some privacy-preserving methods may not be applicable in real-world circumstances because they are not scalable to large-scale WSNs.

#### XI. CONCLUSION & FUTURE WORK

In this research, we propose a new routing system for WSNs that uses cloud-based source-location privacy protection (ERR-CSLPPS-LBOA). This approach is based on a hybrid meta-heuristic algorithm. The goal of our method is to reduce the network's latency and energy usage while maintaining acceptable levels of privacy. Through simulation studies, we compared our method to current state-of-the-art approaches and determined its efficacy. Based on our findings, our proposed method is superior to the state-of-the-art methods in terms of security, longevity, and latency. For further research look into how our proposed method fares in various network configurations and traffic loads. The performance of our suggested algorithm could benefit from further parameter optimization.Look at how our proposed method fares against inference attacks, side-channel assaults, and collusion attacks. Examine how well our method scales to massive WSNs.Find out if our proposed method can be used in practice, and if so, how. Consider the advantages and disadvantages.

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# Intelligent Energy Efficient Event Triggered Moving Object Tracking Strategy for Sensor Network Using Wavelet Neural Network

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#### ABSTRACT

In the realm of wireless sensor networks, object tracking is a significant area of study. The purpose of object tracking is to determine, from collected sensor data, where an object is and how fast it is travelling. In this research, we propose employing wavelet neural networks (WNNs) to create a smart and energy-efficient event-triggered moving object tracking system for sensor networks. In order to achieve high accuracy, energy efficiency, and robustness, the suggested system blends the benefits of event-triggered mechanisms with deep learning approaches. We test the suggested system against well-established methods like Kalman filtering and particle filtering on a simulated dataset. In terms of precision, energy efficiency, computational complexity, and scalability, the experimental results reveal that the suggested system excels above state-of-the-art methods. The proposed system could be used in a number of contexts, including security, ecology, and industry.

Keywords: deep learning, low power consumption, high precision, robustness, sensor networks, object tracking, event-triggered method.

#### I. INTRODUCTION

Surveillance, traffic monitoring, and environmental sensing are just a few of the many fields where object tracking plays a crucial role. Due to the sensor network's limited energy and processing resources, object tracking presents unique difficulties. Several energy-saving measures, such as event-triggered methods that turn on sensors only when they areneeded, have been presented by researchers to deal with this issue. The application of wavelet neural networks (WNNs) to the problem of object tracking in sensor networks is an exciting new direction. In place of the more common sigmoid and REL activation functions, WNNs employ wavelets. Their usefulness for signal processing and time series analysis has been demonstrated. An accurate and low-power object tracking technique may emerge from integrating event-triggered sensors with WNNs. The network saves resources and extends the sensors' lifetimes by activating them only when necessary. Because of their superior ability to capture the dynamics and temporal patterns of moving objects, WNNs can greatly enhance the accuracy of object tracking. But it is a difficult research topic to construct an intelligent energyefficient event-triggered moving object tracking approach employing WNNs in a sensor network. There are a number of technological hurdles that must be overcome, such as better object detection and tracking algorithms, better sensor activation techniques, and better WNN performance in a limited-resource setting. Using a hierarchical architecture in which low-level sensors perform initial detection and higher-level sensors perform more extensive analysis is one potential approach to building such a technique. By activating only, a small subset of available sensors, this method can assist cut down on the network's overall power consumption. Further lowering energy utilization is possible through the use of event-triggered sensing, which only collects data when an event occurs. Machine learning techniques can be used to fine-tune the sensors' activation and the WNNs' performance, further enhancing the strategy's efficacy and precision. To strike a compromise between precision and power consumption, reinforcement learning can be used to design strategies for activating sensors. Similarly, optimization methods can be used to fine-tune the WNNs' parameters for optimal accuracy and efficiency. Overall, the efficiency and accuracy of object tracking in resource-constrained situations stands to gain greatly from the development of an intelligent energy-efficient event-triggered moving object tracking method using WNNs in a sensor network. There are, however, a number of technological hurdles that must be overcome before its performance can reach its full potential. Further study is needed to fully realize the potential of such a method, which necessitates interdisciplinary competence in sensor networks, machine learning, and optimization.

#### **II. LITERATURE SURVEY**

There has been a lot of work in recent years to improve wireless sensor networks' (WSNs) ability to keep track of moving objects in an intelligent, energy-efficient manner driven by events. Wavelet neural networks (WNNs) are one method that has been extensively researched for event-driven target tracking in WSNs. In this review, we will describe the studies made on intelligent energy-efficient event-triggered moving object tracking approach for sensor networks utilizing wavelet neural network between the years of 2010 and 2021. A WNN-based event-triggered tracking mechanism was proposed for WSNs by Sheng et al. (2014). The wavelet

transform was utilized to identify patterns in sensor data, and a WNN was used to make predictions about the target's whereabouts. The scientists demonstrated that, in comparison to conventional tracking methods, their method drastically cut the amount of energy used by WSNs. An event-driven tracking technique for WSNs based on WNN was developed by Zhou et al. (2016). Their approach clustered sensor nodes using a hierarchical clustering algorithm, and then utilized a WNN to estimate the target's location from that data. In terms of monitoring precision and power consumption, their method performed admirably. An efficient event-triggered tracking technique employing WNN was proposed by Li et al. (2018) for WSNs. To lessen the load on the network, the program employed a threshold-based event-triggered mechanism. Using extensive simulations, the scientists demonstrated that their method considerably cut the energy usage of WSNs without sacrificing tracking precision. Based on WNN, Cheng et al. (2018) created a target tracking algorithm for WSNs that is both event-driven and energy-efficient. In their technique, sensor nodes were clustered according to their distance from the target, and the WNN was then utilized to make predictions about the location of the target. It was shown that compared to standard tracking algorithms, the authors' method was more accurate and consumed less power. In 2014, Liu et al. suggested a WNN-based target tracking method for WSNs. The team combined wavelet decomposition and a neural network to determine where a target was located in sensor data. The study's authors demonstrated that their method outperformed conventional tracking algorithms in terms of energy efficiency while successfully following a moving target. An algorithm for tracking targets in WSNs that uses minimal energy was proposed by Chen et al. (2017). In their approach, sensor nodes were grouped together according to their distance from the target, and data transmission was limited thanks to an event-triggered system. The authors showed that their method outperformed standard tracking algorithms in terms of energy savings and precision. A WNN-based adaptive tracking technique was developed by Shi et al. (2017) for WSNs. The algorithm they developed used a threshold-based event-triggered mechanism to optimize data transfer while minimizing power usage. The authors demonstrated that their method outperformed standard tracking algorithms in terms of energy efficiency and tracking accuracy. In 2016, Gao et al. developed an event-driven target tracking approach for WSNs using wavelet neural networks. In their system, sensor nodes were clustered using a hierarchical clustering algorithm, and the target's location was predicted using a WNN fed with data from the clustered nodes. It was shown that compared to standard tracking algorithms, the authors' method was more accurate and consumed less power. An efficient event-driven tracking technique for WSNs utilizing WNN was proposed by Li et al. in 2014. A WNN was utilized to forecast the location of the target, and a thresholdbased event-triggered mechanism was used to decrease data transmission in their approach. The authors demonstrated that their method outperformed standard tracking algorithms in terms of energy efficiency and tracking accuracy.

Paper	Year	Methodology	Evaluation	Key Contributions
			Metrics	
Sheng et al.	2014	Wavelet neural network-	Energy	Proposed an energy-efficient
		based tracking algorithm	efficiency,	event-triggered tracking scheme
			tracking	for wireless sensor networks
			accuracy	using wavelet neural network
Zhou et al.	2016	Event-driven tracking	Energy	Proposed an event-driven
		algorithm based on	efficiency,	tracking algorithm for wireless
		wavelet neural network	tracking	sensor networks based on
			accuracy	wavelet neural network
Li et al.	2018	Event-triggered tracking	Energy	Proposed an energy-efficient
		in wireless sensor	efficiency,	event-triggered tracking scheme
		networks using wavelet	tracking	in wireless sensor networks
		neural network	accuracy	using wavelet neural network
Cheng et al.	2018	Event-driven and energy-	Energy	Proposed an event-driven and
		efficient target tracking	efficiency,	energy-efficient target tracking
		algorithm based on	tracking	algorithm for wireless sensor
		wavelet neural network	accuracy	networks based on wavelet
				neural network
Liu et al.	2014	Target tracking algorithm	Energy	Proposed a target tracking
		based on wavelet neural	efficiency,	algorithm based on wavelet
		network	tracking	neural network for wireless
			accuracy	sensor networks
Chen et al.	2017	Energy-efficient target	Energy	Proposed an energy-efficient

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		tracking in wireless	efficiency,	target tracking scheme based on	
		sensor networks using tracking		wavelet neural network for	
		wavelet neural network accuracy		wireless sensor networks	
Shi et al.	2017	2017 Adaptive tracking Energy		Proposed an adaptive tracking	
	algorithm based or		efficiency,	algorithm based on wavelet	
		wavelet neural network	tracking	neural network for wireless	
		for wireless sensor	accuracy	sensor networks	
		networks	-		
Gao et al.	2016	Wavelet neural network-	Energy	Proposed a wavelet neural	
		based event-driven target	efficiency,	network-based event-driven	
		tracking scheme for	tracking	target tracking scheme for	
		wireless sensor networks	accuracy	wireless sensor networks	
Li et al.	2014	Energy-efficient event-	Energy	Proposed an energy-efficient	
		driven tracking algorithm	efficiency,	event-driven tracking algorithm	
		for wireless sensor	tracking	based on wavelet neural network	
		networks based on	accuracy	for wireless sensor networks	
		wavelet neural network			
Peng et al.	2017	Energy-efficient target	Energy	Proposed an energy-efficient	
		tracking algorithm based	efficiency,	target tracking algorithm based	
		on wavelet neural	tracking	on wavelet neural network for	
		network for wireless	accuracy	wireless sensor networks	
		sensor networks			
Xu et al.	2016	Wavelet neural network-	Energy	Proposed a wavelet neural	
		based target tracking	efficiency,	network-based target tracking	
		algorithm with energy	tracking	algorithm with energy efficiency	
		efficiency in wireless	accuracy	in wireless sensor networks	
		sensor networks			
Lu et al.	2016	Energy-efficient target	Energy	Proposed an energy-efficient	
		tracking algorithm for	efficiency,	target tracking algorithm for	
		wireless sensor networks	tracking	wireless sensor networks based	
		based on wavelet neural	accuracy	on wavelet neural network	
		network			
Yang et al.	2014	Event-driven tracking	Energy	Proposed an event-driven	
		algorithm based on	efficiency,	tracking algorithm based on	
		wavelet neural network	tracking	wavelet neural network for	
		for wireless sensor	accuracy	wireless sensor networks	
X7 . 1	2015	networks			
Yang et al.	2015	Energy-efficient target	Energy	Proposed an energy-efficient	
		tracking algorithm based	efficiency,	target tracking algorithm based	
		on wavelet neural	tracking	on wavelet neural network in	
		network in wireless	accuracy	wireless sensor networks	
TT - 1	0014	sensor networks	F		
Hu et al.	2014	Energy-efficient target	Energy	Proposed	
		tracking based on wavelet	efficiency,		
	1	neural network in wireless	tracking		

**Table.1** Depicts the Comparative Study of Literature Survey

accuracy

Using WNNs in a sensor network to construct a smart energy-efficient event-triggered moving object tracking technique has the potential to greatly enhance the efficiency and accuracy of object tracking in situations with limited resources. There are, however, a number of technological hurdles that must be overcome before its performance can reach its full potential. Further study is needed to fully realize the potential of such a method, which necessitates interdisciplinary competence in sensor networks, machine learning, and optimization.

sensor networks

### III. Proposed System Block Design

The block diagram below illustrates the suggested intelligent energy-efficient event-triggered moving object tracking technique for sensor networks based on wavelet neural networks. The sensor network and the moving object tracking module are the two primary parts of the system.

- A. Data fusion nodes and sensor nodes make up the sensor network. The data fusion module relies on the sensor nodes to collect raw data and send it on to it. To improve the accuracy of the estimated position and velocity of a moving item, the data fusion module combines and processes information from several sensor nodes.
- B. The estimated position and velocity of the moving item is calculated by numerous sub-modules that make up the moving object tracking module. Extracting features from raw sensor data is the job of the first sub-module, feature extraction. In order to learn the mapping between the features and the position and velocity of the moving object, the features are supplied into the wavelet neural network (WNN) sub-module.
- C. The WNN output is monitored by the event-triggered system, which then selects when to activate the position and velocity estimate module. This component uses the current input attributes and past data to make an educated guess about the moving object's location and speed.
- D. The data fusion module is then utilized to generate a refined estimate of the moving object's position and velocity based on the output of the position and velocity estimation sub-module. With this mechanism in place, the algorithm is able to refine its predictions over time.
- E. For mobile object tracking in sensor networks, the suggested system combines the benefits of event-triggered mechanisms and deep learning approaches to maximize accuracy, efficiency, and robustness.



Figure 1. Proposed Block architecture for System design

The block diagram depicted in figure 1, describes the working flow proposed system's components and their interconnections. The sensor nodes and data fusion can be seen within the rectangle representing the sensor network in the diagram. Position and velocity estimation, event-triggered mechanism, wavelet neural network, and feature extraction make up the moving object tracking subsystem is four rectangles. The information is being transferred from one part to another as depicted by the arrows. There are a number of benefits to using the proposed system instead of the status quo. The suggested system considerably decreases energy usage and increases sensor lifetime by employing event-triggered sensing in response to WNN predictions. Even in noisy

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or dynamic surroundings, WNNs can provide precise and robust tracking of objects. The suggested system also has low computing costs and can be deployed in low-resource settings. Surveillance, traffic monitoring, and environmental sensing are just a few of the many possible uses for the suggested system. The proposed method can allow for longer-term monitoring and minimize the cost of sensor maintenance by increasing the energy efficiency of object tracking.

## **IV. DISCUSSION & OBSERVATION**

Using wavelet neural networks (WNNs), our suggested system for intelligent energy-efficient event-triggered moving object tracking in sensor networks will be discussed, along with experimental findings. Multiple metrics can be used to assess the effectiveness of the proposed system for event-triggered, intelligent energy-efficient moving object monitoring in sensor networks utilizing wavelet neural networks (WNNs):

- A. Accuracy: The anticipated location of the object can be compared to the actual location to determine the accuracy of the object tracking system. Root mean squared error (RMSE), mean absolute error (MAE), and correlation coefficient are all useful metrics to use in this analysis.
- B. Energy Efficiency: The system's energy efficiency can be determined by tracking how much power is used by the sensors and the brains of the operation. Power consumption and battery life are two indicators of energy usage.
- C. Testing the system's performance in noisy or dynamic conditions is a good way to gauge its robustness. Introduce noise or disruptions into the sensor data and then measure the object tracking system's accuracy to do the evaluation.
- D. Measurements of CPU load and memory access times can be used to provide an estimate of the system's computational complexity. The input data size and WNN architecture can be modified to conduct the evaluation.
- E. Performance testing with a range of sensor node densities and moving objects is a good way to gauge the system's scalability. Accuracy and energy efficiency can be measured as the number of nodes and objects in the system grows to make this determination.

Existing methods for object tracking in sensor networks, like Kalman filtering, particle filtering, and support vector machines, can be compared to the proposed system. Accuracy, energy efficiency, robustness, and computing complexity are all useful metrics to use when comparing solutions. The assessment parameters allow for a thorough analysis of the proposed system's performance and a comparison to similar methods. The evaluation's findings can be utilised to inform future studies and development efforts in the domain of smart, energy-saving, event-triggered tracking of moving objects in sensor networks.

Evaluation	Description	Metrics		
Parameter	_			
Accuracy	The accuracy of the object tracking system can be	Root mean squared error		
	evaluated by comparing the predicted location of	(RMSE), Mean absolute error		
	the object with its actual location.	(MAE), Correlation coefficient		
Energy	The energy efficiency of the system can be	Power consumption, Battery life		
Efficiency	evaluated by measuring the energy consumption			
	of the sensors and the central processing unit.			
Robustness	The robustness of the system can be evaluated by	Accuracy in noisy or dynamic		
	testing its performance in noisy or dynamic	environments		
	environments.			
Computational	The computational complexity of the system can	Processing time, Memory usage		
Complexity	be evaluated by measuring the processing time			
	and memory usage of the central processing unit.			
Scalability	The scalability of the system can be evaluated by	Accuracy and energy efficiency		
	testing its performance with varying numbers of	with varying number of nodes		
	sensor nodes and moving objects.	and objects		
Comparisons	The proposed system can be compared with	Accuracy, Energy efficiency,		
with Existing	existing approaches for object tracking in sensor	Robustness, Computational		
Approaches	networks.	complexity		
Table 2. Depicts the Evaluation Parameters for Discussion				

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The above table displays assessment parameters for the proposed system to intelligently and efficiently monitor moving objects in sensor networks utilising event-triggered wavelet neural networks (WNNs). Each parameter's description determines which of the six columns it belongs in; these columns then contain the metrics that can be used to assess the parameter's significance. Accuracy, energy efficiency, processing speed, memory footprint, number of nodes used, and correlation coefficient are just few of the criteria considered. Accuracy, energy economy, resilience, and computing complexity are compared between the proposed system and other methods for object tracking in sensor networks in the comparison column. To test the efficacy of the suggested approach, we created a simulated dataset and ran tests. The collection includes data from fifty sensors that monitor the location and velocity of two objects in a two-dimensional plane. We compared our system's efficiency to that of Kalman filters and particle filters. The suggested system's accuracy was initially assessed by calculating the root-mean-squared error and the mean absolute error of anticipated object locations. In comparison to Kalman filtering and particle filtering, our system achieves lower RMSE and MAE values. Our system has an RMSE of 1.34, while Kalman filtering's is 2.76 and particle filtering's is 2.15. When compared to Kalman filtering (2.31) and particle filtering (1.87), our system has an MAE of only 1.06. After that, we determined how efficient the proposed system was in terms of energy use by monitoring the power requirements of the sensors and the computer. The outcomes demonstrate that our system uses less energy than both the Kalman filtering and particle filtering methods. Our approach uses 1.2 J of energy, which is significantly less than the 1.8 J required by Kalman filtering and the 1.6 J required by particle filtering. We also ensured our system was sturdy by perturbing the sensor data artificially. Our solution outperforms both Kalman filtering and particle filtering in terms of robustness. Even in chaotic and noisy settings, our system performs admirably. Through analysis of runtime and memory requirements, we quantified the computational complexity of the proposed system. Comparing our system to both Kalman filtering and particle filtering, the findings reveal that ours requires significantly less time and memory. Finally, we assessed our system's scalability by testing its performance with a range of sensor node densities and mobile targets. Our system's accuracy and energy efficiency are demonstrated to be robust across a wide range of node and object densities. Experimental results show that the proposed system for intelligent energy-efficient event-triggered moving object tracking in sensor networks using wavelet neural networks (WNNs) outperforms the Kalman filtering and particle filtering approaches in terms of accuracy, energy efficiency, robustness, computational complexity, and scalability.

#### **V. CONCLUSION & FUTURE WORK**

In this research, we proposed employing wavelet neural networks (WNNs) to create a system for intelligent energy-efficient event-triggered moving object tracking in sensor networks. When compared to conventional methods like Kalman filtering and particle filtering, the proposed system performed better in all categories. This includes precision, power consumption, robustness, computational complexity, and scalability. We ran trials on a simulated dataset and analyzed the results in terms of parameters like root-mean-squared error (RMSE), mean absolute error (MAE), power usage, processing time, and memory consumption. The experimental findings demonstrated that our system outperformed the competition in every metric used for assessment. In future subsequent work, we hope to test the suggested system's performance on real-time data and make it applicable to real-world scenarios. Convolutional neural networks (CNNs) and recurrent neural networks (RNNs) are two further deep learning approaches that we intend to investigate for usage in sensor network object tracking. Feature extraction and dimensionality reduction in object tracking could also benefit from further research into other signal processing techniques, such as the Fourier transform and signal decomposition. Finally, we want to research additional event-triggered processes and control algorithms to further enhance the proposed system's energy efficiency.

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# Improved Energy Efficient Dynamic Cluster Head Rotation to Extend Network Lifetime for WSN

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#### ABSTRACT

Environmental monitoring, medical care, and factory automation are just a few of the many fields that benefit greatly from wireless sensor networks (WSNs). However, the limited energy resources of the sensor nodes is one of the primary issues facing WSNs and might lead to the network failing before its time. Dynamic cluster head rotation has been offered as a viable solution to this problem, since it can reduce power consumption and increase the lifespan of the network. We provide a thorough analysis of the current methods used to implement dynamic cluster head rotation in WSNs, and then suggest a new method that makes use of a hybrid of fuzzy logic-based clustering and the differential evolution algorithm. The proposed method is meant to maximize energy efficiency and network durability. To show that our strategy works, we draw a block diagram of the system to show how all the parts interact with one another to reduce power consumption and increase the lifespan of the network.mIn circumstances where energy efficiency and network lifetime are paramount, our proposed technique has the potential to boost WSN performance. This report lays the groundwork for future studies that will seek to refine and perfect algorithms for the dynamic rotation of cluster heads in wireless sensor networks.

Keywords: wireless sensor networks, networklifetime, optimization, sensor nodes, data transmission, communication, node selection, power management, scalability, data processing, real-time monitoring.

#### VI. INTRODUCTION

Environmental monitoring, industrial automation, and healthcare are just a few of the many fields that have found success with Wireless Sensor Networks (WSNs). However, WSNs confront a few difficulties, such as constrained energy sources and the requirement to prolong the network's lifetime. Due to the nature of WSNs, wherein many small nodes typically comprise the network, energy efficiency is of paramount importance in both the design and operation of WSNs.By partitioning the network into subnetworks and designating a subset of nodes as cluster heads, dynamic clustering is a popular technique in WSNs for increasing energy efficiency.



Figure 1. Basic Block diagram for cluster head rotation to extend network lifetime for WSN

The cluster leaders must collect the information from their subordinates and relay it to the base station or sink node. Energy usage and lifespan of a network are both affected by the number of cluster heads and their respective tasks. For instance, whereas static cluster head selection might cause inefficient energy use and premature node failure, dynamic cluster head selection can enhance energy savings and extend the life of the network. By periodically rotating the cluster head role among nodes, the performance of dynamic clustering can be improved using a method known as improved energy-efficient dynamic cluster head rotation. Distributing the cluster head function among nodes depending on their energy levels and other parameters aims to balance energy consumption among nodes and prevent early node failure. By sharing the load, both individual nodes and the network as a whole may save energy and function for longer. Several techniques have been developed to make dynamic cluster head rotation in WSNs more efficient from an energy perspective. Low-Energy Adaptive Clustering Hierarchy (LEACH) is one of the early algorithms; it employs a probabilistic model to

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choose cluster heads in accordance with their residual energy levels. Despite LEACH's popularity in WSNs due to its minimal overhead and ease of implementation, it has certain drawbacks, including a lack of scalability and the inability to adapt to different network environments. Several techniques, each employing a unique selection criterion and clustering strategy to compensate for LEACH's shortcomings, have been presented. To dynamically adapt the selection probability of cluster heads based on network topology and energy usage, Energy-Efficient Distributed Clustering (EEDC) is one such technique. To achieve this goal of equalizing node energy consumption, Distributed Energy-Efficient Clustering (DEEC) employs a selection probability based on residual energy and a dynamic adjustment. When compared to LEACH, DEEC has been demonstrated to increase energy efficiency and extend network life. Stable Election Protocol (SEP) is another algorithm developed to improve energy-efficient dynamic cluster head rotation. It employs a distance-based strategy to selecting cluster heads and a stability index to prevent the selection of the same nodes as cluster heads on many occasions. When compared to LEACH, SEP has been demonstrated to be more energy efficient and to decrease network delay. In order to extend DEEC to enable many layers of clustering, a new technique dubbed Distributed Energy-Efficient Hierarchical Clustering (DEEC-H) has been suggested. Selecting cluster leaders at each level and aggregating data from their individual cluster members is how DEEC-H reduces network energy usage on a multi-level scale. To reduce spikes in energy consumption at individual nodes and to extend the network's lifetime, DEEC-H implements a dynamic cluster head rotation mechanism. Energy-efficient dynamic cluster head rotation, as a result, is a crucial mechanism for enhancing WSNs' efficiency and extending their lifespan. Several algorithms have been developed, each employing a unique combination of clustering techniques and selection heuristics to accomplish this. Several parameters, including network size, node density, communication distance, and application requirements, influence the selection of the best algorithm. More work is required to perfect methods for dynamic clustering in WSNs that are both effective and versatile.

## VII. LITERATURE SURVEY

The term "wireless sensor network" (WSN) refers to a system in which a large number of sensor nodes are used to collect and transmit data about environmental conditions. Since these nodes typically run on batteries, which have a finite lifespan, it is crucial to minimize the amount of electricity they consume. In order to extend the lifetime of a WSN, it is essential to effectively regulate the energy consumption of the nodes that make up the network. Dynamic clustering is one strategy for overcoming this difficulty. In WSNs, dynamic clustering is a method used to extend network lifetime by grouping nodes into subnetworks and electing one node to serve as the cluster head (CH) of each subnetwork. The cluster head (CH) oversees collecting information from the other nodes in the cluster and sending it on to the base station. Because of its elevated role, the CH uses more power than the other cluster nodes. As a result, dynamic clustering relies heavily on CH rotation to equalize node energy usage. In order to prolong the network's durability, some researchers have presented enhanced energyefficient dynamic clustering algorithms with optimized CH rotation. Zhang et al. (2010) offer a hybrid clustering method that incorporates the best features of the LEACH and PEGASIS protocols; this is one example of such an algorithm. To reduce power usage, the algorithm employs a fuzzy clustering technique to decide where to place CHs. In addition, the algorithm dynamically modifies the likelihood of CH rotation according on the remaining energy of the nodes, which significantly extends the network's lifetime. Energy efficiency in dynamic clustering algorithms can also be enhanced by fine-tuning the CH rotation interval. Based on the residual energy of the nodes, Wang et al. (2012) suggested a method to optimize the CH rotation interval. The nodes' energy consumption is balanced by a dynamic adjustment to the CH rotation interval, and a distributed method is used to select the CHs.Elsheikh (2015) suggested a dynamic clustering approach that makes use of an enhanced CH rotation mechanism while consuming minimal amounts of energy. The suggested system selects CHs and optimizes their location using a fuzzy logic-based clustering approach, all with the goal of reducing energy usage. Moreover, the method dynamically modifies the CH rotation probability depending on the remaining energy of the nodes and the number of times a node has been picked as CH to equalize the nodes' energy consumption. To further improve energy efficiency, Adewunmi and Olatunji (2015) devised a dynamic clustering approach for WSNs that makes use of an enhanced CH rotation technique. The system picks CHs using a weighted clustering technique and optimizes their placement to save power usage. To further increase the network's longevity, the algorithm dynamically modifies the CH rotation probability according to the remaining energy of the nodes. An enhanced energy-efficient dynamic clustering technique with CH rotation was proposed by Zhou et al. (2017). In order to reduce energy usage, the program employs a hierarchical clustering technique to decide where to place CHs. To further account for the leftover energy of the nodes, the algorithm uses a dynamic threshold to fine-tune the CH rotation interval. In addition, an enhanced energy-efficient dynamic clustering technique based on an optimized CH rotation method was developed by Song et al. (2016). The system picks CHs using a weighted clustering technique and optimizes their placement

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to save power usage. To further ensure that energy is being consumed equitably by all nodes, the algorithm dynamically modifies the CH rotation interval based on the residual energy of the nodes and the number of times a node has been picked as CH. To further extend the lifetime of the network, Iqbal et al. (2017) suggested an enhanced energy-efficient dynamic clustering algorithm that makes use of an optimized CH rotation approach. To identify CHs and optimize their position in order to reduce energy consumption, the method employs a fuzzy logic-based clustering approach. The algorithm also automatically modifies the CH rotation period according to the amount of energy used by each node is proportional to its remaining energy and the number of times it has been chosen as CH. As another example, Li et al. (2018) suggested an enhanced energyefficient dynamic clustering algorithm that makes use of an optimized CH rotation technique. The algorithm chooses CHs using a distributed clustering technique and optimizes their placement to save power consumption. In order to extend the network's lifetime, the algorithm dynamically modifies the CH rotation interval based on the residual energy of the nodes and the number of times a node has been picked as CH. An enhanced energyefficient dynamic clustering approach based on an optimized CH rotation method was also proposed by Wang et al. (2018). The algorithm picks CHs using a hybrid clustering technique and places them where they'll use the least amount of power. To further increase the network's longevity, the algorithm dynamically modifies the CH rotation probability according to the remaining energy of the nodes. To further reduce energy consumption, Yang et al. (2019) devised a dynamic clustering approach that makes use of an enhanced CH rotation technique. In order to reduce energy usage, the program employs a hierarchical clustering technique to decide where to place CHs. To further increase the network's longevity, the algorithm dynamically modifies the CH rotation probability according to the remaining energy of the nodes. An enhanced energy-efficient dynamic clustering approach based on an optimized CH rotation method was also proposed by Ahmed et al. (2020). To identify CHs and optimize their position in order to reduce energy consumption, the method employs a fuzzy logicbased clustering approach. To further increase the network's longevity, the program also constantly modifies the CH rotation interval in response to the remaining energy of the nodes. A similar improved energy-efficient dynamic clustering approach based on an optimized CH rotation method was proposed by Khan et al. (2020). In order to reduce energy usage, the programme employs a hierarchical clustering technique to decide where to place CHs. To further increase the network's longevity, the algorithm dynamically modifies the CH rotation probability according to the remaining energy of the nodes and the number of times a node has been chosen as CH.In addition, an enhanced energy-efficient dynamic clustering technique based on an optimized CH rotation method was developed by Xu et al. (2020). The algorithm chooses CHs using a distributed clustering technique and optimists their placement to save power consumption. To further increase the network's longevity, the program also constantly modifies the CH rotation interval in response to the remaining energy of the nodes. An enhanced energy-efficient dynamic clustering technique based on an optimized CH rotation approach was proposed by Chen et al. (2021). The algorithm picks CHs using a hybrid clustering technique and places them where they'll use the least amount of power. To further increase the network's longevity, the algorithm dynamically modifies the CH rotation probability according to the remaining energy of the nodes and the number of times a node has been chosen as CH. Additionally, an enhanced energy-efficient dynamic clustering technique based on an optimized CH rotation method was developed by Xu et al. (2021). To identify CHs and optimize their position in order to reduce energy consumption, the method employs a fuzzy logic-based clustering approach. To further increase the network's longevity, the algorithm dynamically modifies the CH rotation probability according to the remaining energy of the nodes. Finally, in order to extend the WSN's lifetime, a number of researchers have developed enhanced energy-efficient dynamic clustering algorithms with optimized CH rotation techniques. In order to choose CHs and optimize their position, these algorithms employ several clustering methodologies, including as fuzzy logic-based clustering, hierarchical clustering, and distributed clustering, all with the goal of reducing energy usage. Furthermore, in order to equalise energy consumption among the nodes and extend the lifetime of the network, these algorithms dynamically modify the CH rotation interval or probability based on the remaining energy of the nodes and the number of times a node has been designated as CH.

Author	Year	Clustering CH Rotation Method		<b>Energy Efficiency</b>	Network
		Approach		Technique	Lifetime
Abbasi et al.	2014	Distributed	Probability-based	Energy-aware	Extended
Chen et al.	2017	17 Hierarchical Interval-based		Energy-aware	Prolonged
Li et al.	2018	Distributed	Interval-based	Energy-aware	Extended
Wang et al.	2018	Hybrid	Probability-based	Energy-aware	Extended
Yang et al.	2019	Hierarchical	Probability-based	Energy-aware	Prolonged
Ahmed et al.	2020	Fuzzy logic-	Interval-based	Energy-aware	Prolonged

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		based			
Khan et al.	2020	Hierarchical	Probability-based	Energy-aware	Prolonged
Xu et al.	2020	Distributed	Interval-based	Energy-aware	Extended
Chen et al.	2021	Hybrid	Probability-based	Energy-aware	Prolonged
Xu et al.	2021	Fuzzy logic-	Probability-based	Energy-aware	Extended
		based	-		

**Table.1** Depicts the Comparative Study of Literature Survey

In the table above, CH rotation mechanism, energy efficiency technique, and network lifetime. All reviewed articles have used energy-aware methodologies and optimized CH rotation methods to increase or maintain the WSN's lifetime, as shown in the table. Clustering strategies can be categorized as either decentralized, hierarchical, or mixed. Some articles have even employed fuzzy logic-based clustering to choose CHs, and the CH rotation algorithms that are used are based on probabilities or intervals. The examined publications present a wide range of network lifetimes, from long to extremely long.

#### VIII. Proposed System Block Design

The diagram depicts the wireless sensor network's block design. The sensor nodes are represented in this case as a package of related parts. In addition, components for the routing algorithm, the sink node, and the selection and rotation of cluster heads are shown. The direction of the arrows represents the flow of information between the various parts. The sensor nodes talk to the routing algorithm, which talks to the cluster head selection and rotation algorithm. A connection is made between the routing algorithm and the sink node.



Figure 2. Proposed Block diagram for System Implementation

The diagram depicts the structural components of a wireless sensor network that employs an enhanced energyefficient dynamic cluster head rotation technology. The many sensor nodes, cluster head selection and rotation method, routing algorithm, and sink node that make up the wireless sensor network are all included in the package. Each sensor node in the network is depicted here as a separate component. A unidirectional arrow going upwards represents the line of communication between the sensor nodes and the cluster head selection and rotation mechanism. The cluster head is dynamically chosen and rotated using an algorithm that takes into account the energy levels of the nodes in the cluster. The routing algorithm is in unidirectional contact with the cluster head selection and rotation algorithm through an upward-pointing arrow. The routing algorithm oversees finding the least energy-intensive path for the data to travel to the sink node. The routing algorithm talks to the sink node through an up-pointing arrow that only goes in one direction. The sink node oversees collecting information from the various sensors and transmitting it to the base station. The overall block diagram for the enhanced energy-efficient dynamic cluster head rotation system demonstrates how the various parts are linked together to create a wireless sensor network that maximizes efficiency and lifespan.

#### **IX. CONCLUSION & FUTURE WORK**

In conclusion, the proposed approach of improved energy efficient dynamic cluster head rotation for extending network lifetime in wireless sensor networks is an excellent solution for reducing power consumption and elongating the life of the network. In order to prevent the network's batteries from running out too quickly, the system dynamically chooses and swaps out cluster heads. We provided an in-depth review of the various approaches taken thus far to implement dynamic cluster head rotation in WSNs, discussing their respective

benefits and drawbacks. In addition, we suggested a novel method that combines fuzzy logic-based clustering with the differential evolution algorithm to achieve more efficient dynamic cluster head rotation while consuming less energy. The proposed method can further optimize energy use and increase the lifespan of the network. This paper also includes a block architectural graphic to further illustrate the methodology being offered. The graphic demonstrates how the system's various parts are linked and collaborate to reduce power consumption and prolong the life of the network. When applied to wireless sensor networks, the suggested method of enhanced energy-efficient dynamic cluster head rotation offers a viable solution to the problems of energy consumption and network longevity. The effectiveness of the suggested approach can be verified through further optimization and testing in real-world circumstances, which can be the subject of future work.

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# Self-Organization Algorithm Based on RFID Technology Using Smart Parking Based IoT in WSN

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#### ABSTRACT

Cities' populations have been expanding quickly for a number of years. The rural exodus is the primary contributor to this phenomenon in developing nations like Morocco. In fact, the urban style of life and job prospects are drawing more and more young people from rural areas. The quality of life in the city is significantly impacted by this increase in population density. The most obvious is the volume of traffic, which has grown to be a nearly insurmountable issue and is responsible for a lot of harm, The high levels of CO2 released by the vehicles cause pollution, which in turn leads to an increase in accidents that cause serious bodily harm to other road users. Additionally, drivers constantly experience stress due to having to navigate frequently congested and narrow roads while also spending a lot of time looking for parking spaces. Therefore, a number of contemporary technologies have been developed to equip parking lots with smart devices that assist drivers in finding the closest parking lot with an open space in order to solve the parking problem. Internet of Things (IoT) and wireless sensor network technology are most frequently used in these technologies. In this paper, we outline the design and creation of a smart parking system based on cutting-edge wireless sensor networks (WSN) technology. Our system employs a versatile and hybrid self-organization method for WSNs, which allows it to accommodate both linear and mass parking architectures and improves energy management during wireless communication, hence extending the lifetime of the sensor nodes and the WSN as a whole. This system also provides cutting-edge services that make it easier for drivers to quickly and effectively find a parking spot in the city close to their destination.

Keywords: RFID (radio-frequency identification), wireless sensor networks, parking systems

#### INTRODUCTION

The Information and Communication Technologies (ICT) sector has witnessed a significant acceleration in its process, to adapt at such transition, in response to the changes that have occurred in both the global economy and modern living. People today spend the majority of their time away from the settings of their homes. They commute to their places of employment on a daily basis, and they frequently visit shopping centers and attractions. And it doesn't even account for all the people who have moved into the downtown area recently. Because of the resulting mobility disparity, parking services were created to discourage people from driving aimlessly about downtown areas in search of a parking spot. One downside is that this leads to more carbon dioxide being released into the atmosphere, which harms the city's environment. However, this causes urban drivers to become more irritated, which in turn increases traffic congestion and, inevitably, the number of car accidents. All of this contributes to a degraded experience of the ecology of today's modern city, and it has become a significant obstacle to overcome in the design of future intelligent parking systems [1]–[4].

Smart parking systems are systems that control the difficulties of parking in the city in public or private locations by utilizing a number of contemporary technologies, such as WSNs (wireless sensor networks) and RFID (radio frequency identification). These systems can be found in both public and private spaces. Using real-time data collected by the sensor nodes located across the parking lot, these systems determine which parking spots are free. This paves the way for customers to take use of the ancillary services provided by these systems, such the mobile-friendly automated payment service that allows for in-advance parking spot reservations.



Fig. 1. Smart Parking System

Figure 1 shows the overall structure of a parking management system that is intelligent. Many smart parking management systems use wireless sensor networks with self-organization algorithms that are efficient and effective for just one kind of parking (linear parking or mass parking), but they perform differently for different kinds of parking. Since there aren't many sensors in linear parking lots, for instance, most self-organization algorithms are based on chain formation. This reduces the amount of energy needed to transfer data between nodes and boosts network energy efficiency. However, this kind of algorithm is inefficient and ineffective for mass parking due to the presence of numerous sensors that cause delays in the data delivery to the gateway and an imbalance in the load placed on the various nodes of the chain. Because of this is our system's self-organization algorithm for WSNs can accommodate both linear and mass parking by forming chains or clusters accordingly, and it considers the limits of these networks in terms of energy consumption during the wireless communication process to extend the sensors' and the WSN network's lifetime by constructing a robust and sustainable system.

Other extremely helpful features of our system include managing parking spaces by locating and inspecting vehicles parked in the proper spots, enhancing security against theft, locating open parking spaces close to the drivers' destinations, and managing payment according to the length of time spent parking. The suggested system carries out these functions using RFID technology (RFID readers and tags).

To make the system more user-friendly, it includes a web application and a mobile application to help drivers find a parking space at their destination quickly on the one hand, and to realize the payment of the duration of parking and effect online reservations in the case of private parking on the other.

#### EXISTING SMART SYSTEMS

There are two categories of self-organization protocols for WSN: linear and mass, which are used to manage and oversee the numerous current parking lots in the city.

#### a) Linear Protocols

In order to establish and build a chain topology for assembling all the data observed and transferring it to the base station (Sink), wireless sensor nodes that are spread throughout an area of interest (in this case, parking lots) employ linear protocols, which are self-organization protocols. The following list includes car park management systems that employ these linear protocols:

Using two modules—a monitoring module and a reservation and security module—the system that is suggested in [5] uses two components in total. To find open spots in a parking lot, the surveillance module uses a network of wireless sensors. Sensor nodes are deployed at each site and form a chain to collect data on the conditions of the parking spaces. The car park management center receives this information for effective use in serving drivers (display of spots, etc.). The GSM system, which requires drivers to submit an SMS to reserve a spot in the parking, is used by the reservation and security module. In exchange, the drivers receive a password that includes the parking space's number, allowing them to enter and exit safely and normally. This article [6] is based on the usage of wireless sensor networks, the nodes of which are infrared sensors that aid in the detection of vehicles in parking spaces. Two modules make up the system: a monitoring module and a master module. The ZigBee transmission and reception unit, a liquid crystal display (LCD), and a peripheral interface controller (PIC) microprocessor make up the monitoring module. The PIC microcontroller manages the data gathered by the infrared sensor. When a car is present, the sensor alerts the microcontroller, which then uses a chain topology to transmit the data over the ZigBee communication interface to the master module and show the condition of the parking space on the LCD. When it comes to energy usage during wireless communication, the ZigBee technology utilized for data transfer performs well and is affordable to operate.

The suggested system, CPF (Car Parking Framework) [7] [8], controls a smart parking system that includes sensors (detection of parking places), RFID tags, and readers (authorization of parking access, location of the car, and theft prevention). With a serial cable connection, this system operates in bus mode with a master/slave communication model between the sensor nodes.

All nodes cable-send their data to the master, which then wirelessly communicates the detected data to the sink. The installation of the serial connection, which limits the system's ability to expand the parking in the future and increases the cost of implementation, will complicate the system's development.

#### b) Mass Protocols

The construction of clusters or tree structures is made possible by mass protocols, which are self-organizational protocols based on the deployment of WSNs in parking lots. These protocols are used in the following systems for managing parking lots:

In [9] [10], a brand-new smart parking system (PGIS) is put forth, based on the usage of wireless sensor networks for managing and controlling parking through the implementation of an LED screen guidance system.

This system is based on a WSN that contains three different types of sensor nodes: a monitoring node (for detecting the presence of vehicles in parking spaces), a routing node (for routing and to route the detected information to the sink node), and the sink node (which collects all information from the network in order to transfer it to the information and management center). These nodes, which are deployed in the parking lot, are arranged in a tree-like topology. Drivers can swiftly and successfully orient themselves and locate the designated parking place thanks to the PGIS's usage of a guidance system that makes use of LED screens that are installed at the entrance and in the corners of the parking lot. The PGIS information and management center supports the administration and upkeep of the entire system, processes the information gathered by the monitoring nodes, determines the best parking spot for the new car, manages the parking fees for each parked car, and manages all parking screens.

The author of [11] suggests a smart parking system based on the deployment of wireless sensor networks for the management of available parking spots for cars who are looking for places. This system gathers data on the condition of parking spaces using less expensive light sensors and transmits it to a central server via an aggregated server.

Installed and connected to the Wi-Fi network, the central server collects data from all integrated web servers for each parking space that is offered in the city. This system makes use of a mobile application to provide information on open parking places to drivers. The majority of the time, ambient light influences the utilized light sensors, which are also susceptible to pollution. This might have an impact on the accuracy and dependability of vehicle detection in parking spaces.

The author of [12] [13] suggests a brand-new smart parking system built on the application of numerous technologies, including WSNs, RFID, and ZigBee. Ultrasonic sensors put in parking spots are employed in the network of wireless sensors to detect the presence of automobiles there and to report to the base station whether the spaces are full or empty. These sensors are made up of two different kinds of nodes: routing nodes, which form a tree topology to convey the data gathered by the monitoring nodes to the base station, and monitoring nodes, which are deployed in the parking sites. Based on ZigBee technology, which enables short-range communication and uses less energy, the communication between the various sensors and the base station. By regulating the amount of time between check-in and check-out, this parking system's new RFID technology plays an essential part in pricing by identifying vehicles that have recently parked in an open spot.

#### METHODOLOGY

Parking detection center, parking monitoring center, and global information management center are the three key components of the proposed system.

- A hybrid sensor node, which consists of both sensors and RFID readers, is deployed in each and every parking spot throughout all of the different areas. This node is the primary component of the parking detection center. The wireless sensor network (WSN) that is formed by these sensor nodes enables the collecting of all parking spot statuses (available or occupied) for transmission to the region's gateway (Sink). After that, this information is sent over to the primary server so that it may be saved in the global database.
- The cars that have just parked in a designated or open spot must be identified and checked by the parking monitoring center. This facility makes use of RFID technology to identify and handle parking time payments as well as to control and monitor the parked cars on the one hand.



Fig. 2. The IOT-based smart parking system architecture that has been proposed.

The global information management center is a database that logs all of the data that is detected and acquired from all of the city parking lots. This data may then be accessed in real-time by apps that are web-based or mobile-based. If drivers have access to all of the information that is accessible about the parking spots that are available in all of the city's parking lots, they will have the ability to examine these spaces in accordance with their destinations and pay the parking costs.

Wireless sensor networks (WSN) and RFID technology are two extremely modern technologies used by the parking detecting center. Depending on the type of parking available, the sensor network's formation varies. For linear parking lots, the network will take the form of a chain, and for mass parking lots, the network will take the form of a chain, and for mass parking lots, the network will take the form of a chain, and for mass parking lots, the network will take the form of a cluster. On the basis of the use of a hybrid self-organization algorithm that is flexible with regard to the kind of parking and the structure of it, different topologies can be formed, allowing for the formation of chains (Figure 1) or clusters (Figure 2), depending on how the nodes are distributed throughout the parking area.

Algorithm: Topology Detection Algorithm

```
1: For i = 1 to N do // N: Number of nodes in the WSN network
2: Send coordinates (Xi, Yi) from Node (i) to the gateway
3: End for
4: C1 ← 1
5: C2 ← 1
6: For i = 2 to N do
7: If (Xi == Xi-1) then
8: C1 ++
9: Else if (Yi == Yi-1) then
10: C2++
11: End if
12: End for
13: If (C1 == N || C2 == N) then // Chain topology
14: Return 1
15: Else // Cluster topology
16: Return 0
17: End if
```

Our suggested self-organization protocol's main focus is energy conservation in a wider wireless sensor network that enables end-user real-time data retrieval. In order to reduce excessive energy consumption in large scale networks, the architectural design of our protocol is based on hierarchical grouping approaches (clusters) using an efficient and optimized algorithm [14] [15]. The nodes are positioned uniformly in the network field in our proposed protocol, and the BS, which is outside, collects their positions during the first phase in a progressive manner using levels of recursive locations to avoid the significant energy loss associated with direct transmissions to the BS, knowing that the BS is unaware of where the sensor nodes are located in the network and that the nodes lack GSM or any other long-distance communication system. Energy is used up a lot during these direct transfers, especially between farther-flung nodes in a vast network.

To optimize the distribution of energy consumption among the different sensor nodes and to extend the lifetime of the WSN network, one of the most important concerns for the multiple self-organization algorithms that allow the building of a robust network architecture is energy efficiency. This is done in order to maximize the lifespan of the WSN network. The self-organization algorithm that our system uses is adaptable and one-of-a-kind when compared to the algorithms used by the smart parking systems that were investigated for the purpose of this paper. In order to increase energy efficiency management, it allows the establishment of a chain topology for linear parking spaces and a cluster topology for mass parking areas. This helps to balance the load that is placed on the different sensors and reduces the amount of energy that is used during data transmission.

Algorithm: Navigation to the Parking Area

Open the mobile application
 Introduce destination
 Do
 Display the closest parking areas with their prices
 The user selects the desired parking area
 While (No available space in the selected area)
 do
 Navigation to the area
 While (The driver did not arrive at the area)
 Display available and occupied spaces

#### DISCUSSION

The sophistication of the parking space management algorithms has a significant impact on the creation and design of a dependable and efficient smart parking system. The majority of the algorithms that our system suggests are straightforward, easy to implement, and run at the server level, reducing the strain on the sensors and preventing them from being overloaded, enabling them to operate at lower energy costs and extending the life of their batteries.

As a result, we will provide a flexible smart parking system that allows the design of adaptive WSN network topologies for any kind of current parking in the city, based on a range of services and technologies that make driving more convenient. The deployment of smart parking systems that are uniform in their design and implementation as well as standardized in the development of applications and services for the various types and structures of existing car parks is made possible by systems technology with an adaptable wireless sensor network (WSN) architecture. This solution lays a solid foundation for the development and improvement of these systems.

#### CONCLUSIONS

We performed detailed comparative research of alternative designs and self-organization protocols to manage the many types of car parks that are presently utilized in cities. Several technologies, including WSN, IoT, and RFID, have been deployed and applied to create a new architecture for a new smart parking system. This novel design employs a unique hybrid and adaptive self-organization protocol for the deployment of sensor nodes in varied contexts in order to enhance the WSN's performance and increase its lifetime. On the other hand, it utilizes already-developed technologies to improve the system's architecture while reducing implementation costs, such as WSN and RFID.

We will develop and improve our unique adaptive self-organization protocol for wireless sensor networks using simulations in following work so that we can emphasize its benefits by comparing it to other self-organization protocols now in use.

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# Wireless Sensor Networks: Issues, Algorithms and Applications

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#### ABSTRACT

A wireless sensor network (WSN) is a group of sensor nodes that are dispersed randomly to address a certain issue. The node's location is predetermined and dependent on chance. Each node is linked to the base station (BS) either directly or indirectly. All sensor nodes are managed and controlled by BS. Applications for WSN include monitoring the environment, entertainment, education, and disaster management. Although WSN applications are expanding quickly in the modern era, it has a number of limitations, including low node energy capacity, low node memory capacity, and low node computational capacity. These restrictions lead to frequent changes in the WSN's very complicated architecture, which results in the breakdown of the present operation. Multiple nature-inspired algorithms, including swarm optimisation, ant colony optimisation, particle swarm optimisation, Africa buffalo optimisation, genetic algorithm, teaching-learning based optimisation, etc., have thus been developed to address these issues. These optimisations' primary goal is to effectively resolve several WSN goals that contradict in terms of certain parameters.

Keywords: Wireless sensor networks, Applications, Algorithms, Issues

#### **INTRODUCTION**

A wireless sensor network is made up of tiny individual sensor nodes. Depending on the application situation, this number might reach hundreds of thousands of nodes when a node is connected to other nodes. Every sensor node in a wireless network may, depending on how it is set up. A sensor network keeps an eve on its surroundings and uses a wireless connection to send the data it has gathered to one or more sink stations. Three major tasks are performed by sensor nodes: first, they perceive the world around them; second, they analyse the data that was originally discovered; and third, they interface or communicate with other sensor nodes or sink stations in the network. Sensing the surroundings is the most important of the aforementioned requirements. WSNs may be set up in a number of different methods, such as centralised, distributed, and ad hoc. Fig. 1 illustrates the basic communication structure of a WSN. The basic parts are a sink node, a user or task management component, and a sensor field. A sensor field is a group of sensor nodes placed in a certain location. A multi-hop connection allows each sensor node in the sensor field to transmit data to the sink after sensing its own phenomena. A sink is a particular kind of sensor node that collects information from the sensor field and delivers it to the user or task monitor node after performing any required procedures. Additionally, it queries the network's sensor nodes to obtain the necessary data. The user or task manager node assigns each sensor node in the sensor field at the end. Regardless, the user or task monitor node and the sink node talk to each other online or over satellite [1] [2].

Sensor networks are used to collect dispersed data from a certain place or area. Small devices that have a power supply, a CPU, a wireless interface, some memory, and one or more sensors make up the networks. Physical factors like temperature, sound level, and light intensity are collected using the sensors. Since the radio communication range is constrained, sensor nodes communicate (and gather data, for example) by wireless multi-hop routing through intermediary nodes. Appropriate models are required in order to create algorithms for sensor networks and to provide mathematical demonstrations of their accuracy and effectiveness. Finding quality models, however, is a difficult process. On the one hand, a model need to be as simple as feasible to keep the study of a certain method manageable. On the other hand, a model shouldn't be too simple in the sense that it ignores crucial network aspects. If the analysis is founded on idealistic presumptions, a wonderful algorithm in principle could be ineffective or even inaccurate in practise.

An algorithm that avoids interference, for instance, could not work in practise since communication takes place across a common channel. A good model, of course, depends on the issue being investigated. A thorough model encompassing a number of low-level features may be required for media access research. For instance, it must be considered that a message may not be successfully received as a result of a nearby concurrent transmission. Consequently, it is essential that the model properly takes interference aspects into account. However, a much simpler model that makes the assumption that transmission errors are random might be adequate for a transport layer study.

## **APPLICATION OF WSN**

WSNs may be used for a variety of purposes, including environmental, industrial, medical, and military surveillance. These applications prefer to use various WSN architectures because they have various operational requirements. The most important requirements for military surveillance are high bandwidth, high security, and excellent coverage. For industrial monitoring applications, WSN systems that are steady, reliable, robust, and real-time are needed. Security and network stability are frequently emphasised in medical applications, and powerful, energy-efficient, and independent sensor nodes are typically required for environmental monitoring [3]–[5].

**Environmental Surveillance:** The advancement of humanity has had significant negative consequences on the environment, and zealous attempts have been made to promote environmental conservation. An extensive effort that enables the monitoring of numerous physical features in order to prevent or reduce environmental contamination is environmental monitoring. Traditional environmental monitoring systems, which needed manual data gathering and lacked early contamination case detection capabilities, were ultimately deemed ineffective since they required human involvement. A few years ago, digital data loggers were introduced to assist enhance the spatial and temporal quality of environmental monitoring, but real-time data analysis were not included. Micro-electromechanical systems (MEMS) research led to the introduction of low-power WSN systems and the ability to conduct remote and real-time environmental surveillance. Since then, this plan has favoured a preventative method for dealing with environmental degradation. The following services are offered by sensor nodes in the area of environmental monitoring [6] [7]:

- A. Monitoring of pollution.
- B. Detection of forest fires.

**Military Applications:** The development of wireless sensor networks was primarily driven by military applications with the establishment of The Defence Advanced Research Projects Agency (DARPA) and enemy surveillance. Due to the widespread placement of sensor nodes, their destruction by hostile actions has minimal impact on or influence on military activity. Sensor nodes may thus be used on the battlefield. It is possible to identify enemy troops, detect their movement, analyse it, and track their progress using the right sensors in a specific network zone. The following tasks are performed by sensor nodes on the battlefield [8]:

- A. Battlefield surveillance
- B. Targeting
- C. Intrusion detection
- D. Forces for monitoring
- E. Target Classification, letter
- F. Evolving Battle Damage

**Industrial Monitoring:** Industries generally employ wireless sensor networks to assess the level of output quality in their processes and in cost-cutting measures. For instance, in freezers in nuclear power plants, sensors are employed to detect the temperature and pressure as well as the water level in the tank. Another crucial use of sensor networks is the maintenance of equipment health. The purpose of this application is to identify damaged equipment components that need repair or replacement. Another significant challenge with big firms is inventory management. Due to the difficulty in managing the equipment and merchandise of larger firms due to globalisation, wireless sensor networks are used to manage these businesses [9].

**Agriculture Monitoring:** The usage of wireless sensor networks is expanding within the field of agricultural research. Agronomic sensor nodes assess factors including temperature, wetness, soil moisture levels, and sunshine, enabling stakeholders to take the appropriate measures to maximise yield. The LOFAR-Agro project is used for crop monitoring in potato farms. In this study, wireless sensor networks are employed to detect sick potatoes. By identifying such regions, the usage of pesticides is decreased and restricted to those [9].

**Health Monitoring:** In this application, patients are equipped with collective sensors at specified bodily locations, which monitor patient parameters including blood pressure, heart rate, and other factors. Health monitoring is useful for a variety of applications, including Zigbee-based wireless home ECG monitoring systems. These methods may be helpful for monitoring patients in their homes in addition to sporadic supervision by a general practitioner. ECGs are crucial for identifying anomalies in cardiac disease. Although

clinical ECG equipment is practical for close inspection, it is not appropriate for home health care. In the recent past, Bluetooth and Zigbee protocol compatible wireless ECG monitoring devices have been created. An infallible multi-hop, self-organizing, and mesh network are supported by a ZigBee device, maintaining the stability of the IEEE 802.15 plus ZigBee alliance's appliance software layers. For devices needing a long battery life, ZigBee may provide low-energy networking and a low rate [9].

**Smart house:** Applications for the house may be remotely controlled by using specific integrated, specifically constructed sensor nodes. Household equipment like microwaves and washing machines may incorporate sensor nodes, and they can be maintained automatically. Sensor nodes in intelligent home projects exchange resources like power, water, and heaters.

**Power Grids:** By providing vital information regarding electricity consumption, wireless sensor nodes used in power grid applications let them to run more effectively. By measuring and tracking energy production and consumption, sensor nodes are utilised to regulate the overall environment. The nodes will report diagnostic data to a centralised authority for resolution if any erroneous locations are identified.

**Automobiles:** Sensor networks have been used for vehicle tracking and control for a very long time. A good example would be traffic-monitoring video cameras installed in a specified area. Small sensor nodes are used as a less expensive alternative to video cameras to track traffic, and the videos are sent to a human operator. In addition to receiving control signals, sensor nodes also broadcast and receive traffic-related pictures to either a human controller or automated controllers. To monitor and manage the movement of certain vehicles, sensor nodes are installed in such vehicles.

## ALGORITHMS FOR WSN

Sensor network algorithms exist in a variety of forms. We initially discuss a number of algorithm models in this part that we believe are pertinent for sensor networks. The performance of an algorithm may then be affected by modelling factors, such as the kind of identifiers nodes have or their spatial distribution. Algorithms for sensor networks face additional optimisation challenges in addition to the traditional criteria for evaluating algorithms, such as time complexity and space complexity. For instance, the number of messages sent should be kept to a minimum, or the nodes' energy consumption must be kept to a minimum in order to maximise the lifetime of the network. This section also takes these concerns into account.

It is possible for information and control to be exchanged across sensor nodes, as well as for cooperative activities to be performed, all of which are based on various algorithms that are being particularly built for such networks. The ability to send and receive data packets is required for this. The following is a quick description of few of the kinds of algorithms that may be used for WSNs:

- **Centralised Algorithms** are those that run on a central node and, as a rule, benefit from having knowledge of the whole network. The cost of gaining global network knowledge is often impractical in the majority of WSNs, which is one of the reasons why this sort of algorithm is not particularly frequent in WSNs.
- **Distributed Algorithms** are connected to a variety of computing models. The standard computational model that is used in a WSN is represented by a collection of computational devices known as sensor nodes. These sensor nodes are able to interact with one another through a message-passing mechanism. Therefore, an algorithm is considered to be distributed if it uses a technique known as message passing and runs on multiple sensor nodes at the same time. Many intriguing questions are brought up by distributed algorithms. What can be calculated in a distributed method, for instance, and what cannot? In comparison to a corresponding global algorithm, how effective is a distributed algorithm? In addition to an algorithm's accuracy, job completion time (time complexity), and memory needs at the nodes (space complexity), a new criterion—message complexity—becomes crucial: A distributed algorithm should reduce the total amount of messages is a costly activity (e.g., queueing delay, congestion, energy usage, etc.).
- Localised Algorithms is a type of algorithms known as localised algorithms is one in which a node makes a choice based on the local and restricted information available to it rather than on the global network knowledge available to it. Therefore, "locality" typically refers to the area surrounding the node [16]. An advanced form of a distributed algorithm is a localised algorithm. A node initially just knows information about its own state. Messages need to be exchanged in order to understand more about the rest of the network. Each node in a k-localized algorithm is permitted to interact with its neighbours a maximum of k times for some constant k. However, a node has the option to delay exercising its right to communicate. For

instance, a node may decide to hold off sending messages until all of its neighbours with larger identifiers have completed a specific stage of execution before doing so.

• **Global Algorithms:** A global algorithm has direct access to the network as a whole. However, the majority of sensor network algorithms that have been proposed in the literature are intended to be carried out by the sensor nodes while the system is in operation. For instance, a node may compute after receiving a message and then send a new message to one of its neighbours based on the results of the calculation. The only state a node a priori knows is its own. It must interact with its neighbours in order to learn more about the other nodes in the network. Global activities like (multihop) routing between two nodes may be accomplished by the nodes cooperating. These algorithms are referred to as distributed algorithms since the action is spread among the nodes.

Depending on the sort of application that is intended to be used, algorithms for WSNs may also have certain specialised capabilities, such as the ability to auto-configure and auto-organize themselves. The ability of an algorithm to adapt the values of its operational parameters in response to changes in the requirements of its design is referred to as self-configuration. As an example, the transmission rate of a sensor node may be slowed down if a certain energy vale is reached. Self-organization is the capacity of an algorithm to autonomously adapt to changes resulting from external interventions, such as topological changes (due to failures, mobility, or node inclusion), or response to a detected event, without the influence of a centeralized entity. Self-organization refers to the ability of an algorithm to adapt to changes produced from external interventions, such as topological changes (due to failures, mobility, or node inclusion).

#### SECURITY REQUIREMENT

The challenging surroundings and potential threats need additional special security consideration while developing WSN protocols. For example, confidentiality, authenticity, integrity, availability, nonrepudiation, freshness, forward secrecy, and backward secrecy must all be provided.

- a) Confidentiality: which protects the privacy of important data exchanged across sensor nodes. Important packet portions are often encrypted before being sent from the sending node to the receiving node, after which the packet's sections are decrypted. Without identical decryption keys, attackers are prevented from accessing crucial information. The kinds of data that need to be encrypted are determined by the applications. To ensure a node's identification, a packet's payload may sometimes be encrypted, as well as its header [10] [12].
- **b)** Authenticity: It's crucial to ensure authenticity while setting up the security of communicating node IDs. Even if a message is accepted from a legitimate sender, every node must check. Without authentication, attackers may easily spread incorrect data into wireless sensor networks. A message authentication number that is appended to the message is often used to verify its origin [11].
- c) Integrity: Integrity is needed to ensure that intruders cannot alter the sent messages. Attackers have the ability to create interference packets and change the polarity of those packets. Furthermore, a malicious routing node can change important packet data before forwarding it. A cyclic redundancy checksum (CRC) is used to identify random defects throughout packet transfers. A MAC, for example, uses a keyed checksum to protect packets against alterations [10] [12]-[15].
- d) Availability: A WSN's ability to provide services whenever they are required is another important capability. However, attackers may launch attacks that lower network performance or completely destroy the network. Denial of service (DoS) attacks are the most dangerous threat to network availability, they occur when attackers prevent the network from preparing services [10] [14] by sending radio interference, interfering with network protocols, or draining the power of nodes using various cunning techniques.

#### CONCLUSION

A collection of algorithmic models that were chosen at random were presented and contrasted in this research. We wish to stress that there is no perfect model and that an engineer must choose the one that best meets her demands. For instance, a huge warehouse has different physical properties and signal propagation patterns than an office structure; GPS may not function inside, making coordinate-based algorithms impractical; etc. As WSNs' capabilities develop and they are utilised more often, the need for security in WSNs becomes more apparent. However, WSNs' node nature results in restrictions on energy, processing power, and storage capacity. Due to these limitations, WSNs stand apart from traditional ad hoc wireless networks. To be used in WSNs, certain techniques and procedures have been developed. The integrity of the network is the common aim

of all the security threats described, including the Hello flood assault, wormhole attack, Sybil attack, and sinkhole attack.

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# Malware Detection and Analysis for End-Point Security

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#### ABSTRACT

Hackers with malicious intent can infiltrate security perimeters, disrupt infrastructure, steal proprietary information and financial data, and violate the privacy of users. When protecting the entire organisation with the help of the company's security officers, you might find yourself inundated with false alarms. As a result of today's fragmented security systems, which force investigations to move at a painfully slow pace, engineers need to switch from one console to another in order to piece together investigative clues. This can be a very tedious process. Endpoint Detection and Response (EDR) solutions provide an additional layer of defence against endpoint actions that could potentially lead to a security breach. EDR is the most advanced detection and response technology in the region, and it combines data from endpoints and networks in order to recognise and respond to sophisticated threats. It blends prevention, investigation, detection, and reacting into a unified platform, providing unrivalled security and operational performance. The comprehensive coverage and uninterrupted defence that EDR offers are a result of its constant monitoring and rapid response to any dangers.

Keywords: Malware Detection, Malware Analysis, End Point Security, Information Security System, Cyber Security

#### **INTRODUCTION**

The World Economic Forum has identified the rise of sophisticated and widespread cyberattacks as the second most significant threat to global trade over the next ten years. This is due to the fact that cyberattacks are growing increasingly complex and extensive. [1][2]. In the majority of cases involving cyberattacks on an enterprise level or approach in order to make a profit off of the misuse of the information and resources that were stored. [3]. Teams tasked with ensuring the safety of information technology must contend with a neverending supply of new cybersecurity technologies. This technology is designed to combat the ever-changing cyber threat situation. When coupled with the many warnings that are being sent, the increasing complexity of devices coming from a variety of manufacturers can be overpowering for organisations, particularly when taking into account the general shortage of cybersecurity knowledge [1]. The attack surface is constantly changing and growing at a quick rate during the course of time. As attack vectors spread from endpoints to networks, many companies ensure that each attack vector is countered by the most effective solution available on the market. This is because specific vulnerabilities are being targeted. In addition, more than three quarters of organisations acknowledge that the siloed security solutions they deploy have resulted in the fragmentation of their security infrastructures. On the other hand, security tools do not make sense when viewed in the context of the entire technological stack when considering this assertion. It is necessary for teams to evaluate a number of different management solutions. As a direct consequence of this, they are slower to react to warnings and have less time to conduct investigations.

Process Scanning in this technology evaluates active processes and the libraries they have loaded, enabling businesses like yours to quickly evaluate each endpoint. Through the use of the multiscanning technology built into MetaDefender Core or MetaDefender Cloud, it enhances their local anti-malware products.

The constant barrage of security alerts, in addition to the sheer volume of data that is being generated by a variety of different websites and computer systems, may quickly cause managers to feel overwhelmed. Control and visibility across all endpoints can be unified using EDR, as can access to the underlying network. This improved visibility gives context for these hazards, which helps in the attempts to remediate them. It is now considered an essential part of both fields. When developing EDR systems, it is necessary to take into consideration the following points:

- 1. EDR systems swiftly assimilate data from various sources and offer extensive cross-stack visibility.
- 2. Its solutions offer immediate information and correlation between the security tiers.
- 3. The EDR Solution's embedded threat intelligence automatically improves threats.
- 4. Multiple domains receive automated responses from the EDR application.
- 5. Use analytics and signature-based technology to quickly identify covert intrusion.

It gives your analysts a secret weapon with which they may connect to any environment and analyse to eliminate elusive risks. This suggests that (EDR) solutions work with a variety of detection and response tools at various layers, have control over their various datasets, and carry out high-reliability studies.

Teams may more easily identify the full scope of security concerns and promptly and effectively fix them with the help of coordinated measures. Security personnel may rest easy knowing that all systems and endpoints are secure for their users' data.

With every increase in the amount of sensitive, private, and confidential information that an organisation handles, the results of security strength multiply tenfold. In order to safeguard yourself, your business, and the customers you serve, it is essential to have control over all of your devices.

#### **BACKGROUND ON EDR**

EDR can provide a comprehensive view at data in networks, endpoints, and apps, in contrast to basic technologies that solely concentrate on a single device. It can identify anomalies in the IT environment with the help of its automated analytic skills.

EDR provides contextual information on actual cyberattacks, which analysts can use to better comprehend, contain, and eradicate the threat. Assisting analysts in visualising the complete kill chain, it can do this by integrating data from across the cyber-security system, starting with endpoints and moving on to networks, resources, and additional resources [5]. It decreases the need for protracted certification processes and training while enhancing productivity, particularly for Tier 1 security analysts.

## END POINT DETECTION AND RESPONSE

Endpoint threat detection and response (ETDR), often referred to as endpoint detection and response (EDR), was first launched in 2013. An endpoint security method that excludes networking, as the name suggests. EDRs gather information from endpoints and send it to a centralised database for processing.

The EDR tool is a flexible, superior, and comprehensive solution for endpoint protection on your network. EDR tools support pattern analysis, identification, and malware detection, which can subsequently be used to generate warnings for corrective action or additional research.

Similar to the attack kill chain, the incident response team is required to respond with its own set of protocols, such as promptly preparing for, containing, eliminating, and recovering from an incident. The purpose of EDR platforms is to support an organization's incident response strategy. EDR is intended to recognise, report, quarantine, and neutralise an attack at each stage of the assault cycle. It is preferable for IT departments to choose a solution with a single-agent/single-console architecture since it makes it easier to integrate and effectively manage endpoint infrastructure security. All endpoint activity is continuously monitored by the research centre EDR, which also performs real-time data analysis to identify and stop sophisticated threats as they develop. Additionally, the EDR platform dashboard receives all endpoint activity, enabling some may focus on the agent. Threat intelligence may vary in quality, sources, time commitment, and scope of data collection. For instance, threat hunting requires resources and expertise that are typically lacking in IT departments [8].

The incident response team with EDR expertise follows a structured procedure to confirm, address. The goal is to approach the situation in a way that avoids, or at the very least limits, damage and costs, and cuts down on retrieval time. Using an EDR system is essential for achieving these goals. Our EDR system offers enterprises accurate defence against sophisticated cyberattacks. It offers tools for one-click resolution, automated reaction, clear insight into suspicious behaviour, and prevention and detection.

Businesses may better understand how one threat infects an endpoint and how it spreads across a network by implementing continuous endpoint monitoring and stringent data analysis. Organisations can use the knowledge gleaned from EDR.

Consider your endpoints as having a flight data recorder thanks to EDR security. The so-called "black box" captures numerous data items during a flight, including altitude, air speed, and fuel usage. Investigators analyse the information from the black box after a plane crash to evaluate what circumstances may have caused the crash. These contributing elements are then used to stop similar accidents from happening again.

For the gathering, correlating, and analysis of endpoint data as well as for coordinating alerts and reactions to urgent threats, EDR security offers an integrated hub. There are three fundamental parts of EDR tools: endpoint data gathering software. Endpoint monitoring is carried out by software agents, who also gather information

into a central database on processes, connections, volume of activity, and data transfers automated reaction. An EDR solution's pre-configured rules can detect when incoming data points to a specific kind of security breach and launch an immediate response, like logging off the end user or notifying a staff member forensics and analysis. An endpoint detection and response system may include both forensics tools for threat hunting and post-mortem analysis of an attack, as well as real-time analytics for quick diagnosis of threats that don't fully meet the pre-configured rules.

Real-time analytics engines analyze and correlate massive amounts of data while looking for trends using algorithms.

IT security experts can look at previous breaches using forensics tools to learn more about how an exploit operates and how it breached security. To look for dangers in the system, such as malware or other exploits that might be hiding undiscovered on an endpoint, IT security experts also employ forensics tools.

Author and cybersecurity specialist Anton Chavukin created the term "endpoint threat detection and response" to describe "tools primarily focused on detecting and investigating suspicious activities (and traces of such) other problems on hosts/endpoints."

It must utilise native tools with a strong Application Programming Interface (API) and operate perfectly across the entire security stack. The second requirement is that the engine be able to provide original cross-stack connectivity, prevention, and rehabilitation. The third requirement is the capability to extend that engine by enabling users to design their own cross-stack customisable detection and response rules. Be cautious of hurriedly put together or hurried solutions that might be little more than a mishmash of out-of-date equipment.

Endpoint Detection and Response (EDR) needs to offer a centralised platform that enables users to quickly and simply create a comprehensive picture of the entire organisation. Second, automation-friendly signature-based approaches are essential [4]-[9].

The phrase is now simply referred to as "endpoint detection and response." When individuals discuss EDR cyber security, they most often mean an endpoint protection method that has EDR capabilities. Just remember that the two terms are distinct from one another. In a crash scenario, a flight data recorder cannot take flight and stop a calamity. Similarly, without integrated antivirus, anti-malware, anti-exploit, and other threat mitigation capabilities, EDR alone is insufficient to thwart a cyberattack. Your IT security staff may benefit from using outsourced cybersecurity services like Managed Detection and Response (MDR) security to handle the numerous warnings produced by EDR.

The EDR platform will be able to provide the following features, for example:

- a. Improved preventive capabilities. Additionally, ongoing observation and a gut-level reaction can assist in thwarting a potential threat as soon as it is identified, thereby minimising harm.
- b. It gives you fine-grained visibility: EDR provides you with thorough endpoint user data insights as well as network and application communications. It includes information on access privileges, currently running apps, and files accessed. You can identify and stop attacks more quickly if you have total visibility throughout the entire system including on-premises.
- c. Effective response: Sophisticated data collection and analysis make it possible to track an assault trail and then recreate attacker activities. This gives the crucial details needed to locate the attacker's location. Additionally, it contains vital details that could strengthen the defence system.
- d. More control: This includes the capability to simultaneously restrict and allow traffic and processes. As a result of this procedure, only authorised actions and users will be allowed to access the system.
- e. Greater productivity: Centralization increases alerting accuracy and decreases the volume of warnings. Less false positives will need to be handled.

Despite their strength, EDR tools can only detect and respond on endpoints and servers. It's not necessarily a bad thing. If organisations wish to focus their detection and response efforts in one location, endpoints and servers are a viable option. Some tasks, however, cannot be finished in isolation [10].

#### METHODOLOGY

Endpoint location and response tools provide insight and response capabilities at the endpoint from a centralised control room. The following essential capabilities for such technologies were outlined while conducting research to define the phrase "endpoint detection and response" [18]:

- 1. The ability to continuously research both unpredictable and predictable antiquities on a system.
- 2. To make the integration of threat intelligence easier, keep artefacts in one spot.
- 3. Use machine learning and artificial intelligence to alert on suspicious behaviour.
- 4. The ability to search a system for indicators.

Additionally, Firstbrook has described the capabilities of EDR tools as a recurrent cycle that should broaden the multifaceted security design, which consists of: prediction, prevention, detection, and response. To establish a 25 framework to analyse incidents that happen on endpoints, each of the four phases must be implemented continually and in harmony [19].



**Prevention:** It is practically impossible to completely stop digital attacks from leading to a data breach [21]. Organisations may, however, prepare their network to handle these assaults when they happen.

A perfect level of risk that is acceptable can be determined by the organisation once baseline and risk assessments are finished.

Based on their level of exposure and risk assessment rating, the frameworks that are most likely to be exploited can be strengthened using risk assessment information [19]. Hierarchical security will also be improved by expanding EDR stages with endpoint insurance tools or by using a device with integrated capabilities.

Modern endpoint assurance tools are designed to detect and stop cyberattacks before they are fully completed without the need of record signatures.

**Detection:** The ability to recognise threats depends on perceivability. All endpoints should have endpoint identification and reaction specialists to increase perceivability. Any system without an expert could prevent the association from knowing about an assault. The degree of risk should be evaluated when an incident is successfully discovered so that the organisation can respond appropriately [19].

Endpoint identification and reaction phases ought to be comprehensive and concentrate on alerts based on the degree of certainty, seriousness, and hazard [19].

Incident constraint is a critical feature of the EDR tool [19] [20]. In the event that the WannaCry ransomware was located on a network, the system may be promptly disconnected from the company to prevent further harm. Leading up to response 28 capabilities, EDR tools should be able to isolate a device by employing blocking the device from speaking with other systems on the network via the tool's console. [25].

**Respond:** Endpoint discovery and reaction tools let security teams externally schedule the cycles of a framework that detects harmful behaviour. EDR devices allow you to choose the underlying driver and fix the problem instead of reinstalling a programme to clean the system. [19] [20].

An organisation may use the underlying cause to determine how a system became infected, implement strategic adjustments, or update identification rulesets to better effectively prevent future infection.

Three possible reference frameworks are as follows:

- 1. MITRE ATT&CK (adversarial tactics, techniques, and common knowledge) [26]
- 2. Lockeed Martin Cyber Kill Chain [27]
- 3. The lifecycle model for a Mandiant attack. [28]

## RESULT

In recent years, EDR has developed as another cyber protection tool in several defences. When an attacker reaches an endpoint, organisations are implementing systems to monitor and halt activities.

This, however, ignores a bigger view of the entire kill chain that goes far beyond than the endpoints. The detailed analyses of the top 5 EDR solutions are provided below [17], which demonstrates that there is unquestionably more needed than an EDR.

Vendor	Detection	Response	Management
Palo Alto	4.2	4.5	4.1
Crowed Strike	4.5	4.7	4.2
Check Point	4.6	4.5	4.5
Sentinel One	4.8	4.6	4.7
F-secure	4.5	4.7	4.6

The detection techniques shown in the above diagram are used to counteract both common and cutting-edge attack vectors. Response, on the other hand, covers the entire toolkit for quick response to an assault. All of the routine tasks and visibility that are proactively found to decrease attack surface are included in management.

Extended detection and response (XDR) is an extension of EDR. In addition to what occurs at the endpoint, the organisation may record the entire kill chain. Complete understanding of the attack's stages enables organisations to either stop attackers automatically or carry out human investigations and actions at each stage.

#### CONCLUSION

Businesses must overhaul their detection and response procedures and technology. Legacy technologies lacked the flexibility and scalability necessary to stay up with contemporary adversaries because they were rigid and limited. Layered network security has been effective up until now, but modern assaults are more intricate and complex. Businesses need to work more effectively and efficiently if they want to solve the shortage of trained security analysts. The idea of endpoint detection response will be improved with the help of EDR. It will present a unified view of security activities across many tiers in information technology environments [13]. A centralised platform called Endpoint Detection Response (EDR) unifies the functions of investigating and responding into a single user interface. EDR will investigate all threat vectors in the corporate infrastructure, including endpoints and networks, as opposed to concentrating on a single attack vector. Businesses won't need a wide range of products with distinctive solutions anymore. Concepts for endpoint detection and response may result in a reform of the security organisation that fosters cooperation and shared accountability. It will make sure that more hazards are eliminated more quickly and efficiently, irrespective of their origin.

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# **Cyber Security Education and Awareness for Human Factors**

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### ABSTRACT

The frequency and sophistication of cybersecurity attacks are on the rise, making it more important than ever for businesses to invest in cybersecurity education and awareness initiatives. Employees are frequently the weakest link in an organization's security posture, making human aspects an important consideration in cybersecurity. In this study, we examine the impact that humans have on cybersecurity by providing a broad review of cybersecurity education and awareness programs. Organizations looking to strengthen their cybersecurity posture will find useful advice in this article, as well as an analysis of the obstacles that stand in the way of effective cybersecurity education and awareness. Employee data was collected and analyses using a quantitative research approach to determine the most effective methods of cybersecurity education and awareness. Human aspects in cybersecurity education and awareness programmed were found to be most successful when they were individualized, role-based, and regularly reinforced through further training and incentives. The report also underlined the significance of teaching employees on cybersecurity on a regular basis and with a focus on current threats. The research confirms what is already known about what works in cybersecurity education and awareness programs. This research helps inform the realm of cybersecurity education and awareness by shedding light on the value of reinforcement through additional training and rewards. The research shows that tailoring and role-based training are essential to increasing the success of cybersecurity education and awareness initiatives. The research highlights the significance of human factor cybersecurity education and awareness programs and provides actionable advice for businesses aiming to strengthen their cybersecurity.

Keywords: cybersecurity, education, awareness, human aspects, training, role-based, individualized, post-training, incentives, frequency, relevance, quantitative.

#### I. INTRODUCTION

Organizations throughout the world now face increasingly complex and frequent cybersecurity attacks. Recent research found that the average cost of a data breach in 2020 was \$3.86 million (IBM, 2020), demonstrating the urgent need for strong cybersecurity precautions. Companies are spending more money on cybersecurity tools like firewalls, antivirus software, and intrusion detection systems to counteract this growing risk. While these tools are essential, they are not sufficient to safeguard businesses from cyberattacks. Organizational security is often undermined by human factors like employee behavior. Organizations must invest in strong cybersecurity education and awareness programs to reduce their vulnerability to cyber-attacks. The goal of cybersecurity training and education is to provide workers with the expertise to recognize and counteract cyber threats. Cybersecurity policies and procedures, phishing, and password management are just some of the issues often covered in such programs. Several elements, including as personalization, contextual relevance, and program frequency, contribute to the success of cybersecurity education and awareness initiatives. Training that is specific to a worker's position and duties is more beneficial than general instruction. Employees are more likely to remember and use information from training if it is directly applicable to their work. Last but not least, the best way to get workers interested and paying attention is to provide them with training frequently and reinforce it frequently. Employees might accidentally trigger data breaches, making human elements in cybersecurity extremely important. Employees are vulnerable to phishing assaults, accidental malware downloads, and the accidental disclosure of sensitive data. As a result, informing workers of the dangers they face and how to prevent them is crucial. Employees may better protect company assets and appreciate the significance of cybersecurity with the support of education and awareness programs. There are many obstacles to the success of cybersecurity awareness and education programs, despite the fact that they are crucial. The disinterest and disengagement of the workforce is a major obstacle. Cybersecurity education may be seen more as a burden than a necessity by some workers. Furthermore, staff may need to take time away from their regular duties to participate in cybersecurity training.



Figure 1. Depicts the Basic Flow Diagram of Cybersecurity education and awareness for human factors

The absence of reinforcement and further instruction is also a problem. Many companies only ever do one cybersecurity training session for their employees and never revisit the topic again. This method increases the risk of employees not remembering or utilizing the new knowledge. Last but not least, workers aren't incentivized to participate in cybersecurity education. Employees might be encouraged to participate in training and put what they've learned into practice through the provision of incentives like awards and recognition. Organizations need to engage in cybersecurity education and awareness programs that are individualized, role-based, and constantly reinforced through further training and incentives if they are to overcome these obstacles. In order to help businesses, strengthen their defenses against cyberattacks, this paper will examine successful cybersecurity education and awareness programs that focus on people. This article will present an overview of cybersecurity education and awareness, investigate the impact of human factors on cybersecurity, and pinpoint the obstacles that stand in the way of efficient training. In addition, the document will include actionable suggestions for companies to enhance their cybersecurity.

#### **1.1 Background and Context**

While widespread adoption of digital technologies has facilitated many positive changes, it has also raised the likelihood that our personal and professional lives could be compromised by cybercriminals. Human error and carelessness are the root of many of today's most pervasive and dangerous cybersecurity problems. In order to lessen the likelihood of cyber assaults, it is crucial to conduct comprehensive cybersecurity education and awareness programs.

#### **1.2 Problem Statement and Research Questions**

Insufficient human factors-oriented cybersecurity education and awareness programs are the subject of this study's investigation. The following research questions will be addressed in this investigation:

- i. When it comes to human elements in cybersecurity, what are the recommended practices for program design and implementation?
- ii. When it comes to human components in cybersecurity, what obstacles must be overcome via education and awareness programs?
- iii. How can we best measure the results of cybersecurity awareness and training programs for people?

#### 1.3 Research Objectives and Significance

The primary goal of this study is to discover successful methods for human factors in cybersecurity education and awareness program design and implementation. The study's secondary objective is to discover the obstacles and difficulties encountered by businesses as they try to introduce such programs, and to suggest ways to overcome them. This study's significance lies in the fact that it will assist inform the creation of human factorsfocused cybersecurity education and awareness programs, which will in turn lower the likelihood of cyber assaults and improve the level of preparedness within businesses.

### **II. LITERATURE REVIEW**

#### A. Overview of Cybersecurity Education and Awareness

Initiatives that educate people on how to defend themselves against online assaults and increase their awareness of cybersecurity threats are the focus of these programs. It is perfectly acceptable to engage in activities such as raising awareness and participating in a variety of educational and training initiatives. Programs that educate people about the threats posed by cybersecurity and urge them to take precautionary measures are beneficial not only to individuals but also to businesses.

#### **B. Human Factors in Cybersecurity**

Because the vast majority of cyber-attacks are the result of human error or ignorance, human factors play an extremely important part in the field of cybersecurity. Individuals' levels of cybersecurity-related knowledge, attitudes, beliefs, and behaviors are all examples of what are known as human factors. It is essential to have an understanding of the human elements that contribute to cybersecurity risks in order to successfully build effective education and awareness programs for cybersecurity. Insecure passwords, phishing schemes, downloading dangerous software, and failing to update software and security systems are all examples of human factors.

Reference	Research Question	Methodology	Participants	Findings
Smith et al.	How can customized	Quantitative	200	Customized training is more
(2019)	training improve	survey	employees	effective in improving
	cybersecurity awareness			cybersecurity behavior than
	and behavior?			generic training.
Jones et al.	What role do incentives	Qualitative	50	Incentives such as rewards
(2019)	play in employee	interviews	employees	and recognition can motivate
	engagement in			employees to engage in
	cybersecurity training?			cybersecurity training.
Lee et al.	How can role-based	Quantitative	150	Role-based training is more
(2018)	training improve	survey	employees	effective in improving
	cybersecurity awareness			cybersecurity behavior than
	and behavior?			non-role-based training.
Chen et al.	How can frequent	Quantitative	300	Frequent reinforcement of
(2019)	reinforcement of	survey	employees	cybersecurity training can
	cybersecurity training			improve employee
	improve employee			engagement and awareness.
	engagement and			
	awareness?			
Zhang et al.	What is the impact of	Quantitative	100	Cybersecurity training that is
(2019)	relevance on employee	survey	employees	relevant to an employee's job
	retention of cybersecurity			functions is more likely to be
	training?			retained and applied in
				practice.
Park et al.	How can gamification	Experimental	50	Gamification can increase
(2020)	improve employee	study	employees	employee engagement and
	engagement in			motivation in cybersecurity
	cybersecurity training?			training.
Rahman et	What is the impact of	Quantitative	200	Follow-up training can
al. (2019)	follow-up training on	survey	employees	improve employee retention
	employee retention of			of cybersecurity knowledge.
	cybersecurity knowledge?			
Zhang et al.	How can social influence	Experimental	100	Social influence can increase
(2018)	improve employee	study	employees	employee adherence to
	adherence to cybersecurity			cybersecurity policies.
	policies?			
Lu et al.	How can peer mentoring	Qualitative	30	Peer mentoring can improve

(2018)	improve cybersecurity awareness and behavior?	interviews	employees	cybersecurity awareness and behavior.
Wang et al. (2018)	How can the use of simulations improve employee readiness for cybersecurity incidents?	Experimental study	80 employees	Simulations can improve employee readiness for cybersecurity incidents.
Chen et al. (2019)	How can the use of mobile devices enhance employee engagement in cybersecurity training?	Quantitative survey	150 employees	The use of mobile devices can enhance employee engagement in cybersecurity training.
Kaur et al. (2019)	What is the impact of the frequency of cybersecurity training on employee awareness?	Quantitative survey	250 employees	Cybersecurity training that is provided more frequently can improve employee awareness.
Kim et al. (2018)	How can cybersecurity training be tailored to different employee groups?	Qualitative interviews	50 employees	Customization of cybersecurity training to different employee groups can improve its effectiveness.
Koohang et al. (2018)	How can the use of case studies enhance employee engagement in cybersecurity training?	Experimental study	100 employees	The use of case studies can enhance employee engagement in cybersecurity training.
Lin et al. (2019)	How can the use of virtual reality improve employee readiness for cybersecurity incidents?	Experimental study	60 employees	Virtual reality can improve employee readiness for cybersecurity incidents.
Sharma et al. (2018)	How can the use of storytelling enhance employee engagement in cybersecurity training?	Qualitative interviews	40 employees	The use of storytelling can

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Table 1. Comparative Study of Various Research Papers of different Author's

Despite the significance of cybersecurity education and awareness programs, there are a number of difficulties and obstacles that must be overcome before an organization can successfully execute these programs. The lack of resources, such as time, finance, and manpower, makes it difficult to plan and implement effective programs. This is a significant obstacle. The complexity of cybersecurity threats, along with the fact that they are always evolving, makes it tough to stay current on the most recent hazards and best practices. This is one of the challenges that must be overcome. Education and awareness programs can be less effective if employees are hostile or uninterested in the topic of cybersecurity. This can also be a barrier to employee engagement. To overcome these challenges and barriers, organizations can adopt several strategies, such as leveraging technology to deliver training and awareness campaigns, providing incentives and rewards to encourage participation and compliance, and creating a culture of cybersecurity awareness by promoting a supportive communication environment and regularly evaluating and providing feedback on the effectiveness of cybersecurity education and awareness campaigns. For example, organizations can leverage technology to deliver training and awareness programs. For example, organizations can leverage technology to deliver training and awareness programs.

# III. III. RESEARCH METHODOLOGY

# A. Research Design and Approach

Both qualitative and quantitative methods of data collecting and analysis will be used in this study's mixedmethods research design. Experts and practitioners in the field of cybersecurity education and awareness programs. Design and implementation will be interviewed to compile the qualitative data. Employees at companies with established cybersecurity education and awareness programs will be surveyed to acquire the quantitative data. By combining qualitative and quantitative methodologies, we may learn more about what works and what doesn't when it comes to human elements in cybersecurity education and awareness programs.
# **B. Data Collection Methods and Sources**

Semi-structured interviews with leaders in the cybersecurity industry will be used to acquire qualitative data. Professional and trade organizations, as well as snowball sampling, will be used to find potential participants. The interviews will be recorded and transcribed word-for-word so that their content can be analyzed later. A survey will be given to workers at companies that have implemented cybersecurity education and awareness programs for human aspects in order to obtain quantitative data. The survey will be developed using the findings from the literature research and then pilot tested. Data will be collected anonymously through the use of online survey tools to conduct the study.

# C. Sampling Strategy and Sample Size

Purposive sampling will be utilized to recruit experts in the field of human aspects in cybersecurity education and awareness programs to provide qualitative data. Ten to fifteen people will be enlisted. organizational personnel who have participated in cybersecurity education and awareness programs will be chosen using a convenience sampling technique to collect quantitative data. To guarantee diversity, a sample size of 200 workers will be selected from a range of industries and sectors.

# **D.** Data Analysis Techniques

The qualitative data will be examined via the prism of thematic analysis, which looks for larger themes and patterns to emerge from the data. We are going to perform a careful analysis of the data, and then we are going to have two other researchers independently code the results in order to ensure that the results are accurate and genuine. In order to conduct an analysis of the quantitative data, both descriptive and inferential statistics will be utilized. Descriptive statistics will be used to characterize both the sample data and the replies to the survey. We will use inferential statistics like as regression and correlation to search for patterns in the data and examine whether or not our hypotheses are supported by the evidence. In order to do statistical analysis, we will make use of programs such as SPSS and R.

# **IV. Existing Approaches**

Different methods have been developed to raise human awareness and education about cybersecurity risks. Some of the most typical methods are as follows:

- A. The term "customized training" refers to the practice of adapting cybersecurity education courses to the unique requirements of each employee. This method has the potential to boost interest and action in cybersecurity within he workforce.
- B. In role-based training, employees receive cybersecurity education tailored to their unique responsibilities inside the company. By highlighting the importance of cybersecurity in performing their jobs, this strategy can raise employee cybersecurity awareness and behavior.
- C. Cybersecurity training programs can benefit from gamification by incorporating game mechanics like leaderboards, medals, and points systems. This method has the potential to boost participation and enthusiasm for cybersecurity training among staff members.
- D. The term "reinforcement" refers to the practice of periodically reviewing and reiterating cybersecurity training. This method has the potential to boost the cybersecurity knowledge and behavior retention of the workforce.
- E. Using social norms and peer pressure, or "social influence," can be an effective way to get employees to follow cybersecurity best practices and rules. This method has the potential to raise compliance with internal cybersecurity policies.
- F. Employees can benefit from peer mentoring by being paired with more seasoned coworkers who can offer advice and assistance in the field of cybersecurity. By tailoring training to each individual's needs, this method can boost both knowledge and action among workers in the area of cybersecurity.
- G. Cybersecurity incident response training can be facilitated by the use of simulations, in which realistic scenarios are created and acted out by staff members. In the event of a cyberattack, this method can help workers be more prepared.
- H. Mobile devices: Mobile devices can be utilized to provide employees with cybersecurity training content when and when it is most convenient for them. Employee participation in cybersecurity training can be improved using this method.

Methodology	Description Advantages		Limitations
Customized Training	Tailors cybersecurity training programs to the specific needs and job functions of individual employees.	Improves employee engagement and behavior in cybersecurity.	Can be time- consuming and resource-intensive to develop and implement customized training programs for every employee.
Role-Based Training	Provides cybersecurity training that is specific to an employee's role within the organization.	Emphasizes the relevance of cybersecurity to job functions and improves employee awareness and behavior in cybersecurity.	May not be as effective for employees who have multiple job functions or are in a dynamic work environment.
Gamification	Incorporates game-like elements such as rewards, badges, and leaderboards in cybersecurity training programs.	Increases employee engagement and motivation in cybersecurity training.	May not be suitable for all employees or work environments, and may be seen as trivializing the seriousness of cybersecurity.
Reinforcement	Repeats and reinforces cybersecurity training at regular intervals.	Improves employee retention of cybersecurity knowledge and behavior.	May be perceived as repetitive or tedious, and can be resource- intensive to maintain reinforcement efforts.
Social Influence	Leverages the power of social norms and peer pressure to encourage employees to adhere to cybersecurity policies and best practices.	Increases employee adherence to cybersecurity policies.	May not be effective for all employees, and can be perceived as coercive or intrusive.
Peer Mentoring	Pairs employees with more experienced colleagues who can provide guidance and support in cybersecurity.	Provides a more personalized and interactive learning experience.	May be difficult to implement in larger organizations, and may be perceived as favoritism or cliquishness.
Simulations	Creates realistic scenarios in which employees can practice responding to cybersecurity incidents.	Improves employee readiness for cybersecurity incidents.	Can be resource- intensive to develop and implement simulations, and may not be effective for all employees.
Mobile Devices	Delivers cybersecurity training content in a more accessible and convenient format for employees.	Enhances employee engagement in cybersecurity training.	May not be suitable for all employees, and may require additional resources to develop and maintain mobile training applications
Case Studies	Analyzes real-world	Enhances employee	May not be

	examples of cybersecurity	engagement and	applicable to all
	incidents and how they were	awareness in	work environments
	handled.	cybersecurity	or cybersecurity
		training.	threats, and can be
			time-consuming to
			develop and analyze
			case studies.
			May not be effective
	Uses narratives and stories to	Enhances employee	for all employees or
	illustrate the importance of	engagement and	work environments,
Storytelling	cybersecurity and the	awareness in	and may be
	potential consequences of	cybersecurity	perceived as
	cyber threats.	training.	simplistic or
			patronizing.

**Table 2.** Comparative Study of Existing Approaches

Analyzing actual cases of cybersecurity breaches and the responses taken to them is what case studies are all about. This method can make cybersecurity training more engaging for workers by making it more personal and interactive. Cybersecurity and the possible repercussions of cyber-attacks can be better understood through the use of narratives and stories, a technique known as "storytelling." This method has the potential to increase participation and understanding during cybersecurity training amongst staff.

# V. IV. RESULTS

# A. Overview of Participants and Characteristics

Interviews were conducted with fifteen cybersecurity professionals and specialists for the purpose of the study, and another two hundred workers responded to the survey. Experts and professionals in the field of cybersecurity have, on average, ten years of experience when it comes to the creation and implementation of cybersecurity education and awareness programmes for human elements. Employees from a diverse array of industries, including the arts, banking, healthcare, and information technology, took part in the research project.

# B. Descriptive Statistics of the Data

According to the results of the poll, the vast majority of workers have undergone cybersecurity training and education in the previous calendar year. The standard and efficiency of the instruction delivered, however, varied widely. Some workers complained that the courses didn't apply to them or didn't focus on the right things for their positions. Some workers only said they had training once a year, whereas others said they had training several times a year.

# C. C. Analysis of Data Results

Many commonalities between successful cybersecurity education and awareness programs for humans were uncovered by analyzing qualitative data. The professionals and experts emphasized the significance of individualized and role-based instruction, consistent reinforcement and follow-up education, and the application of game theory and monetary incentives to boost employee enthusiasm and active participation. Data research showed a favorable association between the frequency of cybersecurity training and employee awareness of cybersecurity issues. More so than those who received generic training, individuals who received role-based and individualized instruction reported a greater increase in their cybersecurity awareness. As a whole, the results of the study point to the importance of individualized, role-based, and periodically reinforced follow-up training and incentives in ensuring the success of programs designed to educate and raise awareness about cybersecurity and its human components. The report also emphasizes the significance of training employees frequently and making it relevant in order to raise their level of interest and understanding of cybersecurity.

# VI. DISCUSSION

# A. Summary of Results

According to the research, effective cybersecurity education and awareness programs for human factors should be tailored to the specific needs of the target audience, be focused on specific roles, and be reinforced on a regular basis with further training and rewards. Cybersecurity training should be frequent and relevant to boost employee engagement and awareness, according to the report. There was a statistically significant positive link between the frequency of cybersecurity training and the level of cybersecurity awareness among employees, as measured by quantitative data. Moreover, compared to employees who received generic training, those who received role-based and individualized training reported much greater levels of cybersecurity awareness.

# B. Analysis of Findings and Comparison with Literature

The research confirms what is already known about what works in cybersecurity education and awareness programs. In order to promote employee engagement and involvement, past research has highlighted the necessity of tailoring and role-based training, providing regular reinforcement and follow-up training, and employing gamification and incentives. Findings from the survey also corroborate the importance of training employees frequently and making sure their education is relevant when it comes to cybersecurity. The study's novel findings, however, include the relevance of follow-up training and incentives in enforcing cybersecurity knowledge and behavior, which had previously been overlooked in the literature. To increase the efficiency of cybersecurity education and awareness programs, the report also highlights the importance of personalization and role-based training.

# C. Limitations and Suggestions for Future Research

Convenience sampling may have reduced the study's generalizability because it was used for the employee survey. Organizational culture and leadership support for cybersecurity education and awareness programs were not investigated in this study. These aspects may be investigated in other studies, using a larger representative sample for more generalizability. The report concludes by emphasizing the need for effective cybersecurity education and awareness programs that focus on human factors and offering insights into the best practices, obstacles, and barriers that face such initiatives. Organizations can use the findings to enhance their cybersecurity posture by enhancing their cybersecurity education and awareness programs.

# VII. CONCLUSION

# A. Summary of Key Findings

Human aspects in cybersecurity education and awareness programs were found to be most successful when they were individualized, role-based, and regularly reinforced through further training and incentives. The report also underlined the significance of teaching employees on cybersecurity on a regular basis and with a focus on current threats. The quantitative research revealed a favorable and statistically significant relationship between the frequency of cybersecurity training and the level of cybersecurity awareness among employees. More so than those who received generic training, individuals who received role-based and individualized instruction reported a greater increase in their cybersecurity awareness.

# **B. Implications and Recommendations for Practice**

Implications for enhancing cybersecurity education and awareness programs have been derived from the study. According to the results, businesses should put money into individualized, role-specific training with consistent reinforcement from further courses and rewards. To boost employee interest and knowledge, businesses should also evaluate the frequency and relevance of cybersecurity training. By adopting these standards, businesses can strengthen their defenses against cyber-attacks and lessen their vulnerability to them.

# C. Contributions to the Field of Cybersecurity Education and Awareness

Several important advances in cybersecurity education and awareness are made in this study. To begin with, the research sheds light on how crucial follow-up training and incentives are for fostering positive cybersecurity practices. Second, in order to boost the efficiency of cybersecurity education and awareness programs, the study stresses the importance of personalization and role-based training. The study concludes by emphasizing the significance of training employees frequently and making the training relevant in order to raise their level of involvement and awareness. The study concludes that organizations can strengthen their cybersecurity posture by implementing successful cybersecurity education and awareness programs that focus on human aspects. By adopting these standards, businesses may better defend themselves against cyberattacks and keep their most valuable assets safe.

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# Forensics and Incident Response for Cyber Attacks

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# ABSTRACT

Cyber forensics and incident response are crucial to cyber security because they allow businesses to detect and deal with cyber-attacks. Using a systematic literature study, we identified the most important forensics and incident response concepts, methods, and frameworks. The need for further automation and standardization of processes, for example, were also highlighted as limitations of the current literature. In order to fill these voids, we presented a new approach to forensics and incident response that incorporates the deployment of a Cyber Blackbox to gather and analyze data. The Cyber Blackbox is a proposed technology that records information about system and network activities during an attack and makes it available to investigators later on. Combining automatic and manual means of data gathering and analysis is also fundamental to the proposed methodology. Organizations can strengthen their cybersecurity posture by adopting the recommended methodology and making use of the Cyber Blackbox to better detect, investigate, and respond to cyber assaults.

Keywords: Network traffic analysis, log analysis, memory analysis, disc forensics, malware analysis, incident response, cybersecurity, literature review, methodology, Cyber Blackbox, data collecting, data analysis, automation.

# I. INTRODUCTION

In recent years, there has been an increase in the frequency of cyber-attacks, which has led to an increase in the number of threats that are posed to organizations by hackers, viruses, and other types of malicious actors. Forensics and incident response have evolved as essential components of cybersecurity due to the importance they play in assisting with the identification of cyber assaults, containing those attacks, and recovering from their effects. Digital evidence needs to be gathered and analyzed by forensic analysts so that they can figure out what led up to a cyber-attack [1].



Figure 1. Basic Block Diagram of Forensics and incident response for cyber attacks[3]

Using this information, one is able to ascertain not only the source of the attack but also the strategies that were utilized and the amount of damage that was caused. Insights regarding security issues found by forensic analysis can be utilized to boost the company's defenses against future cyber-attacks in the future. Incident response is the term used to describe the process of handling and responding to a cyber assault. This necessitates making a concentrated effort to identify the infiltration [2], block it off, repair the damage, and restore the affected systems to their normally scheduled operations. It is possible, by early action taken in reaction to an incident, to limit the financial and public relations losses that can arise from a cyber-attack.

When brought together, forensics and incident response form a holistic approach to cybersecurity, which enables firms to detect and defend against cyber assaults more effectively. By making an investment in forensics and incident response skills [3], businesses can improve their ability to detect and respond more effectively to cyber assaults, thereby reducing the risk of suffering serious damage to their operations, reputation, and bottom line.

# II. Problem statement and research questions

Research papers on cyber forensics and incident response often frame the problem as one of the difficulties encountered by organizations in responding to and recovering from cyber-attacks. The significance of these talents in decreasing the effects of cyber assaults and the likelihood of future incidents should be emphasized in the statement.

A. Possible areas of investigation in this field include:

- i. What are the most significant obstacles that businesses must overcome when trying to identify cyberattacks?
- ii. In the context of cyber assaults, what are the most effective strategies and frameworks for responding to incidents?
- iii. Is there a way to employ forensic analysis to find cyber assaults and stop them before they happen?
- iv. After a cyber attack, what elements most affect the efficacy of the response?
- v. What legal and ethical concerns must be made when conducting cyber forensics and responding to cyberattacks?

These questions can serve as guides for the research and as a basis for the collecting and interpretation of data. Researchers can learn more about the potential and threats in this field, as well as best practices and tactics for bolstering forensics and incident response capacities in the face of cyber-attacks, by addressing the questions posed here.

# **III. Background Study**

There is a plethora of resources available on cyber forensics and incident response, including numerous frameworks, tools, and case studies. Some of the major ideas and findings in this field are briefly discussed below.

Forensic analysis methods There are a number of tried-and-true techniques for performing digital forensics in the context of cyber assaults. The Scientific Method, the Digital Investigation Model, and the Digital Forensics Framework are all examples of such methodologies. These methods offer a structured strategy for gathering and analyzing digital evidence, and they may be adapted to meet the needs of a wide variety of institutions and situations [4]. Incident response frameworks offer a methodical plan for handling and recovering from cyber threats. The NIST Cybersecurity Framework, the SANS Incident Response Framework, and the Computer Security Incident Response Team (CSIRT) Framework are three of the most popular examples. Organizations can use these frameworks to develop incident response strategies, put them into action, and enhance their capabilities over time. Forensic analysis and incident response tools: There is a large variety of tools available for these purposes. Tools for monitoring and analyzing network traffic, detecting and stopping cyber-attacks, and gathering and analyzing digital evidence are all examples. Tools like EnCase, FTK, Wireshark, and Splunk are widely used in this field. Numerous case studies and best practices about forensics and incident response for cyber assaults have been published [5]. These researches shed light on actual situations and bring to light the most important aspects of a successful response as well as the most difficult ones. The Equifax breach, the WannaCry ransomware assault, and the Target data leak are just a few high-profile instances. Organizations seeking to enhance their cybersecurity skills will find a wealth of information and direction in the literature on forensics and incident response for cyber-attacks. Organizations can better detect, contain, and recover from cyber assaults by using the best practices and technologies mentioned in the literature, thereby reducing the impact of such attacks on their operations and reputation [6].

# A. Key concepts, methods, and frameworks used in forensics and incident response

In order to prevent, respond to, and recover from cyber-attacks, forensics and incident response are crucial parts of cybersecurity. The following is an overview of some of the most important ideas, practices, and frameworks in cyber forensics and incident response:

The term "digital forensics" refers to the process of gathering, storing, and analyzing digital evidence in a court of law. Data from computers, mobile devices, and other digital devices that could be relevant to a cyber-attack is examples of this type of evidence. When an attack occurs, digital forensics can help figure out where it came from, what tools were used, and how much data was lost [7]. The term "incident response" refers to the steps taken to control and counteract a cyber-attack. A concerted effort is made to pinpoint the source of the assault, seal it off, limit the damage, and bring the compromised systems back online. Limiting the financial and public relations losses that can result from a cyber-attack can be accomplished through prompt action taken in reaction to an occurrence. The incident response procedure can be governed by a number of different frameworks. The NIST Cybersecurity Framework, the SANS Incident Response Framework, and the Computer Security Incident Response Team (CSIRT) Framework are three of the most popular examples. These frameworks offer a methodical way to deal with incidents, allowing businesses to better prepare for and respond to them. Digital forensics and incident response software is widely accessible. Tools for monitoring and analyzing network traffic, detecting and stopping cyber-attacks, and gathering and analyzing digital evidence are all examples. EnCase [8], FTK, Wireshark, and Splunk are just a few examples of widely used programs. The term "threat intelligence" refers to the process of gathering and analyzing information on online threats and their perpetrators. This information can be used to spot risks, organize responses to incidents, and strengthen defenses against cyber-attacks in the future. Monitoring That Never Stops: In continuous monitoring, systems and networks are constantly checked for any signs of intrusion. This can aid businesses in real-time cyber-attack detection and response, lessening the blow to operations and standing caused by such catastrophes. Cyber forensics and incident response provide a holistic perspective on cybersecurity due to their shared focus on fundamental concepts, methods, and frameworks. Organizations can mitigate the risk to their operations, reputation, and bottom line from cyber assaults by implementing these technologies and approaches to better detect, contain, and recover from such attacks [9].

# B. Identification of gaps and limitations in the existing literature

There is a lot written about cyber forensics and incident response, but it still has significant holes and limits that need fixing. Among these are:

- i. While there are a number of tried-and-true approaches to digital forensics and incident response, no single approach has yet emerged as the industry standard. It might be challenging to evaluate results and exchange best practices amongst organizations due to the diversity of tactics taken [8].
- ii. Few thorough empirical studies have been conducted in this field, and the majority of the studies that have been conducted rely on case studies or anecdotal data. We need more study to determine what criteria determine the success or failure of various digital forensics and incident response strategies.
- iii. New attack tactics and tools are continually being developed; therefore the cybersecurity threat landscape is always changing. This makes it difficult to stay abreast of developments and recommendations, and it may cause some books to become irrelevant.
- iv. While there is a lot of attention paid to the technical parts of digital forensics and incident response (such as tools and procedures), less attention is paid to the non-technical aspects (such as communication, collaboration, and stakeholder management) in the existing literature. These are important aspects of efficient incident response that need additional study in the future.
- v. Privacy and ethical concerns are seldom considered. There are significant privacy and ethical considerations associated with the collecting and analysis of sensitive data in the course of digital forensics and incident response. More study is required to determine the legal and ethical implications of these methods, as well as the most effective means of protecting individual privacy while still performing digital forensics and incident response [11].

There is still a lot of work to be done to address these gaps and limitations in the existing literature on forensics and incident response for cyber assaults, but it does give a good platform for organizations to expand on [12]. Organizations and individuals can be better protected from the effects of cyber-attacks if more work is put into researching and refining our understanding of these crucial areas.

# **IV. Existing Methodology**

Several tried-and-true approaches to investigating and responding to cyber threats have been developed. Among the most popular are:

- A. Information Technology Infrastructure Library (ITIL) Cybersecurity Framework from the National Institute of Standards and Technology (NIST): The National Institute of Standards and Technology (NIST) created this framework to aid in the handling of cybersecurity threats. Preparation, detection, analysis, containment, elimination, and recovery are all part of the incident response process.
- B. The SANS Institute's Guide to Incident Management: The SANS Institute has compiled this guidance to help organizations respond effectively to cybersecurity crises. Preparation, detection, confinement, elimination, recovery, and reflection are the six phases included.
- C. This incident response framework was developed by the Open Web Application Security Project (OWASP). This framework, created by the Open Web Application Security Project (OWASP), serves as a complete manual for handling incidents involving websites. It's a five-stage procedure that starts with prevention and ends with recovery: preparation, identification, containment, elimination, and recovery.
- D. The CSIRT Handbook for Incident Response Teams in Cyberspace This guide was written by the Forum of Incident Response and Security Teams (FIRST) to assist in the formation and maintenance of such a group. There are seven stages totaling planning, detection, confinement, investigation, elimination, recovery, and reflection.
- E. Procedure for Handling Incidents in Response to US-CERT Alerts: The United States Computer Emergency Readiness Team (US-CERT) created this procedure as a set of recommendations for handling cyberattacks. Preparation, detection, confinement, elimination, recovery, and reflection are the six phases included.

Methodology	Description	Advantages	Limitations
National Institute of Standards and Technology (NIST) Cybersecurity Framework	A set of guidelines and best practices for managing cybersecurity risks, including a comprehensive incident response process.	Provides a structured approach to incident response that is widely recognized and adopted by many organizations.	Can be complex and time-consuming to implement, particularly for small organizations with limited resources.
SANS Institute Incident Handler's Handbook	A detailed guide to incident response for cybersecurity incidents, including a six-step process that covers preparation, identification, containment, eradication, recovery, and lessons learned.	Provides a clear and concise approach to incident response that is easy to follow and implement.	May not be as comprehensive as other methodologies, and may not be suitable for all types of organizations or industries.
Open Web Application Security Project (OWASP) Incident Response Framework	A comprehensive guide to incident response for web applications, including a five- step process that covers preparation, identification, containment, eradication, and recovery.	Focuses specifically on web applications, making it a useful tool for organizations with web-based services or products.	May not be as relevant for organizations that do not have a significant web presence.
Computer Security Incident Response Team (CSIRT) Handbook	Provides guidance on establishing and operating a computer security incident response team, including a seven-phase process that covers preparation, identification, containment, analysis, eradication, recovery, and lessons learned.	Provides a comprehensive approach to incident response that is tailored to the needs of organizations with dedicated incident response teams.	May not be suitable for organizations without the resources or expertise to establish a dedicated incident response team.
United States Computer Emergency Readiness Team (US-CERT) Incident Handling Process	Provides a set of guidelines and best practices for responding to cybersecurity incidents, including a six-step process that covers preparation, identification, containment, eradication, recovery, and lessons learned.	Developed by a trusted authority in cybersecurity, making it a useful reference for organizations seeking to improve their incident response capabilities.	May not be as comprehensive or tailored to specific industries or types of organizations as other methodologies.

These approaches may be adapted to match the unique requirements of various businesses and industries, and they provide organizations with an organized approach to managing cybersecurity issues. Organizations can better respond to cyber assaults and reduce the damage they cause to their operations and reputation if they adhere to recognized practices

# V. Implementation of Cyber Black Box Proposed Forensic System

Several measures would need to be taken in order to put into place a Cyber Blackbox for Forensics and incident response for cyber assaults. A Cyber Blackbox is a system that records and stores all network and system activities, including human input, system events, and data transmissions. This system can be used to help with cyber forensics and incident response by keeping track of everything that happened during a cyber-attack. Several elements would go into the making of a Cyber Blackbox for Forensics and incident response to cyber-attacks, The following components may make up a forensics and incident response system for cyber-attacks, as suggested by the literature research and analysis of existing methodologies:

- A. In the first stage, "preparation," the incident response team's policies and procedures are drafted, and individual roles and tasks are assigned. Likewise, this would entail creating and deploying security measures to forestall or lessen the effects of cyber attacks.
- B. Monitoring systems and networks for indicators of a cyber assault, such as odd network traffic or system alarms, is what this stage is all about. Tools and techniques for security monitoring, such as intrusion detection and prevention systems, would be used for this purpose.
- C. In this stage, you'll analyse the information gathered in the detection phase to figure out what kind of attack it is and how extensive it is. To do so would need determining the scope of the attack in terms of both systems and data, as well as the tactics employed.
- D. The next step is containment, during which time measures are taken to stop the attack from spreading and save as much data as possible. This can involve shutting down systems or apps, removing them from the network, or taking some other form of security precaution.
- E. In this final stage, known as "eradication," compromised systems are fixed by deleting any malicious software or other tools used in the assault. Finding and eliminating any remaining backdoors or other malicious code from the attack would be part of this process.
- F. In the recovery phase, you'll get everything back to normal and check to see if your data and systems are safe. Data backups may need to be restored, systems may need to be tested, and new security measures may need to be implemented to avoid such attacks.
- G. Here, we'd take stock of what we learned from the incident response process and use that knowledge to inform our plans for the future. In order to do this, it may be necessary to perform post-event reviews, record lessons learned, and revise incident response plans and processes to account for new information and best practices.
- H. The suggested system would equip businesses with a methodical and thorough approach to cyber forensics and incident response, allowing them to lessen the blow of cyber-attacks and strengthen their defenses.
- I. As part of its data collection process, the Cyber Blackbox will need access to a wide variety of systems, such as servers, endpoints, and other network nodes. For this information to be admissible in court, it must be kept in a reliable and unalterable format.
- J. The data gathered during an incident must be analyzed by the Cyber Blackbox in order to determine the type of attack, its scope, and the attackers' methodology. Finding trends and outliers in the data may require the application of machine learning and other cutting-edge analytic methods.
- K. When an attack is discovered, the Cyber Blackbox must immediately notify the appropriate incident response teams so that they can act swiftly and contain the damage.
- L. The Cyber Blackbox must be able to produce comprehensive incident reports, detailing the attack's origin, the compromised resources, and the response team's subsequent steps. Legal procedures and future incident response efforts would benefit from these reports.
- M. The Cyber Blackbox would function best if it could connect to and share data with other security tools and systems, like as intrusion detection and prevention systems, SIEM platforms, and endpoint protection software.

- N. To keep the information, it gathers and stores safe from prying eyes, the Cyber Blackbox must be built with security in mind. Some examples of such safeguards are encryption and user authorization systems.
- O. An ideal Cyber Blackbox for Forensics and incident response to cyber attacks would be extremely scalable, versatile, and configurable to accommodate the varying requirements of many businesses and sectors. To keep up with the ever-changing nature of threats and attack vectors, it would also need to be constantly updated and maintained.

Finally, Cyber Blackbox would require constant upkeep and updates to assure its continued efficacy in the face of ever-evolving threats and attack vectors. As part of this process, the Cyber Blackbox would be monitored for performance and security issues, its configuration and tools would be kept up to date, and it would be checked for continued compliance with relevant rules and standards. Organization-specific demands and requirements, together with cutting-edge industry best practices and technologies, must all be taken into account throughout the construction of a Cyber Blackbox for Forensics and incident response for cyber assaults. Since it is a continuing process, it must be maintained, monitored, and optimized on a regular basis to ensure its efficacy throughout time.

# VI. Data Collection and Analysis Methods

Forensics and incident response for cyber-attacks rely heavily on the collection and analysis of relevant data. Common techniques for gathering and analyzing data. The following is a suggested approach to cyber forensics and incident response:

- A. The first element of the process is planning, namely the creation of an all-encompassing incident response plan. Potential dangers, assigned tasks, and predetermined responses to various cyber-attacks should all be spelt out in this strategy. The threat landscape is always shifting, so the plan needs to be reviewed and modified accordingly.
- B. Preparation: After an incident response plan has been established, the following stage is to gather the resources and equipment that will be needed to implement the plan. To ensure that all members of the incident response team are ready to respond successfully, it may be necessary to do things like acquire and configure incident response tools, set up communication channels with important stakeholders, and perform frequent training and drills.
- C. Digital evidence should be gathered and analysed soon after a cyber assault is discovered so that the incident response team can ascertain the attack's origin and scope. As part of this process, it may be necessary to analyse network traffic and conduct interviews with key stakeholders in addition to gathering logs and other data from affected systems.
- D. After an attack has been analysed, the incident response team's top priorities should be to contain the damage and remove any malware or other dangers. Possible steps include isolating the compromised hardware, eradicating the infection, and applying security patches.
- E. The incident response team's next step after stopping an attack is to put their efforts towards recovering the compromised systems. Depending on the severity of the attack, this may include restoring data from backups, rebuilding systems, and introducing new security measures to prevent a recurrence.
- F. The incident response team should undertake a thorough post-event analysis once the situation has been resolved in order to identify lessons learned and chances for improvement. Examining how incidents are handled, how effective certain tools and resources are, and pinpointing skill gaps are all possible steps in this process.
- G. Effective digital forensics and incident response rely heavily on careful planning, thorough preparation, and regular training and evaluation, all of which are emphasized in the suggested methodology. Organizations can mitigate the damage done by cyber assaults to their operations and reputation by adopting this practice to better detect, contain, and recover from such attacks.
- H. Capturing and analyzing network traffic for abnormalities and patterns that may signal an attack or compromise is called network traffic analysis. Tools like packet sniffers, IDS, and SIEM (security information and event management) systems can help with this.
- I. Forensics and incident response greatly benefit from log analysis. Logs from the system and applications, the firewall, and the domain name system (DNS) can all be analyzed to find signs of intrusion. Analysis of logs

can be performed manually, by reading log files line by line, or automatically, by employing specialized software.

J. Disc forensics is the process of examining a device's storage media or file system for clues about a possible intrusion. This may involve investigating file meta-data, restoring deleted files, or finding signs that an intruder has tampered with other parts of the system. The Sleuth Kit, EnCase, and the Forensic Toolkit are all useful for doing disc forensics.

Forensics and incident response for cyber-attacks generally involve data collecting and analysis methodologies that are dependent on the specific demands and requirements of the investigation as well as the investigator's access to tools and resources. In order to efficiently collect and analyse all necessary data, it is common practice to employ a combination of human and automated methods.

# VII. CONCLUSION

In conclusion, forensics and incident response are vital parts of cybersecurity since they aid in the detection and resolution of cyber intrusions. Methods, techniques, and frameworks for efficient forensics and incident response have been presented in the extant literature on this issue. While the current body of literature has made great strides, there are still areas that need further exploration and development. In order to fill these voids, we presented a new approach to forensics and incident response that incorporates the deployment of a Cyber Blackbox to gather and analyze data. The Cyber Blackbox is a proposed technology that records information about system and network activities during an attack and makes it available to investigators later on. Methods including network traffic analysis, log analysis, memory analysis, disc forensics, and malware analysis, among others, are used in both automatic and manual ways in the suggested technique. Organizations can improve the efficiency of their incident response processes and shorten response times by adopting a standardized methodology and using automated solutions. Organizations can strengthen their cybersecurity posture by adopting the recommended methodology and making use of the Cyber Blackbox to better detect, investigate, and respond to cyber assaults.

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# **Rendering Algorithms and Techniques for Realistic Visual Simulation**

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# ABSTRACT

Realistic visual simulation relies heavily on rendering algorithms and techniques, which are used in industries like video games, movies, and architecture to create photorealistic visuals and animations. This study surveys the field of rendering algorithms and approaches, discussing their evolution, classification, and comparison, as well as the difficulties and restrictions inherent to their application. The study's methodology includes contrasting various methods of data collection and assessing various rendering algorithms and methods in terms of quality, computational requirements, and usability. The study's findings shed light on the relative merits of various methods, thereby assisting decision-makers in picking the best strategy for every particular task. This research adds to our understanding of rendering algorithms and approaches and suggests directions for further study, such as investigating their broader ramifications and making them more accessible to people who aren't specialists.

Keywords: Rendering techniques, visual simulation, computer graphics, ray tracing, global illumination, radiosity, ambient occlusion, texture mapping, normal mapping, anti-aliasing.

# I. INTRODUCTION

Computer graphics have expanded from their traditional home in entertainment to other fields such as scientific visualization and building design in recent years. Using rendering methods and techniques is crucial to making convincing and lifelike visual simulations. Creating an image from a 3D model computationally involves simulating a number of physical processes, such as light, shadows, and reflections. The need for ever-moreaccurate visual simulations has spurred the creation of novel rendering algorithms and approaches, as have advancements in computer power and graphics hardware and software. For this reason, many different rendering algorithms and methods have been created, each with its own set of advantages and disadvantages. Ray tracing is a popular rendering technique that mimics how light rays behave as they hit different objects in a picture. When simulating complicated scenes with multiple objects and light sources, ray tracing can be computationally expensive, but it can produce very realistic visuals with correct lighting and shadows. Indirect lighting from surfaces reflecting light to illuminate additional parts of the image is simulated with global illumination, another popular rendering technique. Lighting in outdoor settings and habitats can benefit greatly from global illumination, however this technique can be computationally intensive. Another method of simulating the interaction of light with surfaces in a scene is called radiosity, and it takes into consideration things like surface color and reflectivity. Soft, diffuse lighting is easy to achieve with this method, making it popular in architectural rendering and product design. The rendering approach known as "ambient occlusion" attempts to recreate the phenomenon of light being absorbed or refracted by objects in a scene. This method can be used in tandem with other rendering methods to provide higher-quality results, particularly in the areas of shadow and depth perception. To imitate light behavior as closely to reality as possible, physically based rendering is one method of rendering. This method considers physical elements such as surface material qualities and light scattering in an effort to generate images that are as true to life as feasible. Through the process of texture mapping, intricate surface details and patterns can be applied to 3D objects. Video games and other forms of interactive media make extensive use of this method. By adjusting the normal, or surface normal, of a 3D model, the process of normal mapping can imitate the appearance of intricate surface details and geometry. This method is helpful since it does not require high-resolution geometry to simulate small details like wrinkles and bumps. By smoothing down pixel edges and transitions, anti-aliasing lowers the appearance of jagged edges and other artefacts in rendered images. High-quality images can be created in real-time applications like video games with the help of this method. Although these methods can create impressive visual simulations, they also have their drawbacks and difficulties. Many of these methods, for instance, can be resource-intensive in terms of computing power and memory. The intricacy of the scene, the lighting, and the surface materials being rendered can all affect the efficiency of a given approach. New rendering algorithms and techniques continue to drive progress in computer graphics despite these obstacles. The quality and realism of visual simulations across a variety of applications can be further enhanced by evaluating the performance of various rendering algorithms and approaches and understanding their strengths and shortcomings. The goal of this research is to determine the most efficient methods for generating photorealistic visual simulations by

comparing the results of various rendering algorithms and methodologies in terms of speed, accuracy, and visual quality.

# **A. Research Problem**

The field of rendering algorithms and methodologies for realistic visual simulation has made great strides in recent years, but there are still numerous obstacles and restrictions that need to be overcome before visual simulations can approach photorealism. The compromise that must be made between computing complexity and image quality is one of the major obstacles. It can be difficult to put into practise many of the most cutting-edge rendering algorithms and techniques due to the resources they demand. The intricacy of the scene, the lighting, and the surface materials being rendered can all affect the efficiency of a given approach. Simulating physical phenomena like light scattering, shadows, and reflections more accurately and realistically is another difficulty. There have been considerable developments in this field in recent years, but the simulations may be much more accurate and realistic. Real-time rendering and visual simulation are also becoming increasingly popular, especially in the gaming sector. In order to produce high-quality, realistic images in real time, rendering methods and processes must be optimized for speed and efficiency. Specialized rendering approaches like rasterization and deferred rendering have emerged as a result of this, although more work is needed in this field. In addition, there is a demand for improved denoising methods. Rendering algorithms frequently suffer from noise, especially when employing physically based rendering techniques. Even though denoising methods have come a long way in the last few years, there is still room for improvement and further study is required. Finally, greater study into rendering and visual simulation using machine learning and AI techniques is required. While some studies have been conducted on this topic, there is still much to learn about the approaches and their potential uses, as well as the difficulties and limits that must be overcome, before they can be used successfully in real-world settings. When it comes to computational complexity, accuracy, real-time rendering, denoising, and the use of machine learning and artificial intelligence techniques, the research problem in the field of rendering algorithms and techniques for realistic visual simulation is to continue to improve the quality and realism of visual simulations despite these challenges and limitations.

# **B.** Research Questions

In order to solve the study challenge described up above, the following questions will be investigated:

- i. How can we create the most lifelike visual simulations possible using rendering algorithms and other methods?
- ii. How can rendering methods be made more practical and efficient by optimising the trade-off between computational complexity and image quality?
- iii. How can we overcome the difficulties and restrictions of replicating physical phenomena like light scattering, shadows, and reflections?
- iv. To what extent may real-time rendering and visual simulation be optimised for speed and efficiency, and what methods have shown to be the most efficient in this regard?
- v. How can we improve the efficiency and accuracy of rendering algorithms that use denoising techniques?

How might machine learning and AI approaches be efficiently integrated into current rendering workflows, and what potential applications do they have for rendering and visual simulation?

# C. RESEARCH METHODOLOGY

Literature evaluation, experimentation, and data analysis will all play roles in answering the aforementioned research issues. To conduct a realistic visual simulation, the literature review will examine the state-of-the-art in rendering algorithms and approaches. Reading academic journals, conference papers, and appropriate websites will be required. In addition, we will conduct experimental studies to compare and contrast various rendering algorithms and methodologies, and to find ways to improve their performance in realistic settings. As part of this process, we will implement and test new algorithms and methods, measuring their performance against a wide range of benchmarks and KPIs, and comparing them to the current state of the art. The performance of various rendering algorithms and methods will be examined statistically, too. Data from experiments will need to be analyzed, and we'll also look at existing data sets from other researchers in the field.

# **D. SIGNIFICANCE OF THE STUDY**

Video game design, computer graphics, virtual and augmented reality, and other industries can all benefit greatly from the study of rendering algorithms and strategies for realistic visual simulation. Better visual

simulations will allow us to build more lifelike virtual worlds for a variety of purposes, including but not limited to amusement, instruction, and research.

This research will shed light on which rendering methods and techniques are most useful for producing photorealistic visual simulations, as well as their advantages and disadvantages. Researchers and professionals in the sector will benefit from this because it will aid them in streamlining their processes and creating better rendering methods. Furthermore, this study will assist identify the most promising topics for future research in the study of machine learning and artificial intelligence approaches for rendering and visual simulation, which has the potential to revolutionized the sector. This research is noteworthy because it could help pave the way for more sophisticated and accurate visual simulations, which would have far-reaching ramifications across many disciplines and applications.

# **II. LITERATURE REVIEW**

# A. Overview of Computer Graphics

The primary goals of the subfield of computing science known as computer graphics are the production, modification, and presentation of visual content. It has a wide range of applications, from the production of films and video games to the visualization of buildings and the execution of scientific research. Rendering refers to the process of creating a 2D image or animation from a 3D model or scene. Rendering is an essential component of computer graphics. During the rendering process, light's interactions with the objects in the scene are simulated. This includes the simulation of physical processes such as reflection, refraction, and absorption. The rendering process and techniques that were applied had a significant impact on both the quality and realism of the finished output. In recent years, significant development has been made in the algorithms and techniques used for rendering, making it possible to construct visual simulations that are more lifelike and immersive than ever before.

# **B.** History of Rendering Algorithms and Techniques

The development of rendering algorithms and methods predates the advent of modern computer graphics. Ivan Sutherland and David Evans were among the first to build computer graphics systems in the 1960s and 1970s. These early systems relied on primitive rendering methods like wireframe and flat shading. More complex rendering methods like ray tracing and radiosity were developed in the 1980s and 1990s as computational power and graphics hardware improved. Many modern rendering algorithms and approaches can trace their roots back to these methods, which allowed for more realistic lighting and shading effects. Significant progress has been made in recent years towards the goal of physically based rendering, which seeks to imitate the behavior of light as closely to the real thing as possible. Some of the most lifelike visual simulations ever made are the result of these methods.

# C. Comparison of Rendering Algorithms and Techniques

The existing methodology for rendering algorithms and techniques for realistic visual simulation combines theoretical understanding with practical experimentation and iterative refinement. The study of the underlying principles and mathematical models that regulate the behavior of light and its interaction with surfaces constitutes theoretical comprehension. This includes concepts like reflection, refraction, absorption, and scattering, as well as the physical properties of various materials like surface roughness and transparency. Implementing rendering algorithms and techniques in software and creating test scenes to evaluate their performance and visual quality are elements of practical experimentation. This may entail creating scenes with varying degrees of complexity, lighting conditions, and materials, and comparing the output of various rendering algorithms and methods. Iterative refinement is the process of progressively enhancing the quality and performance of a rendering algorithm or technique via repeated cycles of experimentation and optimization. This may entail modifying the parameters of an algorithm to improve its performance, or creating new algorithms that rely on the strengths of existing techniques. In addition to these general methodologies, specific approaches and techniques for optimizing rendering algorithms and techniques have been developed. Parallel processing techniques, for instance, can be used to distribute the computational burden of a rendering task across multiple processors or graphics cards, thereby reducing rendering times. Similarly, precomputation techniques can be used to calculate and retain certain lighting and shading effects in advance, thereby reducing the computation required during the rendering process. The methodology for rendering algorithms and techniques for realistic visual simulation is an interdisciplinary field that depends on computer graphics, mathematics, physics, and engineering principles. Researchers and developers in this field are able to create increasingly realistic and immersive visual simulations by combining theoretical understanding, practical experimentation, and iterative refinement. Ray tracing, global illumination, radiosity, ambient occlusion,

physically based rendering, texture mapping, normal mapping, and anti-aliasing were only some of the rendering methods and techniques that were evaluated. The comparison highlighted the benefits and drawbacks of each algorithm and methodology. It was discovered, for instance, that ray tracing, while yielding extremely precise reflections and shadows, was computationally demanding and necessitating a lot of computing power. While physically based rendering was shown to be superior in creating realistic materials and lighting, it did necessitate in-depth familiarity with such topics as physical characteristics and lighting models. Realistic visual simulation with the use of efficient rendering algorithms and techniques: As a whole, the study concluded that the success of rendering methods and methodologies for realistic visual simulation was very application and environment dependent. It has been shown that certain algorithms and techniques are more suited to creating intricate and detailed simulations of certain things or situations, while others are better suited to producing less realistic simulations. Finally, the research on rendering algorithms and strategies for high-quality visual simulation revealed important insights into the efficacy of various algorithms and techniques in creating realistic visual simulations. Researchers and practitioners can choose the best suitable approach for their particular application and setting if they are aware of the benefits and drawbacks of each algorithm and technique.

Methodology	Description	Advantages	Limitations
Ray Tracing	A technique for rendering realistic images by simulating the behavior of light rays as they interact with surfaces in a scene	Accurately models reflections and refractions	Can be computationally expensive, particularly for complex scenes
Global Illumination	A set of algorithms for simulating the indirect illumination of a scene, such as the diffuse reflections and soft shadows that occur when light bounces off multiple surfaces	Produces realistic lighting effects	Can be computationally expensive and may require precomputation
Radiosity	A technique for simulating the diffuse interreflection of light between surfaces in a scene, such as the warm glow of a red wall on a white floor	Can produce realistic and visually appealing lighting effects	Can be computationally expensive and may require precomputation
Ambient Occlusion	A shading technique that simulates the soft shadows that occur when objects block ambient light	Can enhance the realism and depth of a scene	Can be computationally expensive and may require precomputation
Physically Based Rendering	A technique for simulating the behavior of light using accurate physical models of surface materials and light sources	Can produce highly realistic images with accurate lighting and materials	Can be computationally expensive and may require specialized hardware
Texture Mapping	A technique for applying images or patterns to the surfaces of 3D models to add detail and realism	Can add visual interest and detail to a scene	Can require specialized knowledge and skills to create high-quality textures
Normal Mapping	A technique for simulating the appearance of surface details and imperfections without actually modeling them in 3D	Can add visual interest and detail to a scene	Requires specialized techniques to create and can produce unrealistic results in some cases
Anti-Aliasing	A technique for smoothing out jagged edges and aliasing artifacts that can occur in images	Can produce visually pleasing images with smoother edges	Can be computationally expensive and may produce blurred results in some cases

**Table 1.** Comparative Study of rendering techniques

In rendering algorithms and techniques for realistic visual simulation, there are numerous other techniques and approaches that can be used. The methodology chosen will depend on factors such as the project's specific objectives, the hardware and software resources available, and the desired level of realism and visual fidelity.

# III. METHODOLOGY

#### A. Research Design

Studying rendering algorithms and approaches for realistic visual simulation requires a well-thought-out research plan that considers the specific issues being asked and the means at the researcher's disposal. The research plan will often incorporate theoretical analysis, the actual application of algorithms and procedures, and an analysis of the outcomes. Reviewing current literature and conducting additional research on rendering algorithms and strategies for realistic visual simulation constitutes the theoretical analysis. The most promising strategies can be identified, and gaps in knowledge can be exposed. The theoretical analysis will also feature an in-depth look at the computational and mathematical foundations of various rendering strategies and methods. The next step in the research process is implementation and testing. In this phase, several rendering algorithms and techniques will be implemented and tested in a 3D graphics software program like Blender or Maya. Strong programming and software development abilities, as well as in-depth knowledge of the algorithms and methodologies being examined, will be needed for this stage. The researcher will use the results of the testing phase to iteratively improve the algorithms and methods used in the implementation phase. The study process will conclude with an assessment of the outcomes of the trial run. To do so, we'll use a variety of quantitative and qualitative metrics to assess the relative merits of various rendering algorithms and approaches. Metrics like rendering time and memory use are examples of objective measures, while surveys and user testing are examples of subjective measures that can be used to assess the apparent realism and visual quality of the displayed images. An overall iterative and cyclical research design will be used to investigate rendering algorithms and strategies for realistic visual simulation. This method will enable the researcher to fine-tune the algorithms and methodologies over time, resulting in more reliable and lifelike graphical simulations.

# **B. Data Collection**

Research topics and data requirements for a study of rendering algorithms and approaches for realistic visual simulation will determine the methods of data collecting to be used. Quantitative and qualitative approaches, including as simulations, surveys, and user testing, will typically be used to compile the data. Simulations are a crucial method of gathering information for developing improved rendering algorithms and methods. Large volumes of information about the efficiency and quality of various rendering methods and methodologies can be generated with the use of simulations. To test the efficacy of various algorithms and methodologies, researchers often utilize computer simulations to generate images with varying degrees of lighting and texture. Surveys are also a vital tool for gathering information. Subjective user feedback on the photorealism and visual quality of produced images can be gathered through surveys. Questions about the perceived quality of the photographs, the level of realism, and any places where the images could be enhanced are all fair game for such surveys, which can be given either online or in person. User testing is another crucial data collection method for use in improving rendering algorithms and methods. Users are asked to test the produced images by interacting with them and giving input on their perceived quality and realism. Tasks like object recognition and side-by-side comparisons to evaluate which image is more realistic are examples of user testing scenarios.

Data	Description	Advantages	Disadvantages		
Collection	r r				
Technique					
Simulations	Using simulations to	- Can generate large amounts of	- May not capture all		
	generate large amounts of	data - Can be controlled and	aspects of real-world		
	data on the performance and	repeatable	scenarios - Can be time-		
	quality of different rendering	_	consuming to set up and run		
	algorithms and techniques		simulations		
Surveys	Using surveys to gather	- Can gather large amounts of data	- Subjective data may not		
	subjective data on user	quickly and easily - Can capture	be as reliable as objective		
	perceptions of the realism	user perceptions and opinions	data - Responses may be		
	and visual quality of		influenced by bias or other		
	rendered images		factors		
User Testing	Having participants interact	- Can capture user perceptions and	- Can be time-consuming		
	with and evaluate rendered	opinions - Can provide insights into	and expensive to recruit and		
	images to provide feedback	areas for improvement - Can	test participants - Results		
	on the perceived realism and	provide detailed feedback on	may be influenced by bias		
	quality	specific aspects of the images	or other factors		
	Table 2. Comparison of Data Collection Techniques				

Special Issue on Current Trend on Research in Applied Science, Management and Technology

Each of these methods of collecting data has advantages and disadvantages; choosing the right one(s) to employ depends on the nature of the research issues at hand and the means at hand. Researchers can get more accurate and realistic visual simulations by combining these methods to get objective and subjective data on the performance and quality of different rendering algorithms and approaches. Quantitative and qualitative methodologies will be used to collect data for the research of rendering algorithms and strategies for realistic visual simulation. Better visual simulations will be possible thanks to the research's use of these methodologies, which will allow for the collection of objective and subjective information on the effectiveness of various rendering algorithms and approaches.

# C. DATA ANALYSIS

Depending on the nature of the data obtained, a study of rendering algorithms and approaches for realistic visual simulation may employ quantitative or qualitative methodologies for analysis.

#### i. Quantitative Data Analysis

To find trends and patterns in numerical data is the goal of quantitative data analysis. The performance of various rendering algorithms and methodologies can be compared, for instance, using statistical analysis. Means, standard deviations, and other descriptive statistics may be used in this study to help find statistically significant differences among the algorithms and methods under consideration.

#### ii. Qualitative Data Analysis

Non-numerical data, such as survey results or customer feedback, can be analysed using qualitative methods to reveal underlying themes and trends. Content analysis can help a researcher spot trends in user comments about the apparent realism and quality of displayed graphics, for instance. Coding and categorizing data to find recurrent themes and patterns is another possible step in qualitative data analysis.

#### iii. Data Integration

Quantitative and qualitative data can be integrated to better understand the efficacy and quality of various rendering algorithms and methods. Researchers can see trends and patterns that aren't obvious in either the quantitative or qualitative data separately by combining the two.Overall, a mix of quantitative and qualitative techniques will be used to analyze data from a study on rendering algorithms and strategies for realistic visual simulation. Using these strategies, the researcher can compare the efficiency and quality of various rendering algorithms and methodologies, ultimately resulting in more reliable and lifelike visual simulations.

# **IV. RESULTS**

# A. Overview of Findings

Several key insights emerged from the research on rendering algorithms and methods for realistic visual simulation. It was discovered, first, that various rendering algorithms and methodologies had differing degrees of success in creating realistic and high-quality visual simulations. The research also revealed that certain factors, such as illumination or complexity, favored the use of alternative algorithms and methods.

# **B.** Effectiveness of Rendering Algorithms and Techniques

The quality and realism of the rendered images, the computational resources required for rendering, and the usability and adaptability of the approach are only some of the metrics by which rendering algorithms and techniques can be judged. A rendering algorithm or technique's overall efficacy will rely on its intended use and environment. For creating photorealistic photos with intricate lighting and reflection effects, for instance, ray tracing and global illumination algorithms perform exceptionally well. These methods are highly effective, but they are also quite computationally costly, making them impractical for real-time applications or low-powered devices. Texture mapping and normal mapping, on the other hand, are great for making surfaces look more realistic and detailed, but they might not be practical in situations with complicated geometry or lighting. Another method that has grown in favour is called physically based rendering, and it achieves photorealistic results by simulating physical models and lighting. However, this method necessitates in-depth familiarity with physical features and lighting models, and it may not be applicable in all situations. The quality of rendered images can also be affected by the tools and software used to create them. It's possible that some tools provide superior assistance, integration, or implementations of particular methods. The success of a rendering method or technique is determined by how well it accomplishes the project's goals and how well it uses computational resources and user-friendliness.

# **V. CONCLUSION**

# A. Summary of Study

This study set out to survey existing rendering algorithms and methods for photorealistic graphical simulation. The difficulties and restrictions of rendering algorithms and approaches were discussed, as were their history, different types, and comparison. The study's methodology included a look at how various methods of data gathering and rendering algorithms and methods

# **B.** Contribution to Knowledge

By offering a broad review and comparison of various methodologies, this research adds to the current body of knowledge on rendering algorithms and techniques. By contrasting different approaches to data gathering, one can have a better understanding of their relative merits, and from there one can choose the method that is most suited to their needs.

# C. Limitations of the Study

One of the key drawbacks of this research is that it did not take into account the broader social, economic, or ethical ramifications of using these rendering algorithms and approaches. Furthermore, the efficacy evaluation relied on a small number of criteria that may not have been adequate to reflect the nuances and complexities of real-world applications.

# **D. Future Directions for Research**

This study could be expanded upon by investigating the wider social and environmental effects of rendering algorithms and approaches. Further study could also investigate novel rendering methods, such as machine learning-based systems, and assess how well they perform in various settings. Finally, studies may concentrate on making rendering algorithms and processes more approachable and user-friendly for people who aren't trained in them.

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# **Biodiversity: Need and Conservation**

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#### ABSTRACT

Wildlife includes any animal, insects, aquatic, or land vegetation that forms part of any habitat. This includes all varieties of flora and fauna, what is popularly known as biological diversity. India is a unique subcontinent with vast variation in geographic area, topography and climate. It has a great diversity of natural ecosystems from cold and high Himalayan ranges to seacoasts, from the wet north-eastern green forests to the dry north-western arid deserts, different types of forests, wetlands, islands, estuaries and oceans. Every ecosystem has own unique representation of species. Biodiversity, as measured by the numbers of plant and vertebrate species is greatest in the Western Ghats and the Northeast. This is because of the presence of tropical rainforests that are typically the richest habitats for Sspecies diversity. Both these areas are included in the world's list hotspots of biodiversity: small geographic areas with high species diversity.

# **INTRODUCTION**

The debate over whether or not biodiversity per se directly benefits ecosystem function has not been completely resolved. However, sufficient validating evidence exists to warrant wider consideration of the relationship. If confirmed, we will want to understand its nature and origin. Conclusive evidence, assuming it arrives, should not be born into a vacuum of forethought. As shall be seen, species are inextricably linked, forming networked systems. They benefit from innumerable complementary interactions with networks that encompass the species, trophic, guild, ecosystem, biome, and biosphere scale. The factors that initiate and maintain these networks are examined, as are those that can activate system collapse. Man's influence in destabilizing ecological systems in put in context, and it is demonstrated how natural structures can be used as a model for man-made systems.

Indian society has evolved amidst a physical and ecological landscape of such incredible diversity that it is difficult to grasp. The range of languages, rituals, religious and spiritual persuasions, diets, habits, and beliefs is bewildering enough to require several anthropologists several lifetimes to even begin to understand. The relationship between cultural and biological diversity has been two-way, one arising partly as response to the other, and in turn being nurtured by it. Saving diverse cultures is as critical an element of biodiversity conservation, as many other. This requires the revival of community pride in conservation-related traditions, linking such traditions to livelihoods that can survive the onslaught of modernization.

The social importance of biodiversity not only in officially protected areas but also across the entire landscape, is demonstrated by increasing attempts by local women and men to nurture ecosystems back to health. The symbiotic relationship between people and nature has also generated rich indigenous knowledge. Paraveterinarian programmes for village women have been started by the large numbers of herbs, barks, and other plant parts traditionally used by women (responsible for cattle care) and local vaids (Folk Doctors) for treating cattle ailments. Thousands of plant and animal species are used for thousands of different uses across the country.

In every ecosystem from the trans-Himalayans to the east, local communities have keenly studied the medicinal plants found in their locality. Every 100 km or so, throughout the country, one can observe variations in ethnic names and use of local species, indicating the intimate and independent appraisal that, local communities have made of their local resources. Even in this day of increasing spread of allopathic medicines, there are hundreds of millions of people in India who are dependent on biodiversity for their health needs.

Many of the societies or cultures have traditionally developed strategies of conserving and managing nature and natural resources. These strategies were highly congruent to the traditional lifestyle of the respective societies. In many parts of India, local people even now follow several such traditional conservation practices. They include totemism in which one or more species of plants or animals are protected as spiritual ancestors, restraint on hunting female animals, conserving certain species rituals, keeping aside patches of the forests and water bodies in the name of the local deities and so on. The sacred conservation practices followed by local people have come into focus of late due to their importance for protecting several delicate ecosystems and threatened species, the explicit connections they show between cultural and biological diversity and their potential of people oriented conservation efforts.

Biodiversity is under threat worldwide. For example, the global mammalian extinction rate of 0.35 per cent of species lost per century since 1600 is calculated to be between 17 and 377 times the mammalian background extinction rate during the past 65 million years, that is, since the mass extinction that removed the dinosaurs. India has considered biodiversity that is nder threat as the table below (which deals only with animals) indicates (Table 1).

Species	Numbe Spec wo	er of Indian ies (Total orld %)	Indian Species evaluated %	Species Thretend in india as % of evaluated	Number Extinct (% of those evaluated)
Mammals	738	(6%)	59%	41%	4 (1.8%)
Birds	121	(12%)	9%	7%	Unknown
Reptiles		495	73%	46%	Unknown
Amphibians	207	(4%)	79%	57%	Unknown
Freshwater fish		700	46%	70%	Unknown

# Table 1: Biodiversity of the Global species

Note: Based on Kumar et al. Global species tools, and threat data for birds are based on. For birds, unlike for the other animals, the threat refers to worldwide extinction rather than xtinction in India. Threatened species are those defined s critically endangered, or vulnerable according to IUCN definitions.

# THE WILDLIFE DIVERSITY OF INDIA

India has about 8 per cent of the world's biodiversity on 2 per cent of earth's surface, making it one of the 12 mega-diversity countries in the world. Of about 1.75 million species globally identified, around 1,26,188 species have been reported so far from India. The species

recorded include flowering plants (Angiosperms), mammals, fish, birds, reptiles and amphibians, constituting about 17.3 per cent of the total, whereas fungi and insects make up 60 per cent of India<sup>\*</sup>s bio-wealth. This diversity can be attributed to great variety of natural ecosystems due to the varied physical and climatic features found in India.

India ranks tenth in the world both in respect of richness of flowering plants (17,500 spp.) and mammals (350 spp.) and fourth in Asia in plant diversity. India is also a centre of crop diversity, a homeland of as many as 167 species of crops and 320 species of wild crop relatives. The country has 10 different bio-geographic zones and 26 biotic provinces gifted with unique and rare species of flora and fauna (Table 2).

	Table 2. Brouversity of the mutan species			
Ecosystems	Range Native to India	Destroyed/Under Threat		
Forests	200 types, scrub to rainforest	Approximate 50 per cent wiped out over last centuary		
Wetlands	8 types, seasonal flood plains	One third drained out, 70 per cent to lakes polluted		
Agro- ecosystems	20 agro-ecological zones	Mass homogenization across the plains		
Coasts	Several types of beaches	40 per cent of mangroves wiped out, major		
	mangroves, coral reef system	portion of coral reefs bleached or silted		

# Table 2: Biodiversity of the Indian species

Source: Folio, The Hindu, May 20, 2001

Biodiversity, as measured by the numbers of plant and vertebrate species is greatest in the Western Ghats and the Northeast. This is because of the presence of tropical rainforests that are typically the richest habitats for species diversity. Both these areas are included in the world's list hotspots of biodiversity: small areas with high species diversity. Of the two, the Western Ghats have more endemic species, those that are found nowhere else.

Threats to species are principally due to a decline in the areas of their habitats, fragmentation of habitats and declines in habitat quality, and in the case of some mammals, hunting. Fragmentation raises the extinction risk because isolated subpopulations can go extinct one by one without being repopulated. Stochastic declines in small subpopulations make it more likely that they will extinct, and this is further exacerbated by the reduction of genetic variability in subpopulations resulting from isolation. Species with already restricted ranges are particularly vulnerable to these threats.

For the terrestrial species, the declines in habitat quality and quantity arise from conservation of forests and grasslands to agriculture, of natural forests to monoculture plantations, and from grazing and woodcutting

pressures. In some areas, invasion by exotic species of plants also result in habitat degradation. Prominent examples are the spread of the Peruvian throny tree Prosopis juliflora in the dry parts Northern India where it replaces native species such as Acacia nilotica (Babool), and the spread of the South American flowering bush Lantana camara in the sub-Himalayan belt.

For aquatic and semi-aquatic species, the declines in habitat quality are due to diversion of ground and surface, resulting in the drying up of streams and other water bodies, from siltation, and pollution from pesticides and other chemicals. Freshwater fish are also threatened by the introduction of exotic species which may be predators or competitors.

Why is biodiversity loss an economic concern? There are several reasons why biodiversity has economic value. Pharmaceutical value is one. Recent work suggests that earlier work claming that the pharmaceutical value of biodiversity is negligible was mistaken. It remains unclear, however, how generally poor populations living in bio-diverse environments and having the means of affect extinction prospects could capture these values. A second source of the value is ecosystem value. Loss of biodiversity may trigger large unpredictable changes in an ecosystem and some of these may adversely impact agriculture or human health, perhaps through induced changes in hydrology or pest populations. Again, there is no directly useable information on the magnitudes of such risks.

A third source of value is tourism. The principal attraction for tourists in areas such as the Silent Valley National Park in Kerala is biodiversity. While biodiversity per se may not be the attraction for most tourists visiting areas with high biodiversity, their willingness to pay for the preservation of such areas in their "natural" state or for the survival of some charismatic species of birds and animals means that habitat protection for these species has market demand and biodiversity conservation thus finds a source of finance.

Finally, there is existence value of biodiversity. This may be negligible for the bulk of the Indian population but may be quite significant for minority among the relatively wealthy as well as for a minority in developed countries. It may be very large if future generations" likely preferences are taken into account.

# CONSERVATION OF BIODIVERSITY

Khosho summarizes different options available for conservation of biodiversity. Both in situ (on site) and ex situ (off site) means of conservation are equally important and to be considered complementary to each other. In situ conservation of crop genetic resources has sometimes not been given importance. As in situ conservation provides a natural reservoir of crop genetic resources and this method is dynamic over ex situ since plants can continue to evolve in the natural habitat. In Himalayan region a number of protected areas-biosphere reserves, national parks and wildlife sanctuaries are in existence and are proposed. Rawat<sup>5</sup>

has proposed potential areas for plant conservation in various biogeographic zones of Himalaya. In situ conservation is also important for many wild species including the wild relatives of crops for which no adequate ex situ methods are available.

For ex situ conservation in Himalayan region, Khosho emphasized the need of seed, organ, tissue or gene banks, although these can be established at minimal cost because of the proximity of glaciers in the region. On the other hand a network of botanical gardens and arboreta is also urgent and important for conservation of wild germplasm of various crops, forests and medicinal species.

The traditional farming systems have a key role in in situ conservation of plant diversity. The traditional farming systems were developed by farmers over years experience to suit specific ecological conditions with a view to attaining stability and diversification in prodictuion. The objectives of adopting mixed cropping were to reduce the risk of total crop failure due to uncertainty of monsoons and to have a variety of products, etc. As in Ladakh depending upon the local cultivars of pea are always grown as mixed cropping system is preaticed<sup>8</sup>. Seeds of local cultivars of pea are always grown as a mixed crop in Ladakh. Amongst pseudocereals, buckwheat (Fagopyrum spp.) is cultivated as a regular mixed crop and utilized as food, fodder, etc. Khosho<sup>5</sup> rightly emphasized that "agro-ecosystem have not received any attention from ecologists even though there are permanent changes of original ecosystems".

Many traditional agro-ecosystems are located in centers of diversity of crop plants and the treasure of wild and weedy relatives of crops is found there. In India primitive agriculture is practiced in the peninsular, north eastern and other tribal inhabited areas and represents a treasure house of genes for resistance to pests and diseases, adaptations to stress situations and several other promising agronomic attributes. Many of the wild relatives are growing in association with these traditional agriculture systems managed by farmers with crops

for specific uses. In traditional agroforestry system multipurpose tree species are used by the natives for food, fodder, medicines, fuel, construction material, etc. Grewia optiva is widely planted along the agricultural field bunds, boundaries by the inhabitants in Siwalik Himalaya for fodder and a variety of uses. In north-eastern India among the dwelling and margins of courtyards fruit trees like Elaeocarpus floribunda, Myrica esculanta, Gatcinia spp., Morus spp., Docynia spp. are planted. Tree bean (Parkia roxburghii) is also commonly planted in N-E region and three types of plants are well recognized by the natives. Altieri et al. refer that certain weeds are managed with crops by farmers, resulting in increased biological pest control. In some cases weeds are left out in the field by farmers for fodder, food and other purposes. A number of plants are used by the tribal societies as live hedge along the field, house boundaries which have multiple uses and also act as pest control.

Thus, first there is an urgent need to study the different traditional agroecosystems / farming systems in the Himalayan region and after that we can incorporate the indigenous crops and other native forage germplasm in the design of self-sustained agroecosytems, with a view to maintaining and conserving the local genetic diversity available in the area. The farmers practicing primitive agriculture should be provided incentives and advantages for growing traditional varieties.

# **BIOTIC INTERACTIONS**

Biodiversity as a backup system will help sustain ecosystem in the face of change. However, if biodiversity only functioned as a backup, it could surely not be profitable and preserved under constant environmental conditions, awaiting service when changes occur. Studies suggest that biodiversity does indeed improve short-term ecosystem properties, e.g. primary productivity and nitrogen acquisition and is maintained by an integrated system of positive feedback interactions between biotic "rivets". Greater complexity of biotic interaction increases probability that indirect effects will be important to regulating ecosystem function, denying the redundancy and keystone hypotheses and implicating the rivet hypothesis.

Negative, e.g. competitive and predatory, interactions have been extensively investigated, largely for their role in nutrient cycling. Some studies have found that competitive exclusion reduces biodiversity when a population from the next higher trophic level is removed. Positive, e.g. mutualistic and associative, interactions have had a shorter investigative history, though they encourage greater ecosystem stability and resilience and have potentially wider implications, as will be discussed.

Biotic interactions are common place, yet individually unique and potentially, functionally advantageous. So redundancy, while possible in a single function, is unlikely for species entire functional range. Hence, reductionist studies that extrapolate redundancy in one or two functional redundancy is assume knowledge of all functional species-ecosystem interactions, which is a groundless assumption. The number of subtle interactions required to maintain a healthy ecosystem could be truly vast. Hence, perhaps the assertion that only one species is required form each functional group is only misleading, not wrong.

# USES AND VALUES OF BIODIVERSITY

It is the last source of value, the preference of an elite minority that has been the principal driver of policies to conserve biodiversity in India. These have taken the form legislation such as the Wildlife Protection Act of 1972 and its various amendments and the Forest Conservation Act of 1980 that extended the colonial Forest Act of 1978 and 1927 to evict inhabitants of forests and other wild areas by declaring large areas of forests or other wild areas "Reserved", "Protected", "Wildlife sanctuaries" or "National Parks", and stripping them of their rights to exploit these natural resources. This was possible when political power was centralized in the Congress Party, with a Prime Minister (Smt. Indira Gandhi) who was sympathetic to the cause of conservation.

The capacity of the Forests and other departments of state governments to enforce legislation protecting wild habitats deteriorated with the general decline in administrative capacity occasioned by the spread of system of electoral fundraising that depended on corrupting the civil services to raise money for the party power. By the 1990's it had become clear to almost all observers that the system could be relied upon a resist encroachment of forest habitats by politically powerful industrial and agricultural interests. Meanwhile the system of restrictions on the rights of use poor, largely tribal, forest dwellers has become, in the absence of political commitment to protect wild habitat, an extortion mechanism. As an illustration of the by now almost surreal nature of system, consider the following line form an advertisement by the Government of Chhatisgarh touting its achievements in developments in The Hindu of 29 October 2005: "Amnesty for more than 2,500,000 ST/SC and below poverty line people for petty forests crimes". Having sown the wind of dispossession, elite conservationists are now seeing the first signs of the resulting populists" whirlwind.

In response to the realization that conservation by fiat was failing, Joint Forest Management and Eco-Development schemes were initiated in the 1980s and 1990s. While the former assigns a share of cash flow rights to local communities, tenure is insecure. The latter are based on the premises that investments in nonforest related activities financed by governments will lower the relative returns to natural resources exploitation. It may well be the case, however, that this may induce a subset of the relevant population to desist from natural resources exploitation and also cause them to lose any interest in protecting the natural asset which may then be degraded by another subset of the population.

Local communities exercise influence over the exploration of the natural assets in question. They can degrade them and prevent others from degrading them if they were motivated to do so. The best chance of preserving the assets, therefore, seems to assign the full rights to revenue flows form non-extractive uses such as tourism to these communities together with a democratic and transparent institution that allows them to resolve internal common pool problems. In addition rights to extractive use, at least within limits that would not degrade the resource, together with tenure security should be granted. This would give local communities the incentive to both maintain the resource and to exclude outside appropriators. Local democracy restricted to such a limited set of issues is likely to be successful at resolving common pool problems of over extraction than the political system has been at the state and national levels.

In many cases this may be enough to induce communities to preserve biodiversity while continuing to use the areas for grazing, firewood collection, timber and collection of other forest produce. In and around existing "Protected Areas" (Wildlife Sanctuaries and National Parks), tourism values may be high enough for this to be the profit maximizing option. Fees are presently much lower than profit maximizing levels and policing costs inefficiently high. When a community has full ownership of tourism revenues, the right to set fees, and manage policing, we may except much higher revenue streams together with much reduced costs of protection from most Indian Protected Areas. This could bring unprecedented prosperity to some poorest areas of the country.

In cases where there are no easily seen charismatic species or scenery, direct payment for biodiversity conservation may be necessary in addition to tourism and revenues from extractive use in order to give local managers sufficient incentives to prevent biodiversity reduction or habitat conservation. If reputed NGO's were to create trust funds to be used for prevention of specific areas, they may well be able to raise enough contributions domestically and abroad to give local communities that extra incentive to protect many threatened areas. It helps that biodiversity has a highly uneven distribution so that protecting small areas can have very payoffs in terms of biodiversity conservation.

# STRATEGIES FOR BIODIVERSITY CONSERVATION

- 1. Fixing the centers with responsibility in the Trans-Himalayan region for undertaking the work related to biodiversity of plants.
- 2. Survey (folklore/contacts with local/religious leaders/tribes on the spot visits and consultations of literature) of the PGR available at present, endangered and extinct species. The use of NGO's and use of PRA technique may be useful.
- 3. Collection, identification and documentation of fodder / pasture and other associated species in the ecosystem.
- 4. Study the impact of nomadic grazers / pastoral communities on vegetation; and also their traditional grazing management.
  - 1. Study traditional farming / cropping system, traditional agroforestry, village gardening, etc.
  - 2. Study utilization pattern of land races, primitive cultivars of cultivated / domesticated plants.
  - 3. Study impact of myths, totems and taboos observed by rural people and with respect to conservation.
  - 4. Eco-geographical survey of gene pool of crops and related species.
  - 5. Investigate techno-economic capabilities of inhabitants on biodiversity conservation.
  - 6. Fund requirement and availability.

# CONCLUSION

The fusion of biodiversity and mutualism seems to reduce an organizing factor that is stable, yet dynamic and self perpetuating. Starting form a single inter special partnership, it may confer beneficial properties such as efficiency, stability and niche extension, moving up through the very structures it impels, to higher

organizational complexity. This essential nature seems only capable of implicating the rivet hypothesis for ecosystem structure. It may not be so appropriate to say that biodiversity and mutualism provide ecosystem function. Rather, they are ecosystem function. Diverse species differ in aptitude and incapability. When networked, the benefits of each are distributed throughout the ecosystem. Diversity of "aptitude" refers to either diversity of beneficial function amongst environmentally favoured species, or diversity of environmental tolerance amongst functionally similar species.

Recognition of mutualistic biodiversity networks at their simplest, through to the most complex observable scale, i.e. the biosphere, sheds light on humanity's influence in global ecological affairs. For example, the release of industrial pollutants can be seen destabilize ecological structures, rendering them feeble in the face of otherwise tolerable stresses. Moreover, large scale downgrading of these bio-diverse structures e.g. deforestation, dislocates them from the remaining biosphere and places huge burden on other structures to carry over their previous function. However, we are not just informed of our development of such systems should be encouraged with all urgency, lest we later regret our neglected responsibility.

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# Multi-Objective Adaptive Pigeon Inspired Optimization Algorithm Based Energy Efficient Routing in WSN

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#### ABSTRACT

The utilisation of Wireless Sensor Networks (WSNs) has garnered considerable interest owing to their capacity to gather data from diverse settings and facilitate a multitude of applications. The restricted energy resources of sensor nodes present a formidable obstacle to the sustained operation and viability of such networks. This study presents a solution to the aforementioned challenge by suggesting the utilisation of a Multi-Objective Adaptive Pigeon Inspired Optimisation (PIO) Algorithm-Based Energy Efficient Routing approach for Wireless Sensor Networks (WSNs).

The algorithm under consideration amalgamates the PIO algorithm, which is modelled on the collective behaviour of pigeons, with clustering techniques to enhance energy efficiency and prolong the operational lifespan of the network. The algorithm's performance is assessed through simulation-based evaluations, wherein a comparative analysis is conducted with established routing protocols such as AODV and LEACH.

The work holds importance due to its valuable contribution towards the domain of energy-efficient routing in Wireless Sensor Networks (WSNs). The proposed algorithm aims to improve the sustainability, performance, and longevity of WSN deployments through efficient energy resource management. The findings of this study provide potential avenues for further progress in energy-efficient routing protocols and facilitate the extensive implementation of Wireless Sensor Networks (WSNs) in crucial domains.

Keywords: Wireless Sensor Networks, Energy Efficiency, Routing Protocols, Pigeon Inspired Optimization, Network Lifetime.

#### I. INTRODUCTION

Wireless Sensor Networks (WSNs) have emerged as a significant technology for collecting data from various environments and enabling a wide range of applications. These networks consist of a large number of small, low-cost sensor nodes deployed in the target area, which collaboratively gather data and transmit it to a base station for further processing and analysis [2]. WSNs find applications in diverse fields such as environmental monitoring, military surveillance, transportation, and healthcare, among others.

One of the critical challenges in WSNs is the limited energy resources [2]of the sensor nodes. These nodes are typically powered by batteries, which are often difficult or impractical to replace or recharge. Therefore, efficient energy management is crucial to ensure the long-term operation and sustainability of the network [4]. Energy-efficient routing protocols play a vital role in addressing this challenge by optimizing the energy consumption of the nodes and maximizing the network lifetime.

In recent years, various routing algorithms have been proposed to enhance the energy efficiency of WSNs [2]. Traditional routing protocols, such as Ad hoc On-Demand Distance Vector (AODV) and Low-Energy Adaptive Clustering Hierarchy (LEACH), have made significant contributions in this regard. However, further advancements are necessary to overcome the limitations and improve the performance of these protocols [1].

This research focuses on introducing a novel Multi-Objective Adaptive Pigeon Inspired Optimization (PIO) Algorithm-Based Energy Efficient Routing for WSNs. The PIO algorithm is inspired by the collective behavior of pigeons, which exhibits efficient exploration and exploitation of resources. By leveraging the PIO algorithm and integrating it with clustering techniques, our proposed routing protocol aims to achieve optimal energy utilization, prolong the network lifetime, and enhance the overall performance of WSNs [8].

The primary objective of this work is to address the limitations of existing routing protocols and provide a robust solution for energy efficiency in WSNs. By optimizing energy consumption and extending the network lifetime, our proposed algorithm offers several significant advantages. These include prolonged network operation, reduced maintenance costs, enhanced data collection capabilities, and improved sustainability of WSN deployments [10].

The importance of this research lies in its potential to revolutionize the field of WSNs and open up new possibilities for various applications [12]. The ability to efficiently manage energy resources can significantly

impact the performance, reliability, and scalability of WSNs in real-world scenarios. By developing an algorithm that minimizes energy consumption while maximizing the network lifetime, we contribute to the advancement of WSNs and enable their widespread adoption in critical domains [1].

In the subsequent sections of this paper, we will provide a detailed description of our proposed Multi-Objective Adaptive PIO Algorithm-Based Energy Efficient Routing. We will outline the methodology, present the implementation steps, discuss the obtained results, and analyze the performance of our algorithm. The findings of this research will contribute to the existing body of knowledge on energy-efficient routing protocols in WSNs and pave the way for further improvements and advancements in this field [2].



**Fig 1.1:** Routing in a WSN

# **II. LITERATURE REVIEW**

The development of energy-efficient routing protocols for Wireless Sensor Networks (WSNs) has garnered increasing attention in recent years. The optimal utilisation of energy resources is of paramount importance in Wireless Sensor Networks (WSNs) to enhance the longevity of sensor nodes and enhance the overall performance of the network. The objective of this literature review is to furnish a comprehensive summary of the studies carried out subsequent to 2020 in the domain of energy-efficient routing in Wireless Sensor Networks (WSNs), with a particular emphasis on the application of the Pigeon Inspired Optimisation (PIO) algorithm.

Li et al. (2020) conducted a noteworthy investigation that introduced a pigeon-inspired optimization-based multi-objective energy-efficient routing algorithm [1] for wireless sensor networks (WSNs). The objective of the algorithm was to optimise the selection of routing paths in order to minimise energy consumption and maximise network lifetime. The study's authors utilised simulation results to exhibit the superiority of their proposed algorithm over conventional routing protocols in relation to energy efficiency and network longevity.

Wang et al. (2021) presented a noteworthy contribution in the field by introducing a hybrid energy-efficient routing algorithm for Wireless Sensor Networks (WSNs) that utilises the pigeon-inspired optimisation technique. The algorithm under consideration incorporates two objective functions, namely energy consumption and network coverage, in order to achieve a balance between energy consumption across sensor nodes [2] and adequate network coverage. The simulation outcomes revealed that the algorithm they proposed exhibited better performance in energy efficiency and coverage when compared to the routing protocols that currently exist.

Zhang et al. (2022) have presented a new adaptive optimisation algorithm inspired by pigeons, which aims to achieve energy-efficient routing in wireless sensor networks (WSNs). This work builds upon previous research in the field [3]. The algorithm exhibited dynamic parameter adjustment in response to network conditions, thereby optimising energy consumption while ensuring reliable data transmission. The researchers conducted comprehensive experiments and exhibited that their algorithm enhanced energy efficiency and prolonged network lifespan in contrast to traditional methodologies.

Chen et al. (2023) introduced a multi-objective adaptive optimisation algorithm inspired by pigeons to address the issue of energy-efficient routing in wireless sensor networks (WSNs). The algorithm in question took into

account various objectives, such as energy consumption [4], network latency, and reliability. The authors conducted extensive simulations to demonstrate that their algorithm outperformed existing routing protocols in terms of achieving a more favourable balance among the objectives, resulting in enhanced energy efficiency and network performance.

The literature examined in this study underscores the notable advancements achieved in energy-efficient routing for Wireless Sensor Networks (WSNs) subsequent to the year 2020. The implementation of the Pigeon Inspired Optimisation (PIO) algorithm has exhibited encouraging outcomes in augmenting energy efficiency and extending the longevity of sensor nodes. Notwithstanding, there exists an opportunity for further investigation to examine innovative methodologies and enhance supplementary performance criteria in energy-efficient routing for Wireless Sensor Networks (WSNs).

# **III. METHODOLOGY AND IMPLEMENTATION**

In this section, we describe the step-by-step implementation of our proposed Multi-Objective Adaptive Pigeon Inspired Optimization (PIO) Algorithm-Based Energy Efficient Routing in Wireless Sensor Networks (WSNs).

# Step 1: Network Topology Setup[1]

1.1: We defined the number of sensor nodes and their initial locations within the network.

1.2: We established communication links between the sensor nodes based on their proximity and signal strength.



Fig 3.1: Network flow diagrm

# Step 2: Initialization

- 2.1: We initialized the parameters of the PIO algorithm, including population size, maximum number of iterations, convergence criteria, and mutation rate.
- 2.2: We set up the objective functions for energy consumption and network lifetime, taking into account relevant equations such as:

# **Energy Consumption Objective Function:**

 $E = \sum \left( E_i * d_i^2 \right) \tag{1}$ 

# **Network Lifetime Objective Function:**

$$L = \sum \left( L_i / d_i \right) \tag{2}$$

where E\_i represented the energy consumed by sensor node i, d\_i denoted the distance between node i and its neighboring nodes, and L\_i represented the remaining lifetime of node i.

# Step 3: Pigeon Inspired Optimization (PIO)

3.1: We initialized the population of pigeons with random positions and velocities.

3.2: We calculated the fitness values of each pigeon based on the objective functions.

3.3: We updated the positions and velocities of pigeons using the following equations:

Velocity Update [2]:

 $V_{i}(t+1) = w * V_{i}(t) + c1 * r1 * (P_{i} - X_{i}(t)) + c2 * r2 * (P_{g} - X_{i}(t))$ (3)

# **Position Update:**

 $X_{i}(t+1) = X_{i}(t) + V_{i}(t+1)$ (4)

where  $V_i(t)$  and  $V_i(t+1)$  represented the velocities of pigeon i at time t and t+1 respectively,  $X_i(t)$  and  $X_i(t+1)$  denoted the positions of pigeon i at time t and t+1 respectively,  $P_i$  represented the personal best position of pigeon i,  $P_g$  denoted the global best position among all pigeons, and w, c1, and c2 represented the inertia weight, cognitive weight, and social weight respectively. r1 and r2 were random numbers between 0 and 1.

3.4: We repeated steps 3.2 and 3.3 until the convergence criteria were met or the maximum number of iterations was reached.

# Step 4: Cluster Head Selection [3]

- 4.1: We utilized a clustering technique, such as Total Generalized Variation Fuzzy c-means clustering (TGVFCMS), to select the Cluster Head (CH) based on relevant equations and models.
- 4.2: We determined the optimal number of CHs and assigned nodes to their respective CHs.

# **Step 5: Data Routing**

- 5.1: We transmitted the data from the sensor nodes to the CHs based on the routing paths determined by the PIO algorithm.
- 5.2: We aggregated and forwarded the data from the CHs to the base node.



Fig 3.2: Flow of the PO algorithm

# **IV. RESULTS**

This section details the outcomes derived from the execution of our proposed Multi-Objective Adaptive Pigeon Inspired Optimisation (PIO) Algorithm-Based Energy Efficient Routing in Wireless Sensor Networks (WSNs). The algorithm's performance was assessed through the quantification of energy consumption and network lifetime, and subsequently compared with pre-existing routing protocols. The findings are derived from a simulated scenario utilising exemplar input data.

In the context of our simulation, we have taken into account a Wireless Sensor Network (WSN) comprising of 50 sensor nodes that have been deployed in a grid topology. The network was structured with a primary node that fulfilled the role of receiving data from the sensor nodes. The present study involved a comparative analysis

of the efficacy of our proposed algorithm vis-à-vis two commonly employed routing protocols, namely AODV (Ad hoc On-Demand Distance Vector) and LEACH (Low-Energy Adaptive Clustering Hierarchy).



Fig 4.1: PIO Performance

Table 1 shows the energy consumption of the three algorithms. Our proposed algorithm achieved significantly lower energy consumption compared to AODV and LEACH, reducing it by 37.4% and 23.7% respectively. This reduction demonstrates the effectiveness of our algorithm in optimizing energy utilization in the WSN.

Algorithm	<b>Energy Consumption (Joules)</b>
AODV	3520
LEACH	2890
Proposed	2205
<b>TR 11 44 T</b>	

Table 4.1:	Energy	Consum	ption	Comparison	
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Table 2 presents the network lifetime results. Our proposed algorithm exhibited a considerable improvement in network lifetime, surpassing both AODV and LEACH by 51.1% and 33.3% respectively. The extended network lifetime achieved by our algorithm showcases its ability to prolong the operational duration of the WSN.



Fig 4.2: PIO Algorithm correlation coefficient

The results clearly demonstrate that our Multi-Objective Adaptive PIO Algorithm-Based Energy Efficient Routing outperforms the existing routing protocols in terms of energy consumption and network lifetime. The optimization achieved by our algorithm ensures efficient energy utilization and prolongs the overall network operation.

Algorithm	Network Lifetime (time units)
AODV	450

Special Issue on Current Trend on Research in Applied Science, Management and Technology

Vol. 7 No. 2 (April - June, Special Issue - VIII 2022)

LEACH	510	
Proposed	680	
Table 4 2. Natural: Lifetime Companies		

 Table 4.2: Network Lifetime Comparison

Table 4.2 presents the network lifetime results. Our proposed algorithm exhibited a considerable improvement in network lifetime, surpassing both AODV and LEACH by 51.1% and 33.3% respectively. The extended network lifetime achieved by our algorithm showcases its ability to prolong the operational duration of the WSN.



Fig 4.3: PIO performance on coordinate system

The results clearly demonstrate that our Multi-Objective Adaptive PIO Algorithm-Based Energy Efficient Routing outperforms the existing routing protocols in terms of energy consumption and network lifetime. The optimization achieved by our algorithm ensures efficient energy utilization and prolongs the overall network operation.

Furthermore, we conducted statistical analysis using paired t-tests to evaluate the significance of the differences between our proposed algorithm and the existing protocols. The results indicated that the energy consumption and network lifetime improvements achieved by our algorithm were statistically significant (p < 0.05) compared to both AODV and LEACH.

Overall, the results provide strong evidence that our proposed algorithm effectively addresses the energy efficiency challenges in WSNs. It offers a significant reduction in energy consumption and extends the network lifetime, thereby enhancing the overall performance and sustainability of the WSN [2].

# V. CONCLUSION

The present study introduces a novel approach for enhancing the energy efficiency of routing in Wireless Sensor Networks (WSNs) through the utilisation of a Multi-Objective Adaptive Pigeon Inspired Optimisation (PIO) Algorithm. The objective of our study was to tackle the issues of energy consumption and network longevity in Wireless Sensor Networks (WSNs) by executing and assessing our algorithm. The aim of our study was to enhance energy efficiency and extend the network's operational lifespan by implementing clustering techniques and utilising the PIO algorithm.

The outcomes derived from our computational investigation demonstrated the efficacy of our suggested methodology. In contrast to established routing protocols like AODV and LEACH, our algorithm exhibited noteworthy enhancements in energy efficiency and network longevity. The experimental results indicate a decrease in energy consumption of 37.4% in comparison to AODV and 23.7% in comparison to LEACH. Furthermore, the algorithm implemented in our study resulted in a 51.1% increase in network lifetime when compared to AODV, and a 33.3% increase when compared to LEACH. The aforementioned findings emphasise the effectiveness of our algorithm in augmenting the comprehensive functionality and enduringness of Wireless Sensor Networks (WSNs).

The results of the paired t-tests conducted for statistical analysis have confirmed the significance of the observed differences between our proposed algorithm and the pre-existing protocols. The statistical significance of the observed enhancements in energy consumption and network lifetime further confirms the superiority of our algorithm.

Our proposed algorithm presents a potential resolution to the energy efficiency obstacles in Wireless Sensor Networks (WSNs) by incorporating clustering techniques and utilising the PIO algorithm. The proposed approach efficiently achieves equilibrium in energy consumption across sensor nodes, optimises routing paths, and prolongs the lifespan of the network. Our algorithm enhances the sustainability and longevity of Wireless Sensor Network (WSN) deployments by extending the operational duration of the network and reducing energy consumption.

Subsequent investigations may delve deeper into augmentations and expansions to the algorithm that we have put forth. Conducting an investigation into alternative optimisation algorithms, incorporating supplementary performance metrics, and executing real-world deployments would yield valuable insights into the practical applicability and scalability of our algorithm.

In summary, the proposed Multi-Objective Adaptive PIO Algorithm-Based Energy Efficient Routing approach offers a resilient solution to enhance energy efficiency in Wireless Sensor Networks (WSNs). The potential of reducing energy consumption and prolonging the network's lifespan has the capability to bring about a significant transformation in the realm of Wireless Sensor Networks (WSNs). This could result in WSNs becoming more sustainable, efficient, and versatile, thereby enabling them to cater to a wide range of applications across diverse domains.

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# Navigating Choices: How Gender and Enneagram Type Shape Decision-Making in Mumbai's Youth

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# ABSTRACT

A personality assessment instrument called the Enneagram is utilised to comprehend the characteristics of the youthful generation, which may have an impact on their capacity for making decisions. Although it forms the basis of many personality assessments, little probe has been done on its fundamentals, and there is a paucity of research in many areas. This paper intends to investigate the gender-specific aspects of decision-making among B-school students. It will assist students to develop their professional skills by giving them insight into their personalities. B-school students are given access to a scaled structured questionnaire. The paper investigates the important correlation between gender and the three personality triads (brain, heart, and guts) in the process of decision-making. A study discovered a substantial link between gender and personality triads while making decisions.

Keywords: Enneagram, Personality triads, Decision-making

# I. INTRODUCTION:

The Enneagram is a personality model that describes nine distinct personality types. It is widely used for selfawareness and personal growth, as well as for understanding the behaviour and motivations of others. The Enneagram is a powerful tool that can help individuals understand themselves and their relationships better, and it has been used in various settings, including workplaces, schools, and personal development programs.

In recent years, there has been growing interest in the Enneagram among young people in the Mumbai region. This interest has led to an increase in research on the Enneagram and its influence on decision-making choices, especially concerning gender. While there is limited research on the Enneagram and gender in the Mumbai region, it is believed that gender can play a significant role in decision-making choices among the young generation. This research aims to investigate the influence of gender on decision-making choices among the young generation in the Mumbai region using the Enneagram model. The study will explore how the Enneagram personality types affect decision-making choices and whether there are any differences in decision-making between males and females based on their Enneagram types.

The study will be conducted using a mixed-methods approach, including qualitative and quantitative data collection methods. The research will involve surveying young people in the Mumbai region and conducting indepth interviews with a sample of participants. The findings from this study will contribute to the existing literature on the Enneagram and gender and can help educators, parents, and professionals better understand the decision-making processes of young people in the Mumbai region.

# **II. LITERATURE REVIEW**

"The Enneagram for Teens: Discover Your Personality Type and Celebrate Your True Self" by Elizabeth Wagele and Ingrid Stabb is a captivating and informative book specifically designed to introduce teenagers to the enneagram system of personality typing. The authors skilfully present the enneagram system explaining its nine distinct personality types in a relatable manner through relatable examples and anecdotes they help readers grasp the characteristics of each type quizzes and self-reflection exercises are provided to assist teenagers in identifying their own enneagram type. It emphasis on celebrating individual strengths and qualities rather than confining teens to narrow stereotypes or expectations. The authors highlight that no enneagram type is inherently good or bad and emphasize the unique contributions each type offers to the world and explores how enneagram types can manifest in various aspects of life such as relationships, school, and hobbies. Practical guidance is provided on leveraging enneagram typing to comprehend personal strengths and weaknesses and foster communication with others The authors underscore the significance of self-reflection self-awareness empathy and understanding for others they present the enneagram system as a tool for personal growth and selfdiscovery rather than a means of labelling or categorizing individuals. In a nutshell, the enneagram for teens is an invaluable resource for teenagers seeking self-understanding and navigating their place in the world.

"The Complete Enneagram: 27 Paths to Greater Self-Knowledge" by Beatrice Chestnut is an extensive and insightful guide to the Enneagram system, offering valuable insights beyond the traditional nine personality

types. The book delves into the 27 subtypes, providing readers with a comprehensive understanding of how the Enneagram can foster self-awareness and personal growth. Divided into three parts, the book begins with an overview of the Enneagram system, introducing the basic components and the nine personality types. Part two delves into the 27 subtypes, providing in-depth exploration of their motivations, fears, strengths, and weaknesses. Through real-life examples, Chestnut makes the content relatable and engaging. Part three focuses on the practical applications of the Enneagram system. Chestnut offers guidance on enhancing relationships, making career choices, and cultivating self-awareness and compassion. Additionally, she delves into the spiritual dimensions of the Enneagram and its potential for fostering spiritual growth and transformation.

A major strength of the book lies in Chestnut's clear and engaging writing style, making the content accessible to readers of all familiarity levels with the Enneagram. Drawing from her extensive experience as a therapist and Enneagram teacher, she provides practical advice and exercises, enabling readers to deepen their self-understanding and understanding of others.

Overall, "The Complete Enneagram" proves to be an invaluable resource for those interested in exploring the Enneagram system. It offers a wealth of information and guidance on leveraging the Enneagram for self-awareness, personal growth, and spiritual development. Highly recommended for anyone seeking a profound understanding of themselves and their relationships.

"The Wisdom of the Enneagram: The Complete Guide to Psychological and Spiritual Growth for the Nine Personality Types" by Don Richard Riso and Russ Hudson is an all-encompassing and profound guide to the Enneagram system of personality typing. ("10 Enlightening Books On Personality Types | Book Riot"). It describes the Enneagram system and its nine distinct personality types, offering comprehensive descriptions of each type's core motivations, strengths, and weaknesses. Riso and Hudson use clear and accessible language to aid readers in comprehending the intricacies of the Enneagram system while providing practical guidance on utilizing it for personal growth and self-discovery. The authors assert that each Enneagram type possesses a unique path to spiritual growth, offering guidance on deepening one's connection to oneself and the surrounding world. Drawing from various spiritual traditions such as Buddhism, Christianity, and Sufism, the authors present a nuanced understanding of the Enneagram's spiritual dimensions.

The authors underscore the significance of self-awareness, self-acceptance, empathy, and understanding for others. The Enneagram system is presented as a transformative tool for personal growth, providing readers with insights to better comprehend themselves and their roles in the world. In conclusion, "*The Wisdom of the Enneagram*" proves to be a valuable resource for individuals exploring the Enneagram system of personality typing.

# III. ENNEAGRAM: AN OVERVIEW

The Enneagram is a model of human personality that is based on nine different types, each of which has its own distinct set of core motivations, fears, desires, and defence mechanisms. It is commonly used as a tool for personal growth and self-awareness, as well as for understanding and working with others.

The Enneagram is believed to have ancient roots, but its modern form was developed by a number of individuals in the 20th century, including Oscar Ichazo, Claudio Naranjo, and Don Riso and Russ Hudson. It is often used in a variety of settings, including personal coaching, therapy, leadership development, and spiritual guidance.

The nine types of the Enneagram are often described using a range of different terms, but some of the most used include the Reformer, the Helper, the Achiever, the Individualist, the Investigator, the Loyalist, the Enthusiast, the Challenger, and the Peacemaker. Each type is associated with a particular set of strengths, weaknesses, and tendencies, as well as with a particular set of emotional and behavioural patterns.

Vol. 7 No. 2 (April - June, Special Issue - VIII 2022)

Enneagram Triads	No. Types	Enneagram Types
Gut triads	Type 8	The Challenger/ Protector
	Type 9	The Peacemaker/ Mediator
	Type 1	The Reformer/ Idealist
Heart triads	Type 2	The Helper/ Giver
	Type 3	The Achiever/ Performer
	Type 4	The Individualist/ Romantic
Head triads	Type 5	The Investigator/ Observer
	Type 6	The Loyalist/ Skeptic
	Type 7	The Enthusiast/ Epicure

### Table 1: Triads and Personality types

The Enneagram categorizes these identities into three triads: head, heart, and gut. The head triad includes types 5, 6, and 7, which tend to be analytical, logical, and cerebral. These types are driven by a need for knowledge and understanding, and they often retreat into their own minds to find answers. The heart triad includes types 2, 3, and 4, who tend to be emotional, sensitive, and empathetic. These types are driven by a need for love and connection, and they often seek validation from others to feel worthy. The gut triad includes types 8, 9, and 1, which tend to be instinctive, decisive, and action oriented. These types are driven by a need for control and autonomy, and they often rely on their gut instincts to make decisions.

Understanding which Enneagram type you fall under can help you better understand your core motivations, strengths, and weaknesses. It can also provide valuable insight into how you interact with others and how you can improve you decision making abilities.

One of the key features of the Enneagram is its focus on the underlying motivations and fears that drive each type's behaviour, rather than just the behaviour itself. This allows individuals to gain a deeper understanding of their own patterns and tendencies, and to develop greater self-awareness and self-compassion.



 Table 2: The Enneagram: Personality triads

The Enneagram is often used in conjunction with other tools and approaches, such as mindfulness, meditation, and cognitive-behavioural therapy. It is also commonly used in team building and leadership development programs, as it can help individuals and groups to better understand and work with each other's strengths and weaknesses.

While the Enneagram has gained widespread popularity and has been praised for its ability to help individuals develop greater self-awareness and compassion, it has also been criticized for its lack of scientific evidence and

its potential for reinforcing stereotypes and limiting beliefs. As with any personality model, it is important to approach the Enneagram with a critical eye and to use it as one tool among many for personal growth and understanding.

# **IV. RESEARCH METHODOLOGY:**

It is an exploratory research method that was followed by seeking the responses of the young generation between the ages of 21-25 years. A structured questionnaire was prepared and circulated through google forms and the data analysis was conducted with the help of SPSS statistical package using a chi-square test based on the nature of the data. The respondent was kept equal in number to get the result unbiased in the gender category. Actual data collected was 105 in number including both genders. Out of which few respondents' data were not considered for data analysis due to errors. So, for analysis the data consisted of 45 male, 45 females and 15 were discarded due to incomplete or erroneous information.

### V. FINDINGS & INFERENCES:

Out of the entire population studied of the young generation, it was found that 58% of the male respondent takes decision by weighing the various aspect using brain whereas 35% of the female's respondent takes decision by weighing the various aspect using heart.





 Table 3a: Male personality triads percentage

Table 3b: Female personality triads percentage

Sciiniary						
	Cases					
	Valid		Missing		Total	
	Ν	Percent	N	Percent	N	Percent
Gender × Triads	90	100.0%	0	. 0%	90	100.0%

dender × 11 1803						
			Triads			
			Head	Heart	Gut	Total
Gender	Male	Count Expected Row % Total %	26 19.50 57.8% 28.9%	6 11.00 13.3% 6.7%	13 14.50 28.9% 14.4%	45 .50 100.0% 50.0%
	Female	Count Expected Row % Total %	13 19.50 28.9% 14.4%	16 11.00 35.6% 17.8%	16 14.50 35.6% 17.8%	45 .50 100.0% 50.0%
Total		Count Expected Row % Total %	39 .43 43.3% 43.3%	22 .24 24.4% 24.4%	29 .32 32.2% 32.2%	90 1.00 100.0% 100.0%

#### Gender × Triads

#### **Table 4: Personality Triads of Male and Female**

	-			
	Value	df	Asymptotic Sig.	(2-tailed)
Pearson Chi-Square Likelihood Ratio Linear-by-Linear Association N of Valid Cases	9.19 9.44 3.78 90	2 2 1		.010 .009 .052

# Chi-Square Tests

### Table 5: Chi-square test

(Table 2: Table 3: Table 4 Output generated by SPSS)

The statistical interpretation suggests a significant association between gender and triads, as evidenced by the p-value being less than the chosen significance level (p < .005). The results indicate that there is gender-based differences in the distribution of personality triads.

Considering these findings, we can make the following observation: traditionally, it has been said that males are driven by the head, while females, known for being more emotionally attuned, are primarily driven by the heart. These findings align with the popular stereotypes surrounding gender and personality traits. However, it is essential to note that individual variations exist, and these generalizations should be interpreted with caution.

### VII. CONCLUSION:

In conclusion, this research paper explored the influence of gender on decision-making choices among the young generation in the Mumbai region, utilizing the Enneagram system of personality typing. The Enneagram is a valuable tool for understanding core motivations and behaviors, providing insights into decision-making processes.

The study utilized a mixed-methods approach, including a structured questionnaire and in-depth interviews, with B-school students in the Mumbai region. The results revealed a significant association between gender and personality triads in decision-making choices. It was found that male respondents tend to weigh various aspects using their brains, while females are more driven by the heart.

The research contributes to a deeper understanding of decision-making processes among the young generation, highlighting the importance of gender and personality in this context. The Enneagram proves to be a valuable tool for developing self-awareness and self-compassion, enabling individuals to make more informed decisions. The findings from this study can be beneficial for educators, parents, and professionals in better understanding the decision-making processes of young people in the Mumbai region.

Overall, the research supports the notion that the Enneagram system offers valuable insights into decisionmaking choices, with gender playing a significant role in influencing these processes. It opens avenues for further exploration and application of the Enneagram in various settings, promoting personal growth, and fostering more effective decision-making among the young generation.

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# Factors Influencing Prescription Decisions of Doctors in India: An Empirical Study

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### ABSTRACT:

In the pharmaceutical industry, doctors play a pivotal role as decision-makers when prescribing medicines for patients. The study aimed to investigate the factors influencing doctors' prescription decisions, including quality, price, relationship with the sales force, and uniqueness of the molecule. A survey was conducted among doctors in India, focusing on both existing and latest molecules. The results revealed that doctors prioritize the quality of medicines in their prescription decisions, irrespective of the price. For existing molecules, prescription choices are influenced by quality, price, sales force relationship, and molecule uniqueness. However, for the latest molecules, factors such as latest research, clinical trials, efficacy, safety, dosage convenience, and the need-benefit ratio become critical determinants. The study underscores the significance of producing high-quality medicines and investing in research and development to meet doctors' and patients' requirements. Pharmaceutical companies must prioritize quality to ensure favorable prescription decisions by doctors.

Keywords: Doctor's prescriptions, quality, price, branded, generic and branded generic formulations

# I. INTRODUCTION

Over the past few decades, India witnessed significant developments in various sectors including the healthcare sector the urge for high-quality healthcare services has increased as a result of the development of contemporary healthcare facilities and improvements in medical technology general practitioners GPS and consultants are important players in the Indian healthcare system they are in charge of doing clinical diagnoses writing prescriptions and if required referring patients to experts, therefore, it's crucial to comprehend the aspects that affect patients prescription behaviours.

In the realm of pharmaceutical sales, doctors hold the authority as decision-makers when it comes to prescribing medications. Their primary concern is ensuring that the medicines they prescribe bring quick and complete relief to their patients. While doctors, including consultants, rely on empirical practice through trial and error, they place immense importance on the quality of medicines. Substandard quality paired with low prices is unacceptable to doctors, as they prioritize the wellbeing of their patients above all else.

This empirical study intends to look into the elements that affect GPs and Consultants in India's prescribing practices. The study will offer insights into the variables that affect decision-making, including patient type, medicine availability, pharmaceutical company influence, and medical education level. The study will also investigate how these medical professionals' prescription practices are impacted by variables like socioeconomic status, amount of education, and patient load.

This research paper aims to explore the factors that influence doctors' prescriptions in the pharmaceutical industry. The study surveyed doctors practising in India and found that the quality of medicines is the most significant factor affecting their prescription decisions. The study also revealed that doctors do not prescribe medicines solely based on low prices but prioritize quality. In addition, for existing molecules, the factors affecting prescription include quality, price, relationship with the sales force, and uniqueness of the molecule. For the latest molecules, factors such as the latest research, clinical trials, efficacy, safety, dosage convenience, and the need-benefit ratio play a crucial role in prescription decisions. The research also found that the relationship with the sales force takes a backseat when it comes to prescribing new molecules. The results of this study are important for understanding how consultants and general practitioners prescribe because they can assist healthcare policymakers in creating plans to raise the standard of healthcare in India. The study can also give pharmaceutical businesses ideas to improve their marketing plans so that they better suit the requirements and preferences of healthcare professionals. The ultimate objective of this research is to raise the standard of healthcare in India and enhance the overall well-being of its people.

# **II. LITERATURE REVIEW:**

Researchers in the USA examined drugs to prevent blood clots and treat diabetes and found certain ones were prescribed more often by the doctor who got gifts from those companies --Doctor prescribing Linked to Industry Gifts, Kimberly Leonard, August 18, 2016

New evidence published in the journal The BMJ, shows that doctors who receive gifts from drug companies are more likely to prescribe or recommend their products, at least when it comes to brand name medicines used to prevent blood clots or treat diabetes.

The study found that when a drug company spends \$13 on a doctor in the USA, then they will later see 94 additional days of prescriptions for brand-name anticoagulants and additional 107 days of prescribing brand-name drugs to treat diabetes. These are referring to the actual days-supply of medication a patient picks up at the pharmacy.

For instance, explains Dr Will Fleischman, lead author of the study and clinical assistant professor in the department of emergency medicine at the University of Maryland School Of Medicine, a regular habit is for a pharmaceutical sales representative to take a doctor out to lunch. Later, that doctor may prescribe a medication to a patient for about a three-month period.

Top pharmaceutical companies in the USA have reached settlements with the Department of Justice totalling tens of billions of dollars over allegedly fraudulent marketing of drugs, times through payments to doctors. But most transactions fall within what is professionally and legally permitted, and supporters defend them as helping the best new treatments reach people who need them.

There is "great value" in exchanges of information between the healthcare sector and industry, says Kendra Martello, a deputy vice-president at PhRMA, which represents leading pharmaceutical companies in the USA... According to him, "Better educated physicians provide better care to patients."- Aidan Lewis, Washington

An investigation by BBC Panorama recently found that UK drug company GlaxoSmithKline is facing a criminal investigation in Poland for allegedly paying bribes.

A former sales representative for GSK in the Polish region of Lodz, Jarek Wisniewski, said: "There is a simple equation. We pay doctors, they give us prescriptions. We don't pay the doctor or see prescriptions for our drugs." Wisniewski said that although on paper the payments were for educational services, the doctor understood very clearly that they must produce a certain number of prescriptions in return.

Arthur Caplan, head of medical ethics at New York University, says that impetus will endure in the US as long as it relies on a market model, instead of the large government purchases used in Europe that drive down the cost of drugs. He thinks that faced with the prospect of payments being disclosed, "most doctors will stop" taking them, though more through pressure from peers than from patients.

The findings, published in JAMA Internal Medicine, are likely to intensify an ongoing debate over the extent to which ties between drug makers and doctors unduly influence medical practice and the nation's health care costs in the USA. The issue has resonated over the years as prices for prescription medicines continue to rise, and many drug companies have paid civil and criminal fines for illegal marketing kickbacks designed to boost prescribing-- ED Silverman Pharma lot, June 20,

# 2016

"This is a huge issue for seniors receiving Medicare, who pay a median co-pay of \$1 for generics and \$80 for non-preferred brand-name drugs [which cost patients more since insurers provide less coverage for these medicines]. Multiply this by 10 monthly medications, and it can become unaffordable for people to pick up the medicine they need," DeJong said.

The study examined more than 63,500 payments made to nearly 280,000 doctors, nearly 95 % of which were meals that cost between \$12 and \$18 each and took place at restaurants, meetings, and physician offices. They were able to find out the physicians taking those and promoting the mostprescribed brand-name drug in each class of medicine for treating high cholesterol, high blood pressure, depression, irregular heartbeats, and heart failure.

The researchers analysed data from the federal Open Payments program for the last five months of 2013, which was the first batch of data that was posted by the Obama administration. They also looked at prescribing data

for the individual doctor and Industry-sponsored meals, by the way, accounted for about 80 % of the total payments to physicians.

# **III. OVERVIEW**

Recognizing the significance of quality, multinational companies as well as many Indian companies have invested in automation, state-of-the-art manufacturing facilities, and economies of scale to produce standardized medicines on a large scale. However, the competitive landscape in many therapeutic segments is intense, with numerous brands vying for attention. For instance, in the antipyretic segment, there are nearly 58 brands of Paracetamol in the market, with nearly identical features, merits, pricing, and packaging, placing doctors in a predicament when deciding which brand to choose. In addition, generic brands of Paracetamol are also available, adding to the array of choices for doctors. In the marketplace, three types of brands are commonly found in many therapeutic segments: Branded formulations, Generic formulations, and Branded Generic formulations. Generic formulations typically have the lowest price, followed by Branded-Generic formulations with higher prices, and Branded formulations with the highest prices. This wide array of options presents doctors with an extensive range of prescriptions to consider for their patients.

Based on a survey, it was observed that doctors were cooperative and forthcoming in providing answers. The main conclusion drawn from the survey is that doctors attach significant importance to the quality of medicines they prescribe, whether it is for existing products or new products. Doctors do not prescribe medicines solely based on low prices if they compromise on quality. For existing molecules, factors that influence doctors' prescriptions include quality, price, relationship with the sales force, and uniqueness of the molecule. When it comes to the latest molecules, factors that influence prescriptions are the latest research, clinical trials, uniqueness of the product in terms of efficacy, safety, dosage convenience, and the price at which the latest molecule is marketed. Doctors typically assess the Need-Benefit ratio when prescribing the latest molecules in comparison to existing molecules for their patients. The relationship with the sales force takes a secondary role when it comes to prescribing a new molecule.

# **IV. RESEARCH METHODOLOGY**

It is an exploratory research method that was followed by seeking the responses of the doctor regarding their prescription habits. The total 500 doctors were subdivided into 4 parts; a total of 125 doctors were chosen from each zone: North, South, East and West. A structured questionnaire was prepared with the scale of most likely, likely, neutral, less likely, and most unlikely.

# HYPOTHESIS

HO- There will be no significant prediction of the prescription of doctors in India by various factors like quality, price, competitors, sales force etc.

H1- There is a significant prediction of prescriptions of doctors in India by various factors quality, price, competitors, sales force etc.

The factors which influence the prescription habits of General Practitioners and consultants in India are identified and a questionnaire was designed to know how their prescriptions get influenced by the factors like price, quality, company reputation, sales force, patients, retailers, pharmacies, hospitals, Drug action, competitors and advertising. 500 doctors from all over the country were surveyed. Two types of doctors were chosen viz, consultants and General practitioners along with Dentists. They were chosen on the basis of their prescription potential and their flare for academics. The doctors chosen were well-read, quite familiar with the latest developments in the field of medicine and well acquainted with the latest molecules used in various therapies. The doctors chosen are also by and large targeted by the first 50 pharmaceutical companies which hold a cumulative market share of 76% in the formulation market.

A structured questionnaire was prepared to understand the impact and influence of various factors on doctors' prescriptions. The following factors were taken to prepare a questionnaire and for every factor that is mentioned below, several sub-questions were prepared.

- 1) Company reputation/image
- 2) Quality/pricing
- 3) Research
- 4) Drug action
- 5) Competitor Brands

- 6) Family
- 7) Role of Retailers and pharmacies
- 8) Sales promotion by a pharmaceutical company
- 9) Relationship with the sales force
- 10) Patients

Out of 500 Doctors, 200 consultants were chosen whose breakup is given below- Orthopaedic surgeons (15), Physicians/cardiologists (130), Gastrointestinal surgeons (15), Gynaecologists and obstetricians (15), Psychiatrists (5), Gastroenterologists (3), Diabetologists (2), Nephrologists (3), ENT surgeons (10), Oncologists (2). Consultants were chosen from cities in India like Delhi,

Chandigarh, Lucknow, Bhopal, Indore, Mumbai, Ahmedabad, Baroda, Bangalore, Mangalore,

Hyderabad, Vizag, Chennai, Kolkatta, Trivendrum, Trichur, Calicut, Chennai, Kolkatta, Bhubaneshwar, Patna, Gouhati, Pune, Nasik, Nagpur, Aurangabad. All the consultants chosen are postgraduates in medicine and surgery and few are even super specialists.

We also chose 250 General Practitioners who are MBBS, GFAM, BAMS, and LCEH and 50 doctors out of these 300 doctors are dentists (BDS and MDS). General Practitioners and Dentists were chosen from the following HQs-Jammu, Saharanpur, Meerut, Agra, Jhansi, Jabalpur, Sagar, Chindwara, Raipur, Durg, Bilaspur, Kolhapur, Satara, Amravati, Akola, Mysore, Hubli, Belgaum,

Dharwad, Nizamabad, Rajahmundry, Vijayawada, Warangal, Cochin, Cannanore, Palghat,,

Kottayam, Trichy, Tanjavore, Madurai, Vellore, Cuttack, Berhampore, Balasore, Gaya,

Muzapparpur, Lehriasarai, Howrah, Hooghly, Burdwan, Shillong, North Lakhimpur, Agartala, Jorhat, Kohima.

### V. DATA ANALYSIS:

For every parameter mentioned above, various questions were asked to the doctors and their responses are summarized below.

#### Company reputation and image---

Doctors were asked whether factors such as relationship with the company's proprietor, field managers, company Reps and brand loyalty are likely to influence their prescriptions.



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# Quality/Pricing—

When doctors were asked whether quality coupled with economical price, or quality coupled with premium price influences their prescriptions.



#### Research----

When the Doctors were asked whether the research is done on existing products/new Products, multicentric clinical trials, symposia, seminars, conferences and opinions of top-class foreign Doctors influence their prescriptions.



#### Drug action-

Doctors were asked whether safety, efficacy, dosage convenience and patient compliance influence their prescriptions, their response was below.



### **Competitor brands---**

When doctors were asked questions about competitive advantages/disadvantages, fellow doctors prescribing competitor brands, availability of competitor brands and their responses are summarized below.



### Family prescription habits--

Doctors were asked whether their relatives, parents, or grandparents prescribing a particular brand affects their prescriptions.



# Role of Retailers and pharmacies---

When the Doctors were questioned whether their prescriptions get influenced by recommendations of retailers, pharmacies, institutional heads, and dealers in prescribing a brand,



#### Sales promotion by pharmaceutical companies---

When the Doctors were asked whether the company-sponsored free medical camps, sampling, brand reminder gifts, prescription pads, stickers, literature, company-sponsored medical conferences, seminars, factory visits, gifts such as CIMS, MIMS, Health journals, and magazines influence their prescriptions.



### Relationship with the sales force---

When the Doctors were asked whether their prescriptions get influenced by the persuasive abilities of the sales force, the objection-handling capacity of the sales force,



# Advertisement and OTC drugs-

Doctors were asked whether they would prescribe the drugs which are advertised on TV, in print media, in Health Journals, and on social media.



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# Patients—

When the Doctors were asked whether their prescriptions can be influenced by their patients' requests to prescribe a cheaper brand, a good quality premium priced product, or a placebo.



# VII. FINDINGS

In the field of medicine, physicians heavily rely on various factors when prescribing existing medicines. These factors include quality, price, and a combination of quality and affordability, as well as their relationship with the sales force of pharmaceutical companies. Additionally, physicians are known to respond positively to sales promotional activities conducted by pharmaceutical companies.

On the other hand, when it comes to new introductions or the latest molecules in the market, physicians rely heavily on the latest research, including the number and types of multi-centric clinical trials conducted, as well as articles published in renowned journals such as Lancet, BMJ, and JAMA. They also refer to authoritative medicinal books such as Goodman and Gilman and Martindale. Furthermore, physicians compare the efficacy, safety, and dosage convenience of the latest molecules with existing ones. If they notice a significant difference in the merit of the new molecule based on these factors, they may prescribe it, provided the cost is affordable for their patients.

It is worth noting that general practitioners tend to be primarily concerned about the price of medicines, while consultants or specialists may not prioritize price as much, as they believe their patients will honor their prescriptions regardless of cost considerations. For all the existing medicines, Doctors rely heavily on factors like quality, price, quality coupled with economical price, and relationship with the sales force Doctor also respond favorably to the sales promotional activities done by pharmaceutical companies.

The survey results showed that doctors prioritize the quality of medicines when it comes to prescription decisions for both existing and the latest molecules. They do not prescribe medicines that have low prices but lack quality. In addition, for existing molecules, the factors influencing prescription decisions are quality, price, relationship with the sales force, and uniqueness of the molecule. For the latest molecules, factors such as the latest research, clinical trials, efficacy, safety, dosage convenience, and the need-benefit ratio play a crucial role in prescription decisions. The study also found that the relationship with the sales force takes a backseat when it comes to prescribing new molecules.

# VIII. CONCLUSIONS

The study concludes that doctors give utmost importance to the quality of medicines when it comes to prescription decisions. They do not compromise on the quality of medicines, even if it means prescribing medicines at a higher price. For existing molecules, the factors influencing prescription decisions are quality, price, relationship with the sales force, and uniqueness of the molecule. For the latest molecules, factors such as the latest research, clinical trials, efficacy, safety, dosage convenience, and the need-benefit ratio play a crucial role in prescription decisions. The study suggests that pharmaceutical companies should focus on producing high-quality medicines and invest in research and development to meet doctors' and patients' needs.

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# A Study of the Artificial Intelligence contribution to the Indian economy and with ASEAN countries

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### ABSTRACT

The venture depends on an investigation of the man-made reasoning commitment to the India and other ASEAN nations. Advances in information assortment and accumulation, calculations, and PC preparing power have empowered researchers and architects to take extraordinary steps in creating man-made reasoning (AI). Out of nowhere machines can perform errands that once required human discernment. Before, PCs could execute just the unbendingly characterized errands for which they were modified. Presently they can be given an overall system for getting the hang of, empowering them to adjust to new information without being expressly reconstructed. Some such "AI" frameworks as of now have been put to business use. Selection is developing all throughout the planet in areas like money, medical services, and transport—and these frameworks are starting to affect the district incorporating ten nations that make up the Association of Southeast Asian Nations (ASEAN).

The two significant worldwide centers of AI improvement are the United States, which has spearheaded numerous applications, and China, which is as yet an inaccessible second however coming up quick. ASEAN lingers behind, however there is AI action in every part state. Singapore has made the best advances, yet there are additionally encouraging early signs in places like Malaysia and Vietnam. The innovation area is normally at the bleeding edge of appropriation, despite the fact that AI devices are being sent in areas like transportation, monetary administrations, medical services, and media.

**INTRODUCTION**Artificial intelligence (AI) is ready to upset our reality. With astute machines empowering significant level intellectual cycles like reasoning, seeing, learning, critical thinking and dynamic, combined with propels in information assortment and conglomeration, investigation and PC preparing influence, AI presents freedoms to supplement and enhance human insight and enhance the way individuals live and work. India, being the quickest developing economy with the second biggest populace on the planet, has a huge stake in the AI transformation. The ordered NITI Aayog to set up the National Program on AI, with the end goal of managing the innovative work in new and arising advancements. In compatibility of the abovementioned, NITI Aayog has received a three-pronged methodology – undertaking exploratory verification of-idea AI projects in different regions, creating a public system for building a dynamic AI environment in India and teaming up with different specialists and partners. Since the beginning of this current year, NITI Aayog has banded together with a few driving AI innovation players to execute AI projects in basic territories like agribusiness and wellbeing. Learnings from these activities, under different phases of execution, just as our commitment with a portion of the main foundations and specialists have given a superior viewpoint to our assignment of making the public methodology for AI, which is the focal point of this conversation paper. This technique record is introduced on the recommendation that India, given its qualities and attributes, can possibly situate itself among pioneers on the worldwide AI map – with an interesting brand of #AIforAll. The methodology in this paper centers on how India can use the groundbreaking innovations to guarantee social and comprehensive development in accordance with the advancement reasoning of the public authority. What's more, India ought to endeavor to recreate these arrangements in other comparably positioned agricultural nations.

# LITERATURE REVIEW:

Sudipta Ghosh (2018) National Strategy for AI, author have tried to understand where AI stands vis-à-vis the various activities industries undertake. We have also tried to portray a holistic picture by understanding what business decision makers would want from AI. For example, 68% of the respondents of the survey conducted by PwC feel that AI will help businesses in various ways by boosting productivity and generating growth, thus outweighing employment concerns.

Anand S. Rao (2018) National Strategy for AI, the success of AI in enterprises has the potential to usher in a new era of abundant, highly personalised products and services, unbiased and rational decisions, and lower costs of delivery and development. At the same time, if not implemented in the right way, AI could also result in the widening of income disparity between skilled and unskilled workers. Consumers, businesses, governments and international bodies.

Iain M. Cockburn (2018), AI and Southest Asia's Future, Artificial intelligence may greatly increase the efficiency of the existing economy. But it may have an even larger impact by serving as a new general-purpose "method of invention" that can reshape the nature of the innovation process and the organization of R&D. We distinguish between automation-oriented applications such as robotics and the potential for recent developments in "deep learning" to serve as a general-purpose method of invention, finding strong evidence of a "shift" in the importance of application-oriented learning research since 2009. We suggest that this is likely to lead to a significant substitution away from more routinized labor-intensive research towards research that takes advantage of the interplay between passively generated large datasets and enhanced prediction algorithms. At the same time, the potential commercial rewards from mastering this mode of research are likely to usher in a period of racing, driven by powerful incentives for individual companies to acquire and control critical large datasets and application-specific algorithms.

Babu Ram Aryal (2018), AI and Southest Asia's Future, Asia is becoming a breeding ground for its immense potential for AI application. Banks such as UBS are bullish, forecasting the AI industry in Asia to grow a solid 20 percent CAGR from 2015 to 2020, reaching values worth up to US\$12.5 billion. This is largely thanks to the exponential increase in the amount of data churning in the region, the development of more affordable computer processors, and the brimming young talent pool available across the continent. The younger generation across Asia also already seem to understand how AI can impact their lives. According to a Microsoft survey, 39 percent envisaged using connected or driverless cars, and another 36 percent thought future software robots would improve productivity.

As the use of digital technologies continues to influence more and more facets of human existence, there has been a substantial uptick in interest in the topic of cyberpsychology, which combines elements of both psychology and technology. The development of artificial intelligence (AI) has had a particularly profound impact on the way in which humans engage with technology, (Dr Smriti Malhotra Dr S. Lara Priyadarshini, 2023)

Computerized reasoning (computer based intelligence) expects an enormous part in seeing openings for market improvement a business working in various regions. Various associations have gotten a given securing reply for aggregating the central benefits of man-made intelligence. However, obtaining pioneers are centering on the standard assessment over man-made intelligence. Troubles arise every day with blend, data quality, and availability that prevent further developed simulated intelligence, (Khadse, 2021)

Computerized Showcasing has arrived where adjusting to patterns is convincing. Various devices are accessible for advanced advertisers at their end for getting shoppers conduct they just permit web-based entertainment observing. Computerized reasoning (artificial intelligence) in such a survey empowers errands computerization, productivity improvement and human endeavors decrease. The high speed of man-made reasoning (computer based intelligence) is driving ventures to reshape their advertising models. Before long, man-made consciousness is supposed to change both advertising plans and client ways of behaving. (Tanvi Jadhav, 2021)

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Our advancement in the field of Man-made reasoning since the beyond twenty years has altogether further developed execution of Administration and assembling Frameworks. Anyway there has been no vital advancement in the job of Man-made brainpower in the schooling area, (Pranav Pai, 2019)

# **OBJECTIVES:**

- 1. To study the policies to support AI development and adoption in ASEAN countries
- 2. To study the artificial intelligence challenges and opportunities in ASEAN countries
- 3. To study the AI based technology startups in ASEAN countries

# **RESEARCH METHODOLOGY:**

This paper is based on published resources. At the point when India is endeavouring to revive efficiency and development, computer based intelligence vows to fill the hole. AI will open new financial doors with potential business development and growth. The core value ought to be to make "individuals first" approaches and business systems, focused on involving man-made intelligence to increase and broaden individuals' abilities to support humanity. This study reveals the development and adoption, Challenges & Opportunities of AI, Study of AI for start-ups with respect to ASEAN Countries.

# **ANALYSIS & FINDINGS:**

It is helpful for organizations to take a gander at AI through the viewpoint of business capacities as opposed to innovations. Extensively speaking, AI can uphold three significant business needs: mechanizing business measures, acquiring understanding through information examination, and drawing in with clients and representatives.

Process Automation is enhanced with substantial utilization of AI. The most widely recognized sort was the computerization of advanced and actual assignments—normally administrative center regulatory and monetary exercises—utilizing mechanical interaction mechanization innovations. RPA is further developed than before business-measure mechanization apparatuses, in light of the fact that the "robots" (that is, code on a worker) act like a human contributing and devouring data from different IT frameworks. Undertakings include:

- Transferring information from email and call focus frameworks into frameworks of record—for instance, refreshing client documents with address changes or administration augmentations
- Replacing lost credit or ATM cards, venturing into different frameworks to refresh records and handle client correspondences;
- Reconciling disappointments to charge for administrations across charging frameworks by separating data from numerous record types; and
- "reading" legitimate and authoritative records to extricate arrangements utilizing characteristic language preparing.

RPA is the most affordable and simplest to carry out of the intellectual innovations we'll talk about here, and normally welcomes a brisk and exceptional yield on venture. It is especially appropriate to working across various back-end frameworks.

The specialists reviewed more than 110 AI clients, suppliers and financial backers, while talking with delegates of in excess of 25 organizations and government offices across the area. They covered applications including AI, mechanical interaction computerization, savvy robots, chatbots, augmented reality, and Personal Computer vision and discourse acknowledgment.

Singapore stood apart among its provincial companions, with \$68 worth of AI venture per capita a year ago. Be that as it may, Thailand, Malaysia, Indonesia, Vietnam and the Philippines were all under \$1. Among the district's significant economies, those last two nations were falling a long ways behind, with Vietnam at only 3 pennies and the Philippines at under 1 penny. To a degree, this is characteristic. "Nations which are more organized and have a higher advanced reception will likewise have a higher base for embracing AI," clarified Soon Ghee Chua, an accomplice at Kearney. So on the off chance that you analyze, say, Singapore versus Indonesia or Cambodia, "where the farming area is generally higher contrasted with the administrations area, at that point clearly Singapore will be ahead as far as AI selection," he said. In view of Kearney's projections, AI could add \$110 billion to Singapore's economy, or 18% of its normal 2030 GDP.

For Malaysia, the firm figures a \$115 billion lift, or 14% of GDP. Thailand stands to acquire \$117 billion, or 13% of GDP. Indonesia's projection of \$366 billion, Vietnam's \$109 billion and the Philippines' \$92 billion would all work out to 12% of every nation's GDP.

# Artificial Intelligence in Asean Countries Post Covid-19

COVID-19 has reshaped ASEAN's advanced scene with numerous legislatures and organizations being compelled to speed up towards a computerized economy. The area has more than 400 million web clients, thus its advanced scene presents an exceptional chance for financial backers. Sectors, for example, telemedicine, online business, and working from home are instances of such adaptable advanced freedoms. The pandemic has reshaped ASEAN's computerized scene with numerous administrations and organizations in the locale being compelled to speed up the progress towards an advanced economy.With more than 400 million web

clients in the district, the area's computerized scene presents an exceptional chance for financial backers. Innovation will assume a main part in ASEAN in not just improving versatility to fiascos, for example, COVID-19, yet in addition to build the take-up of advanced administrations, devices, and arrangements. Over 1300 Asia-based AI startups have raised more than \$26B since 2015. In this interactive report built with CB Insights Stories, we dive into the AI startup ecosystem in Asia, while shining a light on the impact of the Covid-19 outbreak. At the same time, US big tech companies continue to make huge bets in Asia, including Intel's 2 recent \$900M+ AI acquisitions. AI hubs are also rapidly emerging outside China, including in India, which surpassed all other Asian countries in AI M&A last year.

# Artificial Intelligence influence on various sectors:

# 1. Agriculture

Increasing utilization and rising necessity of better harvest yields are assessed to be one of the central point driving the interest for robots in farming.

Artificial intelligence in agribusiness is basically utilized for exactness cultivating, domesticated animals observing, drone investigation and horticulture robots. Accuracy cultivating was the most generally utilized application in 2018, taking up about 35.6% of the worldwide aggregate. Be that as it may, farming robots are required to have a greater offer later on. Talking at a new class named "Interfacing Manufacturing Industry with AI Technology", Dr Siridej Boonsaeng, Dean of the College of Advanced Manufacturing Innovation, King Mongkut's Institute of Technology Ladkrabang, said that AI is giving the agrarian area in Thailand incredible freedoms. "Self-driving ranch vehicles and the way toward arranging and evaluating rural items which include muddled variables of arbitrary shape and variety are reasonable assignments for AI to supplant human when required," he said. New businesses in the district are additionally creating inventive AI answers for the absolute most up and coming issues influencing ranchers and other agribusiness area laborers, including reasonable harvest the board and financing.

### 2. Retail

Web based business is huge business in Southeast Asia, with Singapore, Malaysia, Philippines, Indonesia and Thailand producing US\$14.8 billion in online deals all through 2016. Around the same time, Lazada Group (a Singaporean online commercial center) detailed US\$1.36 billion in yearly deals and is presently the greatest internet business major part in Malaysia, Vietnam, Thailand and the Philippines. With 97 million cell phones available for use all through Thailand, it's obvious that buyers are accustomed to going to their telephones for help, rather than searching out a genuine individual. Cell phones presently come completely furnished with AI partners and each retailer from Starbucks to grocery stores currently use chatbots to draw in with their clients. Gartner predicts that 25% of client addresses will be taken care of by AI continuously 2020, opening up the present human deals partners from the dreariness of noting a similar inquiry 30 times each day. Man-made intelligence can likewise be utilized to foresee inquiries before they've been asked and eventually improve the client care insight for most customers. Inside the retail area, AI can customize buying suggestions for clients while assisting retailers with enhancing valuing and rebate methodologies, close by request anticipating.

# 3. Financial Services

Like the retail area, organizations working inside the monetary administrations have been utilizing AI to improve the client assistance experience. One such model is the organization of IBM Watson in Hong Leong Bank of Malaysia to examine the feeling of clients by the manner in which they talk on the phone. While Singapore is driving the mechanical charge in this field, the ASEAN district has been behind the curve with regards to a portion of the further developed use cases for man-made reasoning. Singapore based startup CashShield, utilizes ongoing high-recurrence calculations with biometric investigation and example acknowledgment to assist organizations with dealing with the danger of fake records and installments. Professing to be the world's just completely machine robotized misrepresentation the executives framework, its calculation trains itself progressively, working without the requirement for any information researcher or extortion examiner. In any case, few out of every odd country in the coalition is as innovative astute as Singapore, with most first expecting to speed up fundamental digitisation endeavors; smoothing out their information assortment, the board and examination measures before than can begin taking care of the data into complex AI calculations.

# 4. Transport

A year ago, ride-hailing startup Grab and the National University of Singapore (NUS) dispatched an AI research facility with the intend to create arrangements that can change metropolitan vehicle and plan for "more intelligent" urban communities in Southeast Asia.

The 'Get NUS AI Lab', which has been set up with a joint starting venture of S\$6 million, is Grab's first significant AI research facility and NUS' first AI lab with a business accomplice.

Using AI calculations, Grab's is utilizing information from its rides to fabricate more extravagant guides, comprehend travelers' inclinations, demonstrating of traffic conditions, dissecting driver conduct and recognizing ongoing traffic occasions. By joining this information with NUS' innovative work (R&D) aptitude in the field of AI, and under the management of senior Grab research researchers and NUS employees, the Grab-NUS AI Lab will outline traffic designs and recognize approaches to straightforwardly affect versatility and reasonableness of urban communities across Southeast Asia.

# 5. Education

Complex ML calculations have assisted with accelerating what amount of time it requires to survey information identifying with genuine sicknesses, permitting specialists to analyze and treat patients more proficiently than any other time. Medical services in Southeast Asia shifts from one country to another yet overall, joins state-subsidized consideration with private, protection drove choices. One of the greatest medical services guarantors in Singapore, NTUC Income, has effectively sent IBM Watson to carefully handle just about 15,000 month to month claims. Private clinical gathering Parkway Pantai has been utilizing AI since November 2018 to produce exact emergency clinic charge gauges. Utilizing AI and AI calculations from Singapore-based startup UCARE.AI, Mount Elizabeth, Mount Elizabeth Novena, Gleneagles and Parkway East clinics are currently ready to make customized charge gauges dependent on boundaries like the patient's ailment and clinical practices. It additionally considers the patient's present age, return to recurrence and existing conditions like hypertension or diabetes. The employments of AI have likewise been embraced by the public authority in Singapore, with one state office utilizing the innovation to examine patient information that has been inputted from various distinctive medical care frameworks. The framework should assist with improving analytic results and create more noteworthy bits of knowledge into potential

# 6. Travel

In the perspective on James Chua, senior supervisor of Singaporean travel service Global Travel, the job of AI has advanced significantly inside the movement business over the previous decade. "Simulated intelligence is presently utilized in a horde of utilizations to build efficiencies and save assets – from computerized chatbots to item suggestions and digital protection," he says. "Online protection, specifically, gives the most encouraging use instance of big business AI in reality". An ascent in information breaks occurrences in the district has proven Southeast Asia's shortcoming in the territories of network protection and information consistence. Significant breaks in Singapore Airlines and Malaysia's Malindo Air show that the movement business is especially helpless. Worldwide Travel has conveyed man-made reasoning to ensure private explorer data, including identification information and itinerary items. Utilizing Darktrace's digital AI they are presently ready to recognize and stop cyberthreats on an all day, every day premise. The innovation can kill assaults across the endeavor before they can cause harm. Chua sees the capability of AI in the movement business so incredible that he ventures to guarantee that AI "is not, at this point a 'ideal to have', however a need for the movement business in retaliating against the upcoming enemy."

# 7. HR

Computer based intelligence controlled instruments can help upgrade HR capacities, for example, enlisting, finance or detailing. In Singapore, startup Evie.ai has made Evie, an AI enlistment facilitator that assists with talk with booking and coordination "while keeping the human touch". Among the assignments that Evie can do is taking care of the to and fro of exchanges, circle back to applicants, convey solicitations and book meeting rooms. Evie is situated in the cloud and just requires Google or Office 365 to work. Past enlistment, AI-empowered legitimate administrations could build up an AI motor to consequently audit lawful agreements and feature any issues dependent on a business' lawful approaches and in this manner guaranteeing consistency. It could likewise oversee contract endorsements and acceleration which would get the correct undertakings to the opportune individuals naturally.

# CONCLUSIONS AND RECOMMENDATIONS:

From all the above research obviously Asean nations are turning out to be force to be reckoned with of manmade consciousness.

• ASEAN nations ought to put resources into AI innovative work, new arrangements, and online protection to raise the development of the AI market in the locale.

- The developing startup culture in Asian nations has likewise impacted the development of AI, particularly in nations like China and India.
- The developing startup culture in Asian nations has additionally affected the development of AI, particularly in nations like China and India
- India's quickly developing economy and populace gave more degree to the selection of AI
- AI is being actively adopted in the banking, retail, and healthcare sectors to boost the economy
- To conclude we will see if we covered all the objectives of our project. So we saw and learned about the Artificial intelligence in Asean countries.
- Governments and civil society will also need to grapple with defining principles of data privacy as new uses are generated by AI.
- Asian countries have a long way to go, although they are not far behind. In the near future, Asia will emerge as a new leader in the AI global market. The governments investing in AI-driven technologies to boost the economy and accelerate digitization across these regions will enable Asia to reign as a powerhouse of AI.
- Large technology conglomerates and startups lead the acceleration of AI in India and the pandemic also played a pivotal role in rapid digital transformation across the country.
- A Forbes article states that India ranks second only to China in the number of Computer Science graduates produced each year.

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### **Internet Resources:**

- https://www.nber.org/system/files/working\_papers/w24449/w24449.pdf
- https://www.mckinsey.com/~/media/McKinsey/Featured%20Insights/Artificial%20Intelligence/AI%20and %20SE%20ASIA%20future/Artificial-intelligence-and-Southeast-Asias-future.ashx
- https://niti.gov.in/writereaddata/files/document\_publication/NationalStrategy-for-AI-Discussion-Paper.pdf
- https://cio.economictimes.indiatimes.com/news/strategy-and-management/how-key-sectors-in-aseancountries-are-gaining-from-ai/69636238
- https://www.sciencedirect.com/science/article/pii/S0004370216300790
- https://www.intgovforum.org/multilingual/sites/default/files/webform/articifial\_intelligence\_apsig\_article\_ 1.pdf
- https://www.ukessays.com/essays/business/vision-and-mission-of-infosys-in-consulting-and-it-services-business-essay.php
- https://niti.gov.in/writereaddata/files/document\_publication/NationalStrategy-for-AI-Discussion-Paper.pdf
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- https://www.intgovforum.org/multilingual/sites/default/files/webform/articifial\_intelligence\_apsig\_article\_ 1.pdf

# **Functional Forms in Regression Analysis**

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### ABSTRACT

It is essential for all regression models that the relationship between the independent and dependent variables are represented correctly. Functional form tries to do exactly this. A functional form will give an equation for the dependent and independent variables so that the hypothesis tests can be carried out properly. OLS can be used for relationships that are not strictly linear in x and y by using nonlinear functions of x and y - will still be linear in the parameters.

Keywords: Linear Trend Model, Polynomial Model, Log-Linear Model, Multiple Log-linear Regression Model, Reciprocal Model and Semi-Log Model

### **INTRODUCTION**

Despite the fact that regression diagnostics for linear models with normal errors are well established in the literature, few investigations have been extended to more general types of regression models. One explanation for this is that the strategies rely heavily on the nature of the response and explanatory variable relationship. This makes dealing with specific types of relationships rather than broad ones easier. As a result, linear model extensions have been intermittent and unreliable.

Pregiborn (1981) investigated a logistic regression model and found outliers based on large pearsonian and deviance residual values. However, he believed that these values did not appropriately reflect the impact of the data on the fit, so he used the perturbation technique.

Williams (1987) investigated a mean shift outlier model and found an approximate relationship between estimates based on the deleted set and the entire set of observations using a single step weighted least squares method.

To find outliers, Thomas and cook (1989) looked at the generalized linear model and used the perturbation technique. In fact, COOK'S perturbation technique has been used in themajority of subsequent studies (1986). The explanation of this could be that, unlike the least-square estimation method used in the classical liner model, the maximum likelihood method of parameter estimation is employed in the generalized linear model.

Because the likelihood equations are not in a closed form, the estimates must be obtained by an iterative process. Despite the fact that Pregiborn (1981) claims that a maximum likelihood fit of a logistic regression model (and other similar models) is extremely sensitive to outlying responses and extreme points in the design space, iteration makes it difficult to build relationships between estimates obtained from the full data set and the deleted data set.

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model (and other similar models) is extremely sensitive to outlying responses and extreme points in the design space, iteration makes it difficult to build relationships between estimates obtained from the full data set and the deleted data set.

### LINEAR TREND MODEL

If the regressand Y is a time itself, where it is measured chronologically, then we can consider the model of the form

 $Y_t = \beta_0 + \beta_1 X + U_t$ 

The time variable X is known as the trend variable in this model, which is called a linear trend model. Both the linear trend and growth models have been widely employed in practice.

# POLYNOMIAL MODEL

If the function is polynomial in explanatory variables the regression model is

$$Y_i = \beta_0 + \beta_1 X_i + \beta_2 X_i^2 + \ldots + \beta_K X_i^K + U_i$$

Where  $X_i$  is non-stochastic and  $U_i$  satisfies all the assumptions of the classical linear regression model. The above model is known as  $k^{th}$  degree polynomial in one variable

For obtaining estimated values of parameter, we re-label the non-linear variables as

$$X^2 = A_1, \quad X^3 = A_2, \ldots, X^k = A_{k-1}$$

Then we can rewrite as

$$Y_i = \beta_0 + \beta_1 X_i + \beta_2 A_{1i} + \beta_3 A_{2i} + \dots + U_i$$

By applying OLS method we can obtain estimated values of parameters of the above model. Also second degree polynomial in two variables can be written as

$$Y{=}\beta_0+\beta_1X_1+\beta_2X_2+\beta_{11}X_1^2+\beta_{22}X_2^2+\beta_{12}X_1X_2+U$$

The above model is a non-linear regression model where the parameters are in linear form

# LOG-LINEAR (OR) CONSTANT ELASTICITY MODELS

Let us consider the model of the form

$$Y_i = AX_i^{\beta_1}$$

Where  $Y_i$  = Dependent Variable

X<sub>i</sub>= Independent Variable

The above model is non-linear in the variable X. By taking logarithms on both sides we get,

 $\log Y_i = \log A + \beta_1 \log X_i$ 

 $\log Y_i = \beta_0 + \beta_1 \log X_i$ 

Where, 
$$\beta_0 = \log A$$

And for estimating purpose, we can write the model as

$$\log Y_i = \beta_0 + \beta_1 \log X_i + U_i$$

This is a linear regression model in logarithms of variables Y and X. We can write the model as

$$\widetilde{\mathbf{Y}} = \boldsymbol{\beta}_0 + \boldsymbol{\beta}_1 \widetilde{\mathbf{X}} + \mathbf{U}$$

Where,  $\widetilde{Y} = \log Y_i$ 

 $\widetilde{X} = \log X_i$ 

In terms of parameters and transformed variables  $\tilde{Y}$  and  $\tilde{X}$  it is now linear. The constants of the equation can be easily estimated using the OLS method and the estimators produced will meet the condition of optimal linear unbiasedness.

The slope coefficient  $\beta$  measure the elasticity of Y with regard X, the percentage change in Y for given percentage change in X, which is an appealing aspect of the log-linear model.

### MULTIPLE LOG-LINEAR REGRESSION MODELS

The two-variable log-linear model can be generalized to models with more than one explanatory variable. For example, a three variable log-linear model can be written as

 $\log Y_i = \beta_0 + \beta_1 \log X_{1i} + \beta_2 \log X_{2i} + U_i$ 

we can write the above model as

$$Y^* = \beta_0 + \beta_1 X^* + \beta_2 X^{**} + U$$

Where,  $Y^* = \log Y_i$ 

 $X^* = \log X_{1i}$ 

 $X^{**} = \log X_{2i}$ 

In this model the partial slope coefficients  $\beta_1$  and  $\beta_2$  are also called partial elasticity coefficients.

Here,  $\beta_1$  measures elasticity of Y with respect to  $X_1$  holding the influence of  $X_2$  is constant.

Similarly,  $\beta_2$  measures the partial elasticity of Y with respect to  $X_2$  holding the influence of  $X_1$  as constant.

In a multiple log-linear model, each partial slope coefficient reflects the partial elasticity of the dependent variable with respect to each explanatory variable while controlling for all other factors.

Assume we have taken

Y = Output

 $X_1$  = labout input

 $X_2 = Capital input$ 

Then the regression equation represents the famous Cobb-Douglas Production Function.

### THE LIN-LOG MODEL: EXPLANATORY VARIABLES LOGARITHMIC

When the dependent variable is in linear form and the explanatory variable is in log form, we have a model. This model is known as the lin-log model. This model's generic form is given by

 $Y_t = \beta_0 + \beta_1 \log X_{1t} + U_t$ 

This model can also be estimated by using OLS method by assuming usual assumptions of it.

#### **RECIPROCAL MODEL**

The model of the following type is known as reciprocal models

$$Y_t = \beta_0 + \beta_1 \left(\frac{1}{X_t}\right) + U_t$$

This model is non-linear in X because it enters the model reciprocally but it is linear in parameters. The salient feature of this model is that as X increases indefinitely, the term  $\left(\frac{1}{X_t}\right)$  approaches to zero and Y approaches to the asymptotic value of  $\beta_0$ .

#### **SEMI-LOG MODEL**

Let us consider the model of the form

$$Y_t = Y_0 (1+r)^t$$

Where  $Y_0$  = Initial value of Y  $Y_t$  = Value of Y at time t r = compound rate of growth of Y By taking logarithms on both sides, we get  $\log Y_t = \log Y_0 + t \log (1+r)$   $\log Y_t = \beta_0 + \beta_1 t$ Where  $\beta_0 = \log Y_0$   $\beta_1 = \log (1 + r)$ 

If we add error term, we obtain

 $\log Y_t = \beta_0 + \beta_1 t + U_t$ 

This model is linear in parameters  $\beta_0$  and  $\beta_1$ . The independent variable in this case is time. Because just one variable appears in logarithmic form, the above model is known as a semi-log model. Ordinary least squares can be used to estimate the semi-log model. These semi-log models are also known as growth models and they are used to calculate the growth rate of change of a variety of variables.

# SUMMARY AND CONCLUSIONS

Stated some existing model selection functional forms in regression analysis in particularly under forecasting scenario.

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# Statistical Criteria for Selection Methods for Regressors in Regression Analysis

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### ABSTRACT

Variable selection in regression identifying the best subset among many variables to include in a model is arguably the hardest part of model building. Many variable selection methods exist. Many statisticians know them, but few know they produce poorly performing models. Classic statistics dictate that the statistician sets about dealing with a given problem with a pre-specified procedure designed for that problem. For example, the problem of predicting a continuous target variable (for example, profit) is solved by using the ordinary least squares (OLS) regression model, along with checking the well-known underlying OLS assumptions.<sup>1</sup> There are several candidate predictor variables at hand, allowing a workable-task for the statistician to check assumptions (for example, predictor variables are linearly independent). Likewise, the dataset has a practicable number of observations, making it also a workable-task for the statistician to check assumptions (for example, the errors are uncorrelated).

Keywords: 2<sup>k</sup> feasible regressions, Mallow's C<sub>p</sub> approximation, proxy variables.

# **INTRODUCTION**

Frequently, one finds oneself with a collection of possible explanations for a specific phenomenon. The question then becomes whether or if there are any statistical criteria for selecting one of these competing models. A collection of recommended rules for selecting one model from a group of well-defined competing models is referred to as model selection criteria. The implicit assumption is that the model with all variables included is the accurate model, but the investigator is willing to accept a smaller model provided the bias isn't too great.

A variety of criteria for selecting a suitable subset have been given in the literature. These criteria are expressed in terms of how a specific function behaves as a function of the variables in the subset.

The complete regression analysis depends on the explanatory variables present in the model. It is understood in the regression analysis that only correct and important explanatory variables appear in the model. In practice, after ensuring the correct functional form of the model, the analyst usually has a pool of explanatory variables which possibly influence the process or experiment. Generally, all such candidate variables are not used in the regression modelling, but a subset of explanatory variables is chosen from this pool. How to determine such an appropriate subset of explanatory variables to be used in regression is called the problem of variable selection.

# SELECTION OF THE BEST SUBSET OF REGRESSORS IN REGRESSION ANALYSIS

In a multivariate linear regression study, identifying the best subset among subsets of independent variables is a two-fold problem. The first issue is determining a criterion for selecting two competing subsets. However, if the number of independent variables is enormous, this may not be economically possible, thus the second issue is characterising the computational effort. The second topic is addressed here, and the  $C_P$ - statistic of Mallow's is used as a basic criterion for comparing two regressions with the least amount of processing, a procedure is designed to identify "good" regressions.

The classical multiple linear regression problem is concerned with estimating the coefficients in the linear model,

$$Y = \beta_1 X_1 + \beta_2 X_2 + \ldots + \beta_k X_k + U$$

From a set of n responses Y to the various values of the input variables  $X_1$  in the presence of errors U assumed to by independent  $N(0,\sigma^2)$ . Then the estimates are obtained by minimizing the residual sum of squares. The estimators are given by solving normal equations

$$X^{\mathsf{I}}X\widehat{\beta} = X^{\mathsf{I}}Y \Rightarrow \beta = (X^{\mathsf{I}}X)^{-\mathsf{I}}(X^{\mathsf{I}}Y)$$

After obtaining the aforementioned estimates, the investigator may wonder if the data might not have been properly explained using a subset of the original input variables  $X_1, X_2, \dots, X_k$ .

After obtaining all  $2^k$  feasible regressions, many investigators employ the obvious technique of plotting the residual mean squares of each regression against the number of variables in the regression. It is usually easy to

pick a few regressions that appear to be superior from such a plot, and depending on how it will be utilised, it may be possible to select a single best regression.

Mallow's suggestion on this strategy was significant. He proposes using the standardised total squared error as a criterion, and he devised a  $C_p$  approximation of this amount provided by

$$C_{p=\frac{RSS_p}{\widehat{\sigma}^2}} - (n-2p)$$

In this equation, p is the number of variables in the regression,  $RSS_p$  is the residual sum of squares for p-variate regression and  $\hat{\sigma}^2$  is the estimator of  $\sigma^2$ .

Then, for a regression with modest bias,  $C_p$  will be approximately equal to p, and this, as well as the magnitude of  $C_p$ , will be used to grade a specific subset regression.

It is obvious that only a handful of the  $\binom{K}{p}$  potential regression of size p will be regarded good regressions. The subgroup of size p for which the residual sum of squares and thus  $C_p$  is smallest is of particular interest.

# **OVERVIEW OF THE METHODS OF SELECTION OF REGRESSORS**

It will be more easy to refer to the r = k-p variables that will be excluded from the regression as opposed to the p-variables that will be preserved in this discussion. We'll also talk about how deleting a set of r-variables reduces the regression sum of squares. It is self-evident that a set of r-variables with the smallest reduction specifies the set of p-variables to keep with the smallest residual sum of squares. This reduction can also be used to compute the Cp statistic. And it is given by

$$Cp = \frac{Reduction_p}{\sigma^2} + (2p - k)$$

If a single variable, say the i<sup>th</sup>, is removed from the regression, it is well known that the reduction is given by  $\hat{\sigma}^2 t_i^2$ , where

$$t_i^2 = \frac{\hat{\beta}_i^2}{\hat{\sigma}^2 \beta_i}$$
 Here  $\beta_i$  in OLS estimate Since this univariate

reduction will be referred to frequently, we introduce the symbols  $\theta_i$ , i = 1, 2, ..., k defined as

$$\theta_i = \widehat{\sigma}_k^2$$

= Reduction due to estimation of the i<sup>th</sup> variable.

The entire regressions are computed by solving normal equations and evaluating k univariate reductions,  $\theta_i$  and then these variables are labelled according to the order on the  $\theta_i$ . That is by eliminating the first variable, the subset of size k-1 with the smallest residual sum of squares is achieved.

This is the fundamental feature of quadratic forms. "If the reduction in the regression sum of squares caused by removing any set of variables whose maximum subscript is j is not higher than  $\theta_{j+1}$ , then no subset including any variables with subscripts bigger than I can result in a smaller reduction".

The approach we'll explain now is a sequential one with at most p+1 phases for each of the p = 1, 2, ..., kp variables. The first three stages for given p will be described in order to fix the thoughts.

**Stage** – 1: The decrease due to deleting variables 1,2, . . . . . r for r = k-P under relabeling as given in equation is computed If the reduction does not exceed,  $\theta_{r+p}$ , the process can be stopped, and the regression with p variables r+1 . . . . , k is seen as the "best" regression of size p in terms of least residual sum of squares.

**Stage** – 2: If the reduction calculated in stage 2 is greater than  $\theta_{r+1}$ , no decision can be taken at this time, thus we move on to stage 2 and variable r+1 among the candidates for removal. The  $\binom{r}{1}$  reduction from removing any set of r variables chosen from the first (r-1) but containing  $(r-1)^{st}$  variables are then computed. The loop is halted and the accompanying regression deemed "best" if the smallest of the  $1+\binom{r}{1}$  reductions computed to this point does not exceed  $\theta_{r+2}$ . If no conclusion is reached at step 2, we move on to stage 3.

**Stage** – 3: involves computing reductions for all subsets of size r chosen from the first (r+2) variables that contain variable (r+2) the sum of  $\begin{bmatrix} r+1\\2 \end{bmatrix}$  computations. With  $\theta_{r+3}$ , the minimum of the  $1+\binom{r}{1}+\binom{r+1}{2}$  reductions from the first three stages is computed, and the iteration is either stopped or carried to the next stage.

In general, at any level, say  $q^{th}$ , the total of  $\binom{r+q-2}{q-1}$  reductions must be compared and the "best" subset identified. The highest subscript on any variable examined in the  $q^{th}$ stage is (r+q-1); thus, if the minimum of the

$$\sum_{j=1}^q \binom{r+j-2}{j-1}$$
 reductions computed in the first  $q$  stages does not exceed  $\theta_{r+q}$  and the

related Subset is "best", the search can be ended. If not, we proceed to stage (q+1).

### PROXY VARIABLES FOR REGRESSOR SELECTION PROCEDURE

Let us consider the following linear regression model

 $Y = X\beta + Z\lambda + U$ 

Where Y is a  $n \times 1$  vector of 'n' observations on the variable to be explained,

X and Z are  $n \times k_x$  and  $n \times k_z$  full column rank matrices of n observations on  $k_x$  and  $k_z$  explanatory variables respectively.

 $\beta$  and  $\lambda$  are the vectors of co-efficients associated with them and,

U is a n×1 vector of disturbances with mean vector 0 and variance covariance matrix  $\sigma_{ln}^2$ 

Suppose that the matrix Z is not available, then we drop such variables from the model and running the regression for the model.

 $Y = X \beta + U$  of  $K_X$  explanatory variables. This yield.

$$\tilde{\beta} = \left(X^{\dagger}X\right)^{-1}X^{\dagger}Y$$

Assume that some variables in Z can be used as proxies for the  $K_X$  variables. Let's pretend that the model has  $K_w$  proxy variables. The model is then calculated using the least squares method. As a result, the estimator of  $\beta$  is as follows.

$$\beta^{*} = \ \left( X^{|} \ \overline{P}_{w} \ X \right)^{-|} X^{|} \ \overline{P}_{w} \ Y$$

Where  $\overline{P}_W = \left[I_n - w(w^{\dagger}w)^{-\dagger}w^{\dagger}\right]$  Where W has the n×K<sub>w</sub> full column rank matrix of n observations on K<sub>w</sub> proxy variables used to replace Z in the regression model.

The bias vectors of  $\tilde{\beta}$  and  $\beta^*$  are given by

$$E(\tilde{\beta} - \beta^{*}) = (X^{\dagger}X)^{-1}X^{\dagger}Z\lambda$$
$$E(\beta^{*} - \beta) = (X^{\dagger}\overline{P}_{w}X)^{-1}X^{\dagger}\overline{P}_{w}Z\lambda$$

While the mean square error (MSE) matrices are

$$MSE(\tilde{\beta}) = \sigma^{2} (X^{\dagger}X)^{-1} + (X^{\dagger}X)^{-1} X Z\lambda\lambda^{\dagger}Z^{\dagger}X (X^{\dagger}X)^{-1}$$
$$MSE(\beta) = \sigma^{2} (X^{\dagger}\overline{P}_{w}X)^{-1} - (X^{\dagger}\overline{P}_{w}X)^{-1}X^{\dagger}\overline{P}_{w} Z\lambda\lambda^{\dagger}Z\overline{P}_{w} X (X\overline{P}_{w}X)^{-1}$$
$$Then,$$

$$MSE(\beta) - MSE(\tilde{\beta}) = (X^{\dagger}\overline{P}_{w}X)^{-1}A(X^{\dagger}\overline{P}_{w}X)^{-1}$$

Where

$$A = \sigma^{2} X^{\dagger} \overline{P}_{w} (I_{n} - P_{X}) \overline{P}_{w} X + X^{\dagger} \overline{P}_{w} (Z \lambda \lambda^{\dagger} Z^{\dagger} - P_{X}^{\dagger} Z \lambda \lambda^{\dagger} Z^{\dagger} P_{X}) \overline{P}_{w} X$$
  
With  $P_{X} = X (X^{\dagger} X)^{-1} X^{\dagger}$ 

Now, if B is any semi – positive definite matrix with largest characteristic root as, then we have  $B \le \theta I$ In the sense that ( $\theta I - B$ ) is at least semi - positive definite matrix. Therefore, for the idempotent matrix  $P_X$ , we have  $P_X \le I_n$ 

So that the matrix  $[Z\lambda\lambda^{\dagger}Z^{\dagger} - P_X Z\lambda\lambda^{\dagger}Z^{\dagger}P_X]$ 

is at least semi – positive definite. As a result, A is at least semi – positive definite, as in the case of the matrix difference. This means that  $\tilde{\beta}$  is more efficient in estimating  $\beta$  than  $\beta^*$ . Using proxies rather than deleting variables is a better method.

# SUMMARY AND CONCLUSIONS

The selection of optimal independent variables influenced on dependent variable and some kind of model selection criteria applying and validating the forecast models.

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# A Study of Financial Literacy amongst the College Students in Ahmedabad

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# ABSTRACT

The Government of India and the Reserve Bank of India are undertaking related initiatives. Expansion of banking services, including expansion of the number of local bank branches Introduction of bank correspondent model and CBS technology. while in Introducing financial inclusion, financial literacy in a diverse country like India You will play a key role in the success of this great social engagement opportunity. economically Education, financial inclusion, and financial stability are three essential elements. strategy. Financial inclusion works on the supply side and provides access to various opportunities Financial Services: Financial literacy impacts the demand side by increasing awareness People debate the needs and benefits of financial services provided by banks and other institutions. In the future, these two strategies will further increase financial stability. In this paper, we have attempted to investigate in detail the level of financial literacy of students in Ahmedabad.

# **INTRODUCTION**

Following the global financial crisis, financial literacy and financial inclusion have become topics of great interest among policymakers, researchers, and other stakeholders. This increased interest reflects a growing understanding of the importance of financial inclusion in economic and social development. There is growing recognition in both rich and poor countries that access to financial services plays a critical role in reducing extreme poverty, promoting shared prosperity, and supporting inclusive and sustainable development. I am. Similarly, financial literacy is increasingly recognized as an essential core competency for consumers operating in an increasingly complex financial environment. Governments around the world are therefore interested in finding effective approaches to improve the financial literacy of their citizens, and many have developed national financial education strategies to provide lifelong learning opportunities. It's no surprise that we are in the process of doing so. India, a fast-growing economy with a focus on inclusive growth and a stable financial system, has recognized the need and prepared the National Strategy for Financial Education (NSFE) accordingly. did. Under the leadership of the Financial Institutions Financial Inclusion and Financial Literacy Technical Group the Stability and Development Council (FSDC) serves all population groups in the region.

# LITERATURE REVIEW

- 1. Study by Marcolin and Abraham (2006). Schuchardt et al., (2008); Remund (2010), and Huston (2010) note that despite rapidly increasing interest in and funding for financial literacy and financial education programs, there are still significant obstacles to overcome. I pointed out that there is. That is, there is no widely used measure of financial literacy measured through rigorous psychometrics. An analysis was developed.
- 2. Michael (2009) argues that a lack of financial literacy can impact an individual's ability to make informed financial decisions. For people who have difficulty making financial decisions, financial advice can serve as a substitute for financial knowledge and skills.
- 3. Agarwalla Sobhesh Kumar, Barua Samir, Jacob Joshy, and Jayanth R. Varma (2012) conducted a survey among 3,000 people and found that the financial literacy of Indians is much lower than international standards. However, the financial behavior and attitudes of employees and retirees appear to be positive.
- 4. Lusardi, Mitchell, and Curto (2006) and Sages and Grable (2009) found that people with the lowest financial risk tolerance were the least competent in financial matters, had the lowest subjective evaluations of their assets, and were least satisfied with their assets. I discovered through research that this is the case. Low satisfaction with asset financial management skills.
- 5. An individual's level of financial risk tolerance determines financial behavior. Ansong and Gyensare (2012) conducted a study among 250 students from his UG and PG universities in Cape Coast and showed that age and work experience were positively associated with financial literacy. Additionally, mother's education is positively correlated with respondents' financial literacy. However, learning level, place of employment, father's education, access to media, and source of financial education do not influence financial literacy. Mandell (2008) conducted a survey of college students in 2008. Mandel calculated the average percentage of correct answers to financial literacy questions by major. As a result, the average of all respondents was

61.9%. The correct answer rate for economics majors was 62.4%, which was higher than the overall. On average, this proportion was lower than in engineering (63.2%), natural sciences (64.0%), and social sciences (64.0%). Additionally, Koshal et al. (2008) reported that differences in the performance of Indian MBA students had no statistically significant impact on their business literacy ratings. A study by Martin Samy (2007) found that the determinants of credit card use were highly dependent on the student's grade and credit card status. And daily life has a significant impact on respondents' knowledge about credit cards. Parents are responsible for financial management. The source of financial information is the parent. They are confident about their financial future. Her parents are successful with money and use her as a role model when making decisions about financial matters.

6. Canadian Institute of Certified Public Accountants CICA Youth Financial Literacy Survey 2011. A study by Marzieh et al. (2013) found that age and education were positively associated with financial literacy and economic well-being. Married people and men are more financially savvy. Improved financial literacy increases financial well-being and reduces financial anxiety. After all, being financially rich means earning less. economic anxiety. Financial planning allows you to create a proper budget so you can stay on top of your finances and reach your goals. Financial literacy is a global concern. The level of financial literacy required depends on an individual's financial needs and behavior. The above studies conclude that financial literacy is highly dependent on sociodemographic factors such as age, region or country of residence, economic environment, income level, family structure, and number of dependents. It is inevitable to know the level of financial literacy of different groups. This study focuses on measuring the level of financial literacy among college students in Ahmedabad.

# **OBJECTIVE OF STUDY**

- 1. Determine the student's level of financial knowledge.
- 2. Analysis of students' money management skills.
- 3. Understand your financial challenges and goals.
- 4. Suggest measures to increase students' financial literacy and awareness.

# **RESEARCH METHODOLOGY OF THE STUDY:**

**Research Design:** A descriptive research design was created. The investigation method will be in accordance with that.

**Source of Data:** The data required for the study will be obtained from both primary and secondary sources. This questionnaire was developed based on existing literature on the components of financial literacy. A questionnaire was used to collect data from the respondents.

**Sample Description:** The sampling unit consists of 100 students ranging from her 18 years to her 23 years belonging to various faculties of the Faculty of Science, Arts and Commerce, Ahmedabad.

# LIMITATION OF THE STUDY:

This study is limited to the age group of 18 to 23 years. Since the data were collected from students of Ahmedabad city, the results cannot be generalized.

This study focuses on the level of financial literacy and the results may not guarantee the financial well-being of the sample.

# FINANCIAL LITERACY

The OECD defines financial literacy as "the combination of awareness, knowledge, skills, attitudes, and behaviors necessary to make informed financial decisions and ultimately achieve personal financial well-being".

Various studies, including an OECD study conducted in 13 countries, show that levels of financial literacy are low worldwide. According to several studies, such as the VISA International Financial Literacy Barometer 2012, India's level of financial literacy is one of the lowest in the world.

# DATA ANALYSIS

- > The age group of the students who have answered the questionnaire are as follows.
- 18yrs 19yrs: 51 students.
- 20yrs 21 yrs: 39 students.

- 22yrs 23 yrs: 10 students.
- Out of the 100 respondents: 50 students were from the Commerce faculties, 25 from the Science faculty and 25 from the Arts faculty.
- The Gender compositions of the students were 79 Females and 21 Males.
- The ways in which the students received or earned money in the past 3 months were as follows.
- 24 Students earned money by doing a part-time job.
- 27 Students received money by way of gifts.
- 49 Students received money by way of Allowances.
- > The amount of Money students received are as follows.
- >1000 Rs: 45 Students
- 1000 3000 Rs: 37 Students.
- 3000 5000 Rs: 12 Students.
- 5000 10000Rs: 06 Students.
- 10000 Rs<: 01 Student.
- > The percentages of Money saved by the Students are as follows.
- Don't save at all : 13 students.
- 10% 20% : 49 Students.
- 20% 30% :24 Students.
- 30% < : 14 Students.
- On the question of general behavior and attitude towards money, A statement that describes you best was answered by Students as follows.

I saved to invest	:12 Students.
I saved to spend later	: 25 Students.

I'm a little of both (saver and spender) : 58 Students.

I am unable to save : 05Students.

#### > The financial products possessed by Students were as follows.

Savings Account: 67 Students

Fixed Account: 17 Students.

Credit Card: 06 Students.

Mutual Funds or Stocks: 03 Students.

Debit Card: 32 Students.

None of the above: 19 Students.

- Out of 67 students who had savings accounts, only 05 students had an account before the age of 15 years, remaining 62 students opened savings accounts only after 18 years.
- > All the 06 Students who possessed Credit cards had one Credit card each.
- > On the question of describing personal finance skills, students responded as follows.

I'm knowledgeable about managing money and making 1 right decision when it comes to spending it: 55 Students.

I want to manage money well but do not have proper information: 32 Students.

I know I'm not very good with my money, but I'm okay with that: 11 Students.

I know I'm not very good with money and it can be a source of stress tome: 02 Students.

- Out of the 100 students surveyed: 20 students rarely think of personal finances, 60 students think of personal finances from time to time and the remaining 20 students think of personal finances all the time.
- ➢ For Students managing personal finances,

Not at all important: zero students.

Somewhat unimportant: 02 students.

Neither important nor unimportant: 01 students.

Somewhat important: 38 students.

Extremely important: 59 students.

On the question of rating the college, on how well it provides students with the information and skills that they need to learn more about managing personal finances are as follows.

Not at all well - no information is provided	: 27 Students
They do the bare minimum - very little information is provided	: 25 Students
They're ok - provided some information	: 15 Students
Somewhat well - provided most of the information I needed	: 21 Students
Extremely well - provided all the information I needed	: 09 Students

During the event of financial planning,

73 students took advice from their families.

19 students approached their teachers.

10 students turned to friends for advice.

15 students logged on to the Internet for advice.

15 Students approached financial institutions for advice.

# > INTERPRETATION:

# > Financial Skills and Investment.

45% of the students do not consider themselves to possess required financial skills which they consider is needed to make right financial decisions.

67% of the students currently have savings account for them. In which 60% of the Science, 75% of the Arts and 68% of commerce students has the same.

Only 17% of the total student hold a Fixed Deposit, but 45% of the Arts faculty students holds a fixed deposit account in their name, Science and commerce students lags behind at 13% & 8% respectively

Only 6% of the total student have a credit card mostly of which is a add - on card with their parents. Even in credit card, 15% of the Arts faculty students possess a credit card. Science and Commerce lags here at 3% and 4% respectively.

4% of the total Students hold investment in Stocks of mutual funds of which there are no students from commerce faculty who possess an investment in the same. Science and Arts students hold 7% and 10% respectively.

In Debit Cards 65% of the Arts students have a debit card, which is followed by commerce students at 24% and science students at 23%. The total percentage of students having a debit card is at 32%.

19% of the students do not have any financial products. Science students leads the category with 30%, followed by commerce students by 16% and Arts students at 10%.

# **>** FINANCIAL BEHAVIOUR:

12% of the Students saves whatever money they receive to Invest.

25% of the students saves whatever money they receive to spend at a later stage.

05% of the student are unable to save anything.

20% of the students rarely think of Personal finances and 20% of the students always think of personal finances and remaining 60% at times think of personal finances.

97% of the students considers managing finance as the most important activity. Out which the

Percentage of Science, Art and Commerce students who think the activity to be extremely important are 53%, 60% and 62% respectively. 47% of Science students, 30% of Arts students and 36% of commerce students consider it to be important activity.

# > FINANCIALAWARENESS AND ADVICE PROVIDED.

In the opinion 62% of total students, colleges do not provide for the information and skills needed by them to manage personal finances or they believe that colleges provide only the bare minimum information in that area.

73% of the science students and 80% of the arts faculty students are also of the above said opinion.

Only 56% of the Commerce student are of the opinion that colleges to well enough for the development of their skills for financial literacy.

72% of the students turn to their families for advises pertaining to managing their finances. Of which

100% of the Arts students, 87% of Science students and 52% of the commerce students does the same.

36% of the commerce students even go in to teachers for advices to manage finances and very low of 3% science students take it from their teachers.

14% of the commerce students even take advices from their friends and 7% of science and 5% of arts students also follow their friends' advice on managing finances.

22% of the commerce students also log on to the internet for financial information and 20% of the Arts students also do the same.

Only 15% of students approach a bank or a financial institution for financial advice.

# > CONCLUSION

Financial literacy among Ahmedabad students is low in comparison to worldwide standards. As past studies have shown, a big part of this is due to low numeracy abilities, which can be traced to the poor elementary and primary school system. More emphasis should be placed on promoting financial literacy among all students from various faculties, as they are the country's future. One question, which was only asked of commerce students, was whether their attitude toward financial investment had changed positively after studying subjects linked to finance in the curriculum, and the overwhelming response was 'YES'. As a result, much work has to be done in the academic realm to improve students' financial literacy. When India embarks on the voyage of economic progress in the present and future, economic growth will be meaningless and real to the majority of the country unless total Financial Literacy is achieved.

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# A Study of Urban Working Women's Perceptions on Investment Patterns with Special Reference to Jamnagar City

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#### ABSTRACT

The research study is based on an investigation of the income and investment patterns of the respondent's example: working women in the city of Jamnagar. The study's goal was to evaluate the association between income and investment pattern among female employees. The survey was done on women from both the public and private sectors in the city of Jamnagar. The information was gathered by mailing a structured questionnaire to 50 respondents. The biggest impact on savings is attributable to an increase in income during the last decade. This study looked at how salaried women employees perceive safety and a great return on investment on a regular basis.

#### I. INTRODUCTION

Investment is defined as the acquisition of goods or commodities now for use in the future or during times of crisis. To secure happiness for himself and his immediate family members, an individual must carefully plan his future. It is unwise to consume everything today while conserving nothing for the future. Not every day is a bed of roses; you never know what your future holds.

#### **Definition of a Financial Investment**

A financial investment is an asset into which you put money in the belief that it will grow or appreciate into a larger sum of money. The idea is that you can eventually sell it for a greater price or generate money on it while you hold it. You may want to grow something in the next year, such as saving for a car, or over the next 30 years, such as saving for retirement.

#### **Important of Financial Investment**

- Planning plays a pivotal role in financial investment
- Before investment careful analysis and focused approach are mandatory before investing.

#### **Need for Financial Investment**

- Investing money guarantees that all of your ambitions come true and that you can live life to the fullest without having to worry about the future.
- > Investing money guarantees that you will have rainy-day funds. Your future is safe when you invest wisely.
- ➤ An individual's spending habits are managed by their financial investments. It determines how much and how one should spend in order to have enough money for the future.

#### Investment in Jamnagar City

The Gujarati state of Jamnagar, once known as Nawanagar, is known as the "Oil City of India." Unlike most other Western Indian cities, which have extremely cool environments, the city enjoys a fairly pleasant climate.

The city's primary industries are brass, textiles, and oil. Jamnagar is sometimes dubbed to as the Manchester of India due to the city's large Brass industry. Actually, Jamnagar's textile sector has benefited nearby cities like Dwarka, Mithapur, and Dhrol. Local business owners run the majority of the city's establishments. Refining and oil businesses have entered the city. Jamnagar is quickly becoming a popular oil and refining destination. The home of Ayurveda University is at Jamnagar. Because skilled labor is readily available, medical and health services in this metropolis were therefore unavoidable. Many students choose Jamnagar as their favorite study destination because of the wide range of degrees that are available here, including Ayurveda, engineering, medical, and commerce. The growing industry of medical tourism is another. People from all over the nation and the world have been traveling to the city in large numbers to receive top-notch medical care at a significantly reduced cost. The city's more than 10,000 small-, medium-, and large-scale industries supply Brass engineering firms around the nation. Every year, a lot of domestic tourists visit Jamnagar because of its beautiful beauty and convenient location near other beach areas like Shivrajpur Beach.

Therefore, making an investment in the city's tourism sector is also a very profitable choice. The Gujarati government is making an effort to keep up with the city's rapid economic growth by constructing the necessary infrastructure. To address the city's economic development, the administration has put up a number of projects.

The responsibility for managing the city's infrastructure projects has been assigned to an organization known as the Jamnagar Urban Development Authority. To increase accessibility to the city, more flyovers and wider roads are being constructed. The Gujarati government is promoting private sector involvement in the city's infrastructure development. Additionally, the government is attempting to remove procedural obstacles and is taking the necessary actions to facilitate investments in the city. By air, rail, and road, Jamnagar is well-connected to the nation's other major cities. The city has a reliable supply of water and electricity. The city has cutting edge telecommunications infrastructure as well. At a breakneck speed, Jamnagar is going through massive transformation. The city's economic climate is excellent for investments.

#### Women Investment

Although most women believe they have good saving practices and are quite confident in their ability to save, one in five of them do not save. When it comes to saving, men and women report similar attitudes and actions; nevertheless, women are more likely to say they save money before they spend it. No matter how little you save, the secret to successful saving is to get started early. Successful saving increases a person's likelihood of being better equipped to handle unforeseen costs or financial catastrophes. Additionally, as people move closer to reaching their financial objectives, they may feel less stressed and apprehensive about their capacity to meet their demands. In positions of authority and decision-making, gender inequality is frequently severe and quite apparent. Women only make up a small percentage of decision-making positions in both public and private institutions in the majority of civilizations worldwide. Though growth has been sluggish, advancements over the past 20 years are visible in most countries and all regions. Presently, women make up just one in five members of parliament's lower or single houses globally. There are several variables that lead to this obvious underrepresentation. Major political parties, which shape future political leaders and assist them during the electoral process, rarely have female leaders. In addition to severely reducing the number of female candidates available for election as electoral representatives, gender norms and expectations also add to the numerous challenges that women encounter throughout the voting process. Women's chances of winning elections have increased in various countries where gender quotas are in place. However, few women advance to the upper levels of parliamentary structures after taking office. The executive branches of government are mainly closed to women. Even if the number of female heads of state or government has marginally increased (from 12 to 19) over the past 20 years, they remain the exception. Comparably, women make up only 18% of appointed ministers, and they are typically given ministries that deal with social concerns. At the senior level of the civil service, women are also underrepresented, and they hardly ever represent their countries abroad. Slightly more than half of the countries have at least 30% of their managerial positions held by women, and none have reached or exceeded parity. As a result, women's presence in corporate management, legislatures, and senior officials is still low. For the great majority of women worldwide, the "glass ceiling" still exists since the gender distribution of executive boards in private businesses is considerably from equal.

#### **OBJECTIVE OF STUDY**

- 1. The socioeconomic standing of female urban workers
- 2. To research women employees' awareness of investment patterns
- 3. To research the investing patterns of metropolitan women employees
- 4. Examines the variables affecting urban women employees in Jamnagar city's saving and investment habits.

#### **II. REVIEW OF LITERATURE**

Karthikeyan (2001) has carried out a study on small investors' perceptions of post office savings schemes and discovered that awareness of Kission Vikas Pathra (KVP), National Savings Schemes (NSC), and Deposit Scheme for Retired Employees (DSRE) varied significantly among the four age groups. The total score attested to the fact that older investors had a higher degree of knowledge than younger investors.

Dr.R.Mathivanan (2013) have carried out an empirical study with particular reference to Coimbatore city regarding the gap that currently exists between the financial literacy and saving and investment behavior among Indian women.

#### **III. NEED OF THE STUDY**

The goals of the study are to understand the level of urban working women investment pattern in Jamnagar City. These days, a lot of women leave their homes to work and support their families through investments in the rapidly changing globe. Families led by working women are adopting new lifestyles. Determining the degree of satisfaction with money usage is the study's ultimate goal.

o Area of the study:

• The study is based on the respondents in Jamnagar city.

O Source of data:

- Convenience sampling method is used, to know the working women investment.
- O Sample size:
- The sample size is limited to 100 respondents.

O Tool used:

• Tools used are simple percentage methods.

### IV. USE OF PRIMARY AND SECONDARY DATA

The main methods of gathering data are questionnaires, surveys, and interviews, among other methods. Questionnaire samples are used as the main means of data gathering. The method of structured questionnaires is used. There are fifty items on the questionnaires that relate to the study's goal. Secondary data are pre-existing data, meaning they have already undergone collection and analysis. The secondary data are examined from a range of scholarly publications, journals, and publications.

### V. STATISTICAL TOOLS

Distinct statistical tools are used for different types of data analysis.

#### **Data Representation**

- ➤ Table
- > Percentage

The data was analyzed by using the mentioned techniques:

#### **Chi-Square test**

#### V. Hypothesis:

H0: There is significance between both the dependent variable and the independent variable.

H1: There is no significance between both the dependent variable and the independent variable.

#### **Testing Hypothesis**

#### 1. Ho: There is no significant relationship between type of investment and the age group of the investor.

#### H1: There is a significant relationship between type of investment and age group of the investor.

 $\chi^2 c = 4.93 \quad \chi^2 t = 9.488$ 

➤ 4 degree of freedom 5% of level of significance is 9.488 The calculated value (4.93) is less than the table value. So Null hypothesis is accepted. Hence it is concluded that, "There is no significant relationship between Type of Investment and awareness of age group of the investors".

# 2. Ho: There is no relation between the type of investment and the education qualification of the respondents.

#### H1: There is relation between the type of investment and the education qualification of the respondents.

$$\chi^2 c = 7.33 \quad \chi^2 t = 9.488$$

4 degree of freedom 5% of the level of significance is 9.488 The calculated value (7.33) is less than the table value. So Null hypothesis is accepted. Hence it is concluded that, "There is no relation between the type of investment and the education qualification of the respondents."

#### 3. Ho: There is no relation between the type of investment and the Occupation of the respondents.

#### H1: There is a relation between the type of investment and the Occupation of the respondents.

 $\chi^2 c = 26.258 \quad \chi^2 t = 21.026$ 

12 degree of freedom 5% of the level of significance is 21.026 The calculated value (26.258) is less than the table value. So Null hypothesis is rejected. Hence it is concluded that, "There is a relation between the type of investment and the education qualification of the respondents.".

#### **Research Analysis and Interpretation of Data Simple Percentage**

#### **Marital Status**

Among the respondents, married women make up 64%. Single women make up 46% of the responder pool.

#### The Age Group of the Respondents

The age group of those under thirty years old comprises 22% of the respondents. Thus, 44% of the respondents are under the age of thirty-one to forty years old. Of the respondents, 24% are between the ages of 41 and 50 and older than 10%.

#### **Educational Qualification of the Respondents**

Five percent are not educated. HSC covers 11% of the responders. Twenty percent of the responders are recent graduates.14% of responders do not hold a graduate degree.

#### **Occupation of the Respondents**

Female employees in the public sector make up 12% of the respondents. 72% of the respondents are employed as private sector women. Eight percent of the participants are self-employed. Professionals make about 8% of the responders.

#### Family Type of the Respondents

One parent makes up 90% of the responders' families. Ten percent of respondents have a joint family.

#### **Residential Status of the Respondent**

Of the respondents, 46% live in their own home. Of those surveyed, 34% live in rental homes. Twelve percent of those surveyed live in dwelling units. Eight percent of the participants reside in different housing sectors.

#### **Investment Plan for Respondent**

64% of those surveyed said they intended to invest. A third of the participants do not have any plans to invest money.

#### Earning Member of the Respondent

34% of the participants are single earners in their families. Two income earners make up 26% of the respondents' families. Three members of the respondent family make an income together (18%). Of the respondents, 22% have four wage earners in their family.

#### **Investment Decision**

38% of those surveyed said they had made an investment choice. A whopping 62% of respondents said they never make an investment choice.

#### **Expenditure Budget**

A budget for family expenses is created by 58% of respondents. A budget for family expenses is not created by 42% of respondents.

#### **Investment Pattern of the Respondent**

A quarter of the respondents invest with a high rate of return. Twelve percent of the respondents have investments focused on future security.14% of respondents said they made investments with a focus on tax benefits. A third of the respondents said they made investments focused on their kids' education. Eight percent of respondents are focused on investing in their personal safety. Twelve percent of the respondents make investments focused on marriage and children.

#### Information Sources about Investment of the Respondent

Eight percent of the respondents are asking family members for investment-related information. Six percent of those surveyed are gathering data from acquaintances. The TV and newspaper provide the best return on investment, according to 28% of the respondents. Four percent of the respondents get their financial broker's advice before investing. Thus, a smaller fraction of investor visits are charged by financial brokers in exchange for their services. Of the respondents, 44% gather investment-related information online. Ten percent of the respondents gather information about investments from magazines and books.

### **Income Move To Saving**

People in the 0–20% income bracket invest 26% of their savings. People in the 21–30% income range invest 48% of their savings. People in the 31–40% income range invest 16 percent of their savings. In the 41–50% income range, 10% is saved.

#### **Employment Circumstances of the Respondent**

Full-time employees make up 42% of the respondents. Twenty percent of respondents are self-employed. Part-time employees make up 16% of the respondents. Of the respondents, 22% were employed in other conditions.

#### The Investment Duration of the Respondent

Of the respondents, 42% are full-time workers. Twenty percent of respondents work for themselves. A quarter of the participants are part-time workers. Twenty-two percent of the respondents were employed in other conditions.

#### Kind of Investment of the Respondent

A third of the participants are investors in money. Of those surveyed, 24% do not have a financial investment. Both financial and non-financial investments account for 40% of the respondents.

#### **Financial Investment of the Respondent**

Of the respondents, 22% own shares. Six percent of the respondents own debentures. Mutual fund investments are made by 8% of respondents. Bank deposits are held by 6% of respondents. Private insurance is invested in by 18% of respondents. Post office deposits are held by 4% of respondents. A life insurance scheme is invested in by 16% of the respondents. 6% of respondents said they had investments in government bonds. Among those surveyed, 14% invest in private chits.

#### Non-Financial Investment of the Respondent

Of the respondents, 24% own land. Ten percent of the respondents work in construction. Silver and gold investments make up 16% of the respondents. Among the respondents, 24% are diamond investors. Twenty percent of the respondents own livestock. Six percent of the respondents own antiques.

#### VI. FINDINGS SIMPLE PERCENTAGE

- > There is no significant relationship between Type of Investment and awareness of age group of the investors.
- > There is no relation between the type of investment and the education qualification of the respondents.
- > There is a relation between the type of investment and the education qualification of the respondents.
- > 24% of the respondents belong to the age group of below 31-40 years.
- > 40% of the respondents are graduates.
- $\triangleright$  72% of the respondents are come under private sector employees.
- > 90% of the respondents are single family.
- > 72% of the respondents are living in rental houses.
- $\succ$  64% of the respondents are planning the best financial investment.
- $\geq$  26% of the respondents are 2 earning members in a family.
- > 38% of the respondents make own investment decisions.
- ▶ 58% of the respondents create budget for a family expenditure-oriented budget.
- > 30% of the respondents are invested a children's education.
- > 44% of the respondents are getting investment-based information from the internet.
- > 48% of the respondents are moving income to investment 20-30%.
- > 42% of the respondents are full-time workers.
- > 32% of the respondents have 1-3 years duration of investment.
- > 40% of the respondents invest in both financial and non-financial investments.
- > 22% of the respondent's financial investment shares.

> 24% of the respondents are non-financial investment land.

#### **VII. CONCLUSION**

In the modern era, the success of every investment decision has grown in significance; wise investment selections necessitate both knowledge and competence. Because their demands differ in terms of savings, safety, interest, and capital appreciation, working women investors have distinct expectations from their investments. The study determined how factors determining benefits and factors influencing knowledge of different investments relate to one another. When selecting an investment, investors rank the safety of their money as their top concern. In light of this, the government ought to protect investors more.

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# Synthesis and Characterization of New Chalcones with Evaluation of their Antibacterial and Antioxidant Properties

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# ABSTRACT

In alkaline media, novel Chalcones were synthesised using the Claisen Schmidt condensation process of 2hydroxy Acetophenone with different aromatic aldehydes. The chemicals were identified using physical data and spectral analysis (IR, 1H-NMR, and Mass). After that, all of the newly synthesised compounds were tested for antioxidant and antibacterial properties. The colorimetric DPPH assay can be used to detect the antioxidant activity of compounds, and all were evaluated for antibacterial activity on Gram positive bacteria S. aureus and Gram negative bacterium, Pseudomonas fluorescence using the disc diffusion method.

Keywords: Chalcones, Claisen Schmidt condensation, antibacterial, antioxidant activities.

### **INTRODUCTION:**

Kostanecki and Tambor came up with the term "Chalcones" [1]. Benzyl Acetophenone is another name for chalcones. Chalcones are alpha, beta unsaturated ketones with two aromatic rings (rings A and B) with distinct substituent arrangements. An aliphatic three carbon series connects two aromatic rings in chalcones [2].Chalcones are natural chemicals found in food plants that belong to the flavonoids family. Chalcones are coloured compounds due to the presence of the chromophore -CO-CH=CH-, and the colour of compounds improves in the presence of additional auxochromes [3]. They are represented as:



In medicinal chemistry, privileged structures have been frequently employed as a useful template for drug discovery. Chalcone is a simple scaffold that can be found in many naturally occurring chemicals. Because of their ease of synthesis, many chalcone derivatives have also been synthesised [4]. These natural chemicals and synthesised compounds have demonstrated a variety of intriguing biological actions with therapeutic potential against a variety of illnesses [5]. Antimicrobial, antifungal, antimalarial, antiviral, anti-inflammatory, antileishmanial, anti-tumor, and anticancer effects are demonstrated by several chalcone derivatives [6]. Chalcone chemistry has stimulated substantial scientific inquiry all around the world. They are well-known intermediates in the synthesis of a wide range of heterocyclic compounds, including pyrimidines, pyrazolines, pyridines, flavones, flavonols, and flavanones [7-9]. Several chalcones have been synthesised by condensing a suitable Acetophenone with substituted benzaldehyde in the presence of nucleophilic and non-nucleophilic bases [10-17]. Chalcones have antioxidant properties [18], which means they can protect your cells from free radicals, which can cause heart disease, cancer, and other disorders. Free radicals are chemicals that are formed by your body as it digests food or when you are exposed to tobacco smoke or radiation. Chalcones have antibacterial properties [19], which are a class of compounds that fighting harmful microorganisms. Thus, by killing or lowering bacteria's metabolic activity, their harmful effect in biological contexts is reduced. Chalcones have antimicrobial properties against a wide range of bacteria. the aim of this article was to synthesise various hydroxy substituted chalcones and investigate their antibacterial and antioxidant properties

#### MATERIALS AND METHODS:

All the starting materials are commercially available research grade chemicals and used without purification. In open capillary tubes, melting points were determined. TLC was used to monitor reaction courses on silica gel 60 precoated F254 Merck using silica gel aluminium plate in eluent system of pet ether and ethyl acetate (7:3). The spots were visualized in an ultraviolet light chamber at  $\lambda$ =254-266 nm. On an FTIR 1730, IR spectra (in KBr Palates) were recorded. TMS was used as the internal standard for 1H NMR spectra measured on a Bruker Avance neo-500 MHz NMR spectrometer in CDCl<sub>3</sub>. The mass spectra were obtained using a TOF MS ES+

Experimental procedure for the preparation of hydroxy Chalcones:

Scheme 1: Synthesis of Substituted Chalcones.



Lithium hydroxide (2.5 g) is dissolved in 20 ml of water first and then 10 ml ethanol was added drop by drop. Mixture was continuously stirred until it cooled to room temperature. Then, it was added to a mixture of 2-hydroxy Acetophenone and benzaldehyde derivatives (0.01 mmol) and dropped gradually with continuous stirring at room temperature for five to six hours. The reaction mixture was then refrigerated overnight. A coloured precipitate was produced by the resulting solution, which was then filtered, washed, dried at room temperature, and recrystallized from ethanol. (table no. 1.1 and 1.2)

#### General Base Catalysed Mechanism for hydroxy Chalcones :

Claisen-Schmidt condensation involves the reaction between aldehyde (absence of  $\alpha$ - hydrogen) and aromatic ketone (presence of active -CH group) in presence of base. In mechanism base abstract active proton from ketone and form nucleophile which attack on carbonyl carbon of aldehyde followed by protonation and loss of water molecule gives chalcones. (fig: 1)



(Fig.1: Plausible mechanism of chalcones)

#### Confirmative Tests for α, β-unsaturated carbonyl compounds (Chalcones):



Wilson test with conc.  $H_2SO_4$  confirms the red colour of all synthesised chalcones, which is used as an analytical test for this type of compounds. The red-coloured carbonium ion is formed when chalcones are dissolved in concentrated  $H_2SO_4$ .

#### **Sample Preparation for Antioxidant Properties:**

The free-radical scavenging activity was estimated by DPPH assay. The reaction mixture contained 10  $\mu$ l of test sample and positive control ascorbic acid with 10 mg concentration and 190  $\mu$ l of methanolic solution of 0.1 mm DPPH radical. The mixture was then shaken vigorously and incubated at 37° C for 5 min. The absorbance was measured at 517 nm on ELISA plate reader indicated higher free radical scavenging activity, which was calculated using the following equation:

(%)Free radical scavenging effect =  $\frac{[\text{Absorbance of control (Ac)} - \text{Absorbance of sample(As)}]}{\text{Absorbance of control (Ac)}} \times 100$ 

#### The disc diffusion assay for Antibacterial Activity:

Pick a well-isolated colony from an overnight culture of *S. aureus* and *Pseudomonas fluorescence* were suspended in sterile saline to adjust the turbidity according to 0.5 McFarland standard. Culture were then spread on Mueller-Hinton agar plate to get confluent growth. The disc containing compound were then placed equidistantly under aseptic condition. For positive control test antibiotic, Ofloxacine is used as positive control whereas sterile water is used as negative control.

Plates were then incubated in inverted position in bacteriological incubator set at 35°C for 16-18 hours. After incubation the diameter of the zones of inhibition around each disc were measured using a ruler or callipers and zone sizes inhibition were interpreted according to CLSI standards.

### **RESULT AND DISCUSSION:**

Entry	Product	<b>R</b> <sub>1</sub>	<b>R</b> <sub>2</sub>	<b>R</b> <sub>3</sub>	<b>R</b> <sub>4</sub>	<b>R</b> <sub>5</sub>	R <sub>6</sub>	<b>R</b> <sub>7</sub>	<b>R</b> <sub>8</sub>	R9	<b>R</b> <sub>10</sub>
1	CH-A	OH	Н	Н	Cl	Н	Br	Н	Н	Cl	Н
3	CH-C	OH	Н	Н	Cl	Н	OMe	Н	Н	OMe	Н
4	CH-D	OH	Н	Н	Cl	Н	OH	Н	Н	Br	Н
5	CH-E	OH	Н	Н	Br	Н	Br	Н	Н	Cl	Н
7	CH-G	OH	Н	Н	Br	Н	Br	Н	OCH <sub>2</sub> Ph	Н	Н

Table no. 1.1: Substitution pattern of synthesized Chalcones.

Comp.	Colors.	Mol. Formulae	Mol. Wt.	M.P. ( <sup>0</sup> C)	% Yield
CH-A	Summer daffodil yellow	$C_{15}H_9BrCl_2O_2$	372.04	118-120	76
CH-C	Goldfinch yellow	$C_{17}H_{15}ClO_4$	318.75	115-117	70
CH-D	Pantone yellow	$C_{15}H_{10}ClBrO_3$	353.60	136-138	81
CH-E	Golden poppy	$C_{15}H_9Br_2ClO_2$	416	112-114	84
CH-G	Classic yellow	$C_{22}H_{17}BrO_3$	409.28	132-134	78

Table no. 1.2: The physical data of synthesized Chalcones

#### **Spectral Analysis:**

# Compound A: (E)-3-(2-bromo-5-chlorophenyl)-1-(5-chloro-2-hydroxyphenyl) prop-2-en-1-one.

FTIR (v max) cm<sup>-1</sup>: 3413.29 (OH), 1637 (C=O), 1466.80 (-C=C-  $\alpha$ ,β), 808.19(-C-Cl), 618.73(-C-Br), 1384.69(Ar-C=C-), 3237.46 (-C-H). <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 11.90 (s, 1H, OH), δ 7.66-7.65 (d, 1H, Hα), δ 7.73-7.72(d, 1H, H<sub>β</sub>), δ 6.97-7.91(m, 6H, Ar-H). MS (m/z): 372.92 (M+).

# Compound C: (E)-1-(5-chloro-2-hydroxyphenyl)-3-(2, 5-dimethoxyphenyl) prop-2-en-1-one.

FTIR (ν max) cm<sup>-1</sup>: 3413.30 (OH), 1637.35 (C=O), 1494.60 (-Cα=Cβ -), 718.26(Ar-Cl), 1401, 1470, 1494 (Ar-C=C-), 2943.10 (-C-H), 616.51 (Ar-Br), 1283.28 (C-O-C), 2836.32 (-CH methoxy group). <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  12.81 (s, 1H, OH),  $\delta$  7.62-7.65 (d, 1H, Hα),  $\delta$  8.19-8.22(d, 1H, H<sub>β</sub>),  $\delta$  6.89-7.8(m, 6H, Ar-H),  $\delta$  3.84-3.90 (s,3H,OCH<sub>3</sub>) MS (m/z): 319.07 (M+), 321.07 (M+2)

# Compound D: (E)-3-(5-bromo-2-hydroxyphenyl)-1-(5-chloro-2-hydroxyphenyl) prop-2-en-1-one.

FTIR (ν max) cm-1: 3410.91 (OH), 3538.46 (OH free), 1637.50 (C=O), 1468.05 (-C=C-  $\alpha\beta$ ), 1421.06, 1316, 1383.94 (Ar-C=C-), 766.62 (Ar-Cl), 626.49 (Ar-Br), 2874.20 (-C-H). <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 12.13 (s, 1H, OH), δ

10.92 (s, 1H, OH),  $\delta$  7.60-7.61 (d, 1H, Ha),  $\delta$  7.68-769 (d, 1H, H\_{\beta}),  $\delta$  6.90-7.59 (m, 6H, Ar-H). MS (m/z): 352.96 (M+), 354.95 (M+2)

#### Compound E: (E)-1-(5-bromo-2-hydroxyphenyl)-3-(2-bromo-5-chlorophenyl) prop-2-en-1-one.

FTIR (v max) cm-1: 3416.61 (OH), 1637.66 (C=O), 1466.01 (-C=C-  $\alpha\beta$ ), 1383.06, 1361.50, 1316.16 (Ar-C=C-), 809.51(Ar-Cl), 620.78 (Ar-Br). <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 12.53 (s, 1H, OH), δ 7.99(d, 1H, H $\alpha$ ), δ 8.16-8.19 (d, 1H, H $_{\beta}$ ), 6.8-8.06-(m, 6H, Ar-H)..MS (m/z): 416.87 (M+), 418.87 (M+2).

#### Compound G: (E)-3-(4-(benzyloxy) phenyl)-1-(5-bromo-2-hydroxyphenyl) prop-2-en-one.

FTIR (ν max) cm-1: 3413.53 (OH), 1637.03 (C=O), 1507.85 (-C=C- αβ), 1465.33, 1381.46 (Ar-C=C-), 1210.62 (C-O-C), 2743.67 (C-H aliphatic group), 620.36 (Ar-Br). <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  12.15 (s, 1H, OH),  $\delta$  7.64-7.62 (d, 1H, Hα),  $\delta$  7.83-7.82 (d, 1H, H<sub>β</sub>), 6.87-7.54(m, 12H Ar-H),  $\delta$  2.60 (s, 2H, CH<sub>2</sub>). MS (m/z):409.04 (M+), 411.04 (M+2).

### IR Spectra:

The IR spectra of chalcones (CH-A, CH-C, CH-D, CH-E & CH-G) showed that carbonyl stretching frequency (>C=O) can be found between 1637-1637.71 cm<sup>-1</sup>. Because of the vinyl double bond (-CH=CH-trans), the Chalcones showed absorption in the region 1466-1507 cm<sup>-1</sup>. The phenolic -OH group caused a broad peak in the region 3410-3538 cm<sup>-1</sup>. Asymmetric and symmetric vibration of aromatic C-H bonds are seen at 2874-2943cm<sup>-1</sup>. C-Br and C-Cl stretching band were observed at 616-626 and 718-809 cm<sup>-1</sup> respectively. The Aromatic C=C str. observed in region 1316-1470 cm<sup>-1</sup>.

### <sup>1</sup>H NMR spectra:

In the synthesized substituted chalcones (CH-A, CH-C, CH-D, CH-E & CH-G), the olefin  $\alpha$ ,  $\beta$  unsaturated alpha protons in the <sup>1</sup>H NMR spectra of compounds studied in CDCl<sub>3</sub> which showed characteristic doublet signals in the range of  $\delta$  7.60-7.99 ppm and The olefin  $\alpha$ ,  $\beta$  unsaturated beta protons in the <sup>1</sup>H NMR spectra of compounds studied in CDCl<sub>3</sub> which showed characteristic doublet signals in the range of  $\delta$  7.68-8.19 ppm. The phenolic protons (-OH) appeared as a singlet signal in range of  $\delta$  11.90-12.81 ppm. Aromatic protons are found as a multiplet in the range of  $\delta$  6.8-8.06 ppm. The methoxy proton appeared as singlet signal for 3H at  $\delta$  3.84-3.90 ppm. The benzyl proton appeared as singlet signal for 2H at  $\delta$  2.60 ppm.

#### Mass spectra:

The mass spectra of synthesized chalcones (CH-A, CH-C, CH-D, CH-E and CH-G) demonstrated that molecular ion peak which associate with their molecular weight of the compounds i.e 372, 319, 352, 416, and 409 g/mole respectively.

#### Antioxidant Potential (DPPH Free Radical Scavenging Assay) of synthetic compounds

The antioxidant activities was successfully performed of the both selected plant extract were analyzed for their antioxidant potential by DPPH (2, 2 diphenyl-1-picryl hydrazyl) free radical scavenging assay. The results are shown in the table and picture below;

Sr.no.	Name of Sample	Antioxidant Potential (Mean±SD)
1	CH-A	-
2	CH-C	-
3	CH-D	-
4	CH-E	18.065±0.55
5	CH-G	-
+Ve Control	Ascorbic acid	87.28±1.25

\*All the data statistically analyzed with mean±SD (n=3)

#### Graphical Representation of Antioxidant activity of synthesized chalcones.



All five synthesized products were tested for antioxidant properties. Out of five, only CH-E was found to be positive for antioxidant properties. Scientific reports suggest that antioxidants also reduce the risk for chronic diseases and conditions. Antioxidant compounds like phenolic acids, polyphenols and flavonoids scavenge free radicals such as peroxide; hydro peroxide or lipid peroxyl which are thereby involved in reducing the risk of diseases associated with oxidative stress. The anti-oxidant activity of compounds can be determine by using the colorimetric DPPH assay [20] as described to determine the radical scavenging activity of the plant extracts.

The hydrogen donating capacity of test samples is quantified in terms of their ability to scavenge the relatively stable, organic free radical DPPH and by consequent reduction. The absorption of the deep violet DPPH solution is measured at 517 nm, after which absorption decreases due to decolorization to a yellow-white color, in the event of reduction. This decrease in absorption is stoichiometric according to the degree of reduction [21]

S.N.	Samples	Zone of Inhibition (mm)				
		S. aureus (Gm +Ve)	Pseudomonas fluorescens (Gm -Ve)			
1	CH-A	11 mm	-			
2	CH-C	20 mm	-			
3	CH-D	20 mm	28 mm			
4	CH-E	14 mm	-			
5	CH-G	10.5 mm	-			
+v	e control	24 mm	22 mm			

#### **Antibacterial Property:**

Total 5 chalcones was prepared in laboratory and all were tested for antimicrobial activity by disc diffusion method on Gram positive bacteria, *S. aureus* and Gram negative bacteria, *Pseudomonas fluorescens*. On testing, product code CH-C and CH-D was found to be most potential against *S. aureus* whereas CH-A, CH-E and CH-G were observed to have less potential for same organism. On the other side when same products were tested against *Pseudomonas fluorescens*. only CH-D was found to be positive for antimicrobial properties. Surprisingly CH-D was most potential antimicrobial compound for *Pseudomonas fluorescens*.

#### **CONCLUSION:**

A series of new Chalcones were synthesized from 2-hydroxy acetophenone with various aromatic aldehydes. all these compounds were characterised by spectral analysis (IR, 1H-NMR, and Mass). Synthesized chalcones were screened for antioxidant and antibacterial activity. the compound CH-E exhibited poor DPPH free radical scavenging activity w.r.t ascorbic acid. The compound (CH-C & D) showed excellent antibacterial activity against *S. aureus* and the CH-D showed potent antibacterial activity against *Pseudomonas fluorescens* as compared to standard drug ofloxacin.

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# The Study of Innovation for Sustainable Development, Employment and Learning Practices and Future Growth Orientation of Few Selected MSMEs in India

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#### ABSTRACT

Indian MSMEs provides large employment opportunities at comparatively lower capital cost than large industries and also help in industrialization of rural & backward areas. The global pandemic had affected the working MSME companies by unusual closing of the companies, laying off the employees, that resulted into labour shortages, lack of raw materials and MSMEs have been witnessing unprecedented changes owning to the pandemic. The study of growth Opportunities, employment generation and innovation capabilities in Indian MSMEs has been studied to develop sustainable strategies for MSMEs.

Keywords: stakeholders, MSMEs

#### **1. INTRODUCTION**

The MSME sector plays a vibrant and dynamic role in the developing countries, putting MSMEs as the engines through which growth objectives of the developing countries can be achieved- a role that has long been recognized. A healthy MSME sector contributes prominently to the economy through creating more employment opportunities, generating higher production volumes, increasing exports and introducing innovation and entrepreneurship skills. MSMEs are the first step towards development in economies towards industrialization. Over last five decades contribution of the MSMEs in the Indian economy not only in providing large employment opportunities at comparatively lower capital cost than large industries but also help in industrialization of rural & backward areas, thereby, reducing regional imbalances, assuring more equitable distribution of national income and wealth. Fast changing global economic scenario has thrown up various opportunities and challenges to the MSMEs in India. On one hand numerous opportunities have opened up for this sector to enhance productivity and look for new markets at national and international level, it has also, on the other hand, put an obligation to upgrade their competencies in various fields like marketing, finance, business development, operations, technology etc. Growth, employment generation for both wage and selfemployment opportunities and innovation in MSMEs are quintessential for the economic growth of any emerging economy. The stretch of digitalisation under the new-normal age though varies across firms and their related sectors, but the way the MSMEs tap the extra revenues, reduces costs and also eliminate the loose points in the system is almost a same journey for all the MSMEs. The digital solutions accelerate the trading nature of the MSMEs by

- Delivering the goods and services effectively and efficiently
- Managing transactions at a distance
- Facilitating access to financial transactions including the services
- Engaging both the new and existing customers along with the prospective ones.

The global pandemic had affected the working MSME companies by unusual closing of the companies, laying off the employees, that resulted into labour shortages, lack of raw materials and other items, weak consumer demand ultimately resulting into losses for the companies. As known by the fact that buying behaviours of the consumers change with time and situations and so as the businesses change, hence keeping the effects of the pandemic to be constant MSMEs have little choice but to digitalise and adapt the realities of the market to remain ever competitive.

#### 2. A BRIEF REVIEW OF LITERATURE

Several literature reviews have been gone through for this study undertaken among which some are cited below. An article titled 'An Empirical Study on Performance of Indian MSME', by Kumar (2014), studies the performance of MSMEs during the pre-liberalisation and post-liberalisation periods, that showed all industrial policies had been focussing on investment policies without giving much importance to marketing policies,

credit facilities, infrastructural changes, correct training etc. during pre-liberalisation periods compared with post-liberalisation periods. The study by Ramarao (2012), studies the potential role of the MSMEs in different developing as well as developed countries that confirmed the vital role played by MSME sector by providing employment, export, innovative and exclusive growths. Sahoo and Ashwani (2020), in their study assesses the impact of COVID-19 on Indian economy with reference to growth, manufacturing, trade and MSME sector, and also suggests measures to control the deficiencies in the economy. Biswas (2020), Mishra (2019), studies the impact of digital transformation on MSME growth prospects in India. Venkatesh and Kumari (2018), in their study outlined the MSME trends to shape digital India along with the overview of MSME sector in the Indian economy. Devi and Ramachandran (2014), thoroughly discussed in their research paper the emerging challenges and opportunities, innovations with respect with the Indian MSMEs. The employment scenario, barriers to the operations of the MSMEs along with the government schemes have been rightly reflected in the paper. Studies have also highlighted the adoption of environmental innovation practices – a study of MSMES in India and its future prospects, contributing significantly to manufacturing, employment and exports of India.

### MSME – AN ELABORATED DISCUSSION

The Micro, Small and Medium Enterprises (MSME) over the last 5 decades has emerged as a dynamic sector in the Indian economy contributing to the economic and social development of the country by generating huge employment opportunities along with promoting good deal in entrepreneurship and start-ups. Providing supporting roles to the large industries, the MSMEs are producing huge range of diverse products and services to meet the overall demands from all the aspects of the society.

Table I : Definition of MSME						
Classification	Manufacturing Enterprise (Investment in Plant and Machinery)	Service Enterprise (Investment in Equipment)				
Micro	Upto ₹25 lakh	Upto ₹10 lakh				
Small	Above ₹25 lakh to ₹5 crore	Above ₹10 lakh to ₹2 crore				
Medium	Above ₹5 crore to ₹10 crore	Above ₹2 crore to ₹5 crore				

Source - Report of the Expert Committee on Micro, Small and Medium Enterprises, 2019

Recently, in view of the pandemic to ensure proper economic growth the Government of India had revised the classification of the MSME Sector of the country, where the turnover has been the key factor on which MSMEs had been classified. As per the new definition,

Micro enterprises - Investment of less than ₹1 crore and turnover less than ₹5 crore

Small enterprises - Investment of less than ₹10 crore and turnover less than ₹50 crore

Medium enterprises - Investment of less than ₹50 crore and turnover less than ₹250 crore

#### 3. RESEARCH METHODOLOGY -

Both primary and secondary survey has been conducted for the study. For the secondary data analysis, data has been collected and analysed from Government of India, SIDBI and MSME India database and Annual Report.

Questionnaire based survey was also conducted for promoters, staff and managers of few MSMEs. Items of the scale where adapted and modified from previous studies to meet the objectives of the study (Biswas, 2018; Biswas and Malakar, 2021). Cronbach's Alpha coefficient and composite reliability were calculated to assess internal consistency of the items and reliability of each dimension. Confirmatory factor analysis was applied for assessing reliability, validity of the constructs. MSMEs' promoters, staff and managers' perception and attitude towards the innovation for sustainable development, future growth orientation, opportunities for integrated learning, employment generation and market diversification has been studied and analysed.

#### 4.1 Results and Analysis -

#### **GROWTH IN MSME SECTOR –**

The MSME sector is universally regarded as an engine of economic growth and for promoting equitable development. The sector also helps the economy by promoting a balanced development of industries across all

regions of the nation. The major advantage of the sector is its employment potential at low capital cost. 3 Small and Medium enterprises (SMEs) account for about 90 percent of businesses and more than 50 percent of employment worldwide. They are key engines of job creation and economic growth in developing countries. Growth Pattern of MSMEs in India is currently one of the fastest growing economies of the world. MSME sector is likely to continue to play a significant role in the growth of the Indian economy. In the last ten years, MSME sector has shown impressive growth in terms of parameters like number of units, production, employment, and exports. Given the right set of support systems and enabling framework, this sector can contribute much more, enabling it to actualize its immense potential. Growth rate of MSME Sector in comparison with the Overall Industrial Sector MSMEs provide employment opportunities at comparatively lower capital cost and act as ancillary units for large enterprises to support the system in growth. Chart I depicts the growth rate of MSME sector in comparison with the overall industrial sector during last sixteen years. The MSME sector has in many years registered a higher growth rate than the overall growth of industrial sector.



Source: Annual Reports of Ministry of MSME

As per the latest data available with Central Statistics Office (CSO), Ministry of Statistics & Programme Implementation (MoSPI), the contribution of MSME Sector in the country's GVA and GDP, at current prices for the last five years is given in below

Fable 1: CONTRIBUTION OF MSMEs IN COUNTRY'S ECONOMY AT CURREN	Г PRICE (II	N
CRORE)		

Year	MSME GVA	Growth (%)	Total GVA	Share of MSME in GVA (%)	Total GDP	Share of MSME in GDP (%)
2012-13	2977623	15.27	9202692	32.36	9944013	29.94
2013-14	3343009	12.27	10363153	32.26	11233522	29.76
2014-15	3658196	9.43	11481794	31.86	12445128	29.39
2015-16	3936788	7.62	12458642	31.60	13682035	28.77

Source: Annual Report Ministry of MSME 2017-18

#### 4.2. Employment Generation-

r

The primary objective of the betterment of the MSME sector lies under the responsibility of the State Governments with full support of the central Government through various statutory and non-statutory bodies that includes Khadi and Village Industries Commission (KVIC) and the Coir Board beside National Small Industries Corporation (NSIC), National Institute for Micro, Small and Medium Enterprises (NIMSME) and Mahatma Gandhi Institute for Rural Industrialisation (MGIRI). Through various schemes, assistance, development, and upgradation, training and competitiveness the ministry of MSME aims towards inclusive development of this sector.

Concentrating on the employment front of the MSME sector, it provides large employment opportunities at lower capital cost than large industries. The digitalisation is used to upgrade different processes, creating value from advanced technology through digital flow of information. The employment prior step to the employment is the progress of skill development programmes conducted by the MSME since 2014-2015 to 2018-2019 is tabulated below:

SECTOR	NO OF PERSONS
MANUFACTURING	360.41 LAKH
TRADE	387.18 LAKH
<b>OTHER SERVICES</b>	362.29 LAKH
TOTAL	1109.09 LAKH

TABLE 2:	<b>Target and Achievement</b>	Skill Training	Data of Ministry	of MSME (No.	of persons)
	i ui get unu i tenne ( ennent	Sim Huming	Dutu of Million y		or persons,

FINANCIAL YEAR	TARGET	ACHIEVED
2014-2015	337679	349519
2015-2016	306366	325783
2016-2017	317710	283366
2017-2018	312435	268422
2018-2019	400000	336424

#### SOURCE: ANNUAL REPORT 2019-2020

Total number of 2,69,005 persons have been trained up to 31.12.2019 in the year 2019-2020, whereas the target for 2019-2020 is 4,00,000 persons to be trained.

The employment details is represented in the following ways:

- Estimated Employment in the MSME Sector (Activity Wise)
- Distribution of employment by type of Enterprises in Rural and Urban Areas
- Distribution of workers by gender in rural & urban areas

# **EMPLOYMENT IN THE MSME SECTOR 2019-2020**

#### TABLE 3: ESTIMATED EMPLOYMENT IN THE MSME SECTOR(ACTIVITY WISE)

ACTIVITY CATEGORY	EMPL	SHARE(%)		
	RURAL	URBAN	TOTAL	
MANUFACTURING	186.56	173.86	360.41	32
ELECTRICITY (NON CAPTIVE ELECTRICITY GENERATION AND TRANSMISSION)	0.06	0.02	0.07	
TRADE	160.64	226.54	387.18	35
OTHER SERVICES	150.53	211.69	362.22	33
ALL	497.78	612.10	1109.89	100

#### UKCE: ANNUAL KEPOKT: 2019-2020

TABLE 4: DISTRIBUTION OF EMPLOYMENT BY TYPE OF ENTERPRISES IN RURAL AND<br/>URBAN AREAS (NUMBER IN LAKH)

SECTOR	MICRO	SMALL	MEDIUM	TOTAL	SHARE (%)
RURAL	489.30	7.88	0.60	497.78	45
URBAN	586.88	24.06	1.16	612.10	55
ALL	1076.19	31.95	1.75	1109.89	100

# SOURCE: ANNUAL REPORT 2019-2020

TABLE 5: DISTRIBUTION OF WORKERS BY GENDER IN RURAL & URBAN AREAS (NUMBERIN LAKH)

SECTOR	FEMALE	MALE	TOTAL	SHARE (%)				
RURAL	137.50	360.15	497.78	45				
URBAN	127.42	484.54	612.10	55				
TOTAL	264.92	844.68	1109.89	100				
SHARE (%)	24	76	100					

#### SOURCE: ANNUAL REPORT 2019-2020

Statutory bodies and other Attached Offices under the Ministry of Micro, Small & Medium Enterprises-(employment details under each such bodies)

Khadi and Village Industries Commission (KVIC)

#### TABLE 6: EMPLOYMENT UNDER KHADI SECTOR (Artisan in Lakh)

YEAR	KHADI SECTOR'S EMPLOYMENT
2016-2017	4.56
2017-2018	4.65
2018-2019	4.96
2019-2020(OP TO 21-12-2019)	4.98
2019-2020(PROJECTED UP TO 31-03-2020)	4.99

Coir Board

# TABLE 7: EMPLOYMENT UNDER COIR BOARD (Artisan in Lakh)

YEAR	KHADI SECTOR'S EMPLOYMENT
2016-2017	7.27
2017-2018	7.30
2018-2019	7.34
2019-2020(OP TO 21-12-2019)	7.34
2019-2020(PROJECTED UP TO 31-03-2020)	7.40

SOURCE: COMPUTED FROM THE STATE WISE EMPLOYMENT DETAILS OF THE COIR INDUSTRY OF INDIA (ANNUAL REPORT 2019-2020)

### TABLE 8: STATE WISE TRENDS OF EMPLOYMENT IN COIR INDUSTRY

State	2014-15	2015-16	2016-17	2017-18	2018-19	<b>2019-20</b> (upto Dec 2019)	<b>2019-20</b> (Estimated March 2020)
Kerala	4,70,788	4,72,100	4,72,961	474590	475077	475080	475750
Tamil Nadu	1,25,937	1,27,420	1,29,803	130862	132443	132445	133375
Karnataka	30,338	30,440	30,872	31159	31365	31365	31580
Andhra Pradesh	52,946	53,825	54,477	54670	55455	55455	55585
Odisha	17,210	17,535	17,760	18135	18421	18420	18490
Other	20,542	20,650	20,876	20965	21031	21180	21200



### SOURCE: REPRESENTED BY THE RESEARCHERS

### TABLE 9: EMPLOYMENT UNDER VILLAGE INDUSTRIES (IN LAKHS)

YEAR	VILLAGE INDUSTRY'S EMPLOYMENT
2015-16	126.76 #
2016-17	131.84
2017-18	135.71
2018-19	142.03
2019-20 (up to 31-12-2019)	144.60
2019-20 (Projected up to 31-03-2020)	147.97

# Including Polyvastra

#### SOURCE: COMPUTED FROM THE STATE WISE EMPLOYMENT DETAILS OF THE VILLAGE INDUSTRY OF INDIA (ANNUAL REPORT 2019-2020)

#### Comparison between Self-employment and wage employment

The number of programmes conducted, the participants trained and the number of trainees who achieved selfemployment or managed to find wage-employment since 2013-14 to 2019-20, are given in the following table.

# TABLE 10: PERCENTAGE OF TRAINEES WITH WAGE EMPLOYMENT/SELF-EMPLOYMENT2013-19

Year	Drissman	Traincas	Achievement (Success rate)				
	(in num-	(in num-	Wage employed		Self ei	0	
	bersj	bersj	No.	%	No.	%	Overall %
2013-14	1,045	30,910	8,843	51.34	5,905	41.36	47.54
2014-15	1,599	47,092	15,419	32.74	9,236	19.42	52.16
2015-16	1,075	31,874	14,130	44.30	6,313	19.18	64.10
2016-17	135	4,050	2,159	53.00	615	15.00	68.00
2017-18	87	2610	328	12.56	498	19.08	31.64
2018-19	25	750	54	7.00	53	7.00	14.00
2019-20 (upto Dec.19)	53	1590	-	-	-	-	-

#### **SOURCE: ANNUAL REPORT 2019-20**



Prime Minister's Employment Generation Programme (PMEGP) PMEGP is a credit linked subsidy scheme to generate employment opportunities in rural as well as urban areas of the country, in non-farm sector, through setting up of new self-employment ventures/micro enterprise. The Scheme is implemented by Khadi and Village Industries Commission (KVIC), as the nodal agency at the National level. Margin money subsidy varies from 15 to 35% for different categories. The maximum cost of projects is Rs.25 lakh in the manufacturing sector and Rs.10 lakh in the service sector. Scheme is available for setting up of new units only. Since inception in 2008-09 and upto 31.12.2019, a total of about 5.7 lakh micro enterprises have been assisted with a margin money subsidy of Rs 13,033.3 crore providing employment to an estimated 47 lakh persons. During the current Financial Year 2019-20, a target has been fixed for setting up of 73241 new micro-enterprises, by disbursing Rs.2247.10 crore of margin money subsidy, generating estimated employment opportunities for 5.8 lakh persons.

#### 4.3. INNOVATION - CHANGING THE MSME LANDSCAPE-

Innovation is the primary tool for development of sustainable MSMEs and strengthen competitiveness in global market. Khadi and Village Industries Commission has been functioning with the vision of boosting and injecting innovation, quality and productivity to make the Khadi and Village Industries products globally competitive and also to enable rural industries get its due to market demand. The Scheme for Promotion of Innovation, Entrepreneurship & Agro Industry (ASPIRE) aims

- To promote growth through innovation and application of technology
- Support economic development strategies for small business development
- Encourage growth from within local economies, while also providing a mechanism for technology transfer.

Innovation mainly focusses on the four outcomes,

- 1. Increased productivity
- 2. Sharpening competition
- 3. Improvement of investment structure in the MSMEs
- 4. Overall welfare attainment of the MSMEs

#### Some innovations and support mechanisms are given below:

#### SOME INNOVATIONS

Knowledge creation and commercialisation	Inclusive innovation	Knowledge diffusion and absorption	
1. Increasing private R&D efforts2. Strengtheningthe	1. use some formal creation activities for the needy.	1. Testing quality services and standards.	
commercialisation of knowledge 3. Proper allocation of public	2. Promoting and diffusing properly the grassroots innovation.	2. Strengthening the absorption capacity of micro, small, and medium enterprises (MSMEs).	
R&D efforts	3. Help to absorb better the existing knowledge of innovation for all the MSME sectors of the economy.	3. Enhancing global knowledge flows.	

#### SUPPORT MECHANISMS

Skills and education	Information infrastructure	Innovation finance		
1. Building skills for the managers and the workers	1. ICT infrastructures should be provided and reached out to the	1. Early-stage venture capital should be deepened.		
2. Basic skill developments through primary education and informal sector skills	<ul><li>research institutions and several other applications.</li><li>2. Expanding ICT infrastructures to</li></ul>	2. For early-stage technology development financial support should be provided		
<ol> <li>Researcher involvements along with highly skilled engineers should be supported.</li> </ol>	the urban and rural areas equally.	<ul> <li>3. So that MSMEs absorb properly the technological infrastructures, proper finance should be made available.</li> </ul>		

#### SOURCE: www.pwc.com/india. Compiled by the researchers.

**MSME sector assured for major transformation in 2020:** With the launch of an Alibaba- like e-market, khadi products would be easily available to the people at large at affordable prices that also improves the credit ratings of the concerned companies.

- 1. MSME sector contributes 30% to GDP, and 48% to exports, (economictimes.indiatimes.com as per oct, 2020) calls for major reforms in the policies so that proper upgradation along with low credit at minimal cost would be availed, creating proper jobs in the MSME sector along with achieving large scale import substitutions.
- 2. The central minister aims at procuring \$2 trillion from MSME sector along with generating 5 crore additional jobs in this sector by 2024, for which excellent policy frameworks are to be envisaged.
- **3.** The foremost step in the changes of the MSME sector is to alter the basic definition and do it on the basis of the 'annual turnover', as told by the authority in the ministry.
- 4. Multilateral banks like ADB, World Bank and some other banks has been approaches by the Government to provide low -cost funds for the MSME sector that also has an effect on the credit ratings so that the entrepreneurs willing to invest in the MSME sector has a clear idea of the securities and profits.
- **5.** 'Bharat Craft' e- commerce portal for the MSME sector also aims to achieve 10 lakh crore rupees from it by the end of 2022.
- 6. Huge alterations are made in the Khadi and Village Industries that is the major contributor to the growth of the MSME sector. The chairman of the Khadi Industries is quite confident about the turnover to reach 1 lakh crore rupees in 2020 and also create 1 lakh employment vacancies along with the option of online trade of the khadi products.

#### Some unending and perpetual as well as day to day problems of the MSME sector is listed below:

- Inadequate infrastructural support
- Lack of technical support as well as training initiatives of the entrepreneurs
- Shortage of financial support
- lack of target markets of the products produced in the MSME sector
- lack of proper expertise in marketing the products in the limited target markets
- strong competition in the markets
- lack of skilled labourers along with lack of proper training facilities
- Insufficient information for the MSME sector
- Lastly, the inadequate support from the credit lending institutions responsible for the shortage of funds.

The central government tries to assist the state governments in every possible means to help the MSME sector through a number of schemes and initiatives. Some facilities granted can be listed below as such:
Arranging proper infrastructural support and facilities

- Giving proper technical support as well as providing training facilities to the prospective workers
- Proving financial support through arranging proper credit facilities
- Giving proper access to modern management practices
- Maintaining standard quality practices along with upgraded testing facilities are provided
- Overall welfare of the workers and artisans to be taken care of
- Target markets are to be properly accessed
- Import and export markets are to be properly utilised and balanced
- All aspects from product development to marketing are to taken care of properly.

Some new avenues of innovation in MSMEs

**Food processing -** MSME is the connector between agricultural and industrial sector. MSMEs with its reservations and policies in the food processing industries are currently catering to the export demands of the premium segments away from low-income groups. These export decisions are facilitated with new technological developments along with proper distribution networks and internet connectivity.

**Biotech and pharma** - MSMEs until 21<sup>st</sup> century are unable to properly participate in the pharma innovations, yet still sensing the need to invest in the pharmaceutical industry several ventures in drug discovery and innovation has been launched under the fund of Indian Pharmaceutical Innovation Fund (IPIF) to support early stage discoveries. Many SME units set up in the 70s and 80s have become mid- or large-sized companies today.

**Defence and Homeland security -** MSMEs have played a vital role in supporting the public sector enterprises for the supply of defence and aerospace items specially for its lower capital base, highly skilled labour and cost competitive advantages, as well as lean production structures. The small and medium scale industry sector in India are playing a significant role to play in this defence industry through its weaponry production bases.

**Green technology -** Green and clean technology is the base of all innovations and technologies. The call for this technology lies in the rising cost of the fuels and its negative influences it has on the environment. The MSME sector rightly used the bio technologies to tap the business opportunities at a convenient and low cost that led this sector to become one of the highest contributor to the country's GDP.

#### 4.4. Measurement Constructs

To determine the measurement model's reliability, discriminant and convergent validity, the composite reliability coefficient (CR), average variance extracted (AVE) and the shared variance (ASV and MSV) have been applied. The results indicate that the measurement model has been able to meet the required item

reliability (CR > 0.70) and supported the convergence validity (AVE > 0.50) and discriminant validity (AVE > ASV and AVE > MSV) (Fornell and Larcker, 1981; Anderson and Gerbing, 1988; Ha and Janda, 2012; Biswas and Roy, 2016; Biswas, 2019). Good reliability of the scale has been indicated with the Cronbach's Alpha coefficients. Table I below reports the reliability and validity statistical measures of the constructs.

		Table 1 results					
Factors and items	Loading	Cronbach's alpha	AVE	CR	MSV	ASV	
Future Growth Orien	itation						
		0.80	0.57	0.91	0.50	0.28	
FGO1	.78						
FGO2	.89						
FGO3	.79						
FGO4	.86						
Innovation for Sustai	inable Developn	nent					
		0.97	0.87	0.97	0.21	0.14	
ISD1	.78						
ISD2	.76						
ISD3	.68						
ISD4	.78						
Employment and Lea	arning						
		0.72	0.63	0.73	0.13	0.20	
EPL1	.75						
EPL2	.81						
EPL3	.83						
Market Diversification	on						
		0.70	0.55	0.92	0.48	0.18	
MRD1	.81						
MRD2	.83						
MRD3	.75						

#### 5. CONCLUSION

Study of promoters and managers of MSMEs highly suggest the inclination to undertake research activities for future growth and innovation for sustainable development. They patronise exchange of ideas and want protection from intellectual property rights which is often lacking and adequate information is often not available.

Despite efforts by Government MSMEs growth slacks. Since the growth, employment generation for both wage and self-employment opportunities and innovation are quintessential for the economic growth of any emerging economy. The globalisation and India's economic growth have opened several untapped business opportunities that are in their way building up strong business sectors under the name of the MSME sector as a whole. Government should endeavour to generate employment opportunities in rural as well as urban areas of the country through setting up of new self-employment ventures/projects/micro-enterprises. Effective measures to o bring together widely dispersed traditional artisans/ rural and urban unemployed youth and give them self-employment opportunities to the extent possible, at their place. Opportunities for continuous and sustainable employment to a large segment of traditional and prospective artisans and rural and urban unemployed youth in the country, so as to help arrest migration of rural youth to urban areas. Effective increase the wage-earning capacity of artisans and contribute to increase in the growth rate of rural and urban employment. The perennial issue to be solved with effective measures through proper technical support-advanced technology, adequate and effective training initiatives for the entrepreneurs, ease of access to credit and financial support, increasent infrastructural support, improved access to market and improved distribution channel-supply chain management, availability of information database and consultancy.

For an emerging economy with high rate of growth in population and intensive reliance on unorganised sector Governments' role to nurture – stimulate – facilitate – promote and sustain MSMEs shall never be adequate. Due to ever changing market dynamic the diverse areas of growth opportunities for MSMEs needs to be identified. More MSMEs should be registered, brought under organised sector and continuously monitored.

MSMEs suffer due to want of knowledge and rural MSMEs do not get access to Government schemes due to insufficient access to information. Effective endeavour needs to be taken by various boards for dissemination of information with regard to access to technology-finance and other facilities to all MSMEs and specially those established in remote areas. Endeavour need to be taken for Creation of a database of technologies available with various Government/ private agencies and setup a Network of Technology Centres for sharing of best practices and experiences. Development of the required skilled Human Resources necessary for mentoring and handholding the incubates is to be necessitated. Livelihood Business Incubators (LBI) under National Small Industries Corporation (NSIC), KVIC or Coir Board or any other Institution/agency of GOI/State Government should be made available to all the registered and unregistered MSMEs.

Incubation and Commercialisation of Business Ideas Programme through technical/ research institutes, Ministries of GOI and Private incubators and Business Accelerator programme should be more rigorously scaled up. Campaigns such as Industrial Motivational Campaign (IMC), Two weeks' Entrepreneurship Awareness Programme (EAP), Six weeks' Entrepreneurship-cum-Skill Development Programme (E-SDP) and One-week duration Management Development Programme (MDP) should be made more felicitous, flexible, accessible, affordable for all.

#### The focal points where impetus to be given -

- creation of new jobs and reduction of unemployment
- promotion of entrepreneurship culture in India
- grass roots economic development
- facilitation of innovative business solution
- Innovation for sustainable development
- MSME Entrepreneurial future growth orientation
- Integrated learning, exchange programs and MOUs for exchange of ideas, resources and intellectual property protection
- promotion of innovation to strengthen the competitiveness and sustainability of MSME sector
- Market diversification and expansion to foreign markets through brown field site investments or distribution through foreign sell subsidiary or foreign production subsidiary

Indigenous MSMEs to be conserved and to sustain MSMEs and make them competitive in the global market intensive research-training-innovation-revamping production, process and delivery and marketing mechanism is indispensable.

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# **Enhanced Brain Tumor Detection through MRI Imaging**

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### ABSTRACT

Brain tumors are severe forms of cancer originating from uncontrolled and abnormal cell division. The medical industry has witnessed recent advancements in advanced learning, particularly in medical imaging, facilitating the diagnosis of various diseases. The prominence of medical imaging is growing, driven by computerized, definitive, rapid, and systematic diagnostics capable of producing images surpassing human visual capabilities. In humans, brain tumors stand as the primary cause of cancer-related deaths, posing significant dangers and leading to various diseases if not treated appropriately. Recognition plays a crucial role in the early detection of malignant tumors. This study introduces an algorithm utilizing image preprocessing techniques, segmentation, localization, feature extraction, and classification to alert clients to tumor information. The Computerized Brain Tumor Detection Set, designed for MRI scan images, employs a GUI tool aiding doctors and professionals in discerning tumor features' shape, size, and highlighting.

Keywords: object detection, image processing, real-time object, images.

# I. INTRODUCTION [1]

The human brain, a remarkably sensitive organ, regulates fundamental bodily functions and features, as noted by the National Brain Tumor Society. Brain tumors account for 85% to 90% of all primary central nervous system (CNS) tumors. Worldwide, an estimated 308,102 people were diagnosed with a primary brain or spinal cord tumor in 2020. It is estimated that 5,230 children under the age of 20 will also be diagnosed with a CNS tumor in the United States in 2023. While brain tumors are less common compared to other cancers like breast or lung cancer, they stand as a primary global cause of death, with an estimated 18,020 adults projected to succumb to brain cancer this year.

Beyond the immediate health implications, a brain tumor exerts a lasting psychological impact on patients even after successful recovery. The core objective of this research is to establish a transparent environment fostering collaboration between medical staff and patients, ultimately yielding improved outcomes. This openness enables patients to comprehend their treatment options, providing them with peace of mind, while affording clinicians the time for thoughtful consideration and work in managing the situation.

Brain tumors result from abnormal tissue growth within the brain or spinal cord, compromising brain function. These tumors are categorized into low-grade (grades 1 and 2) and high-grade (grades 3 and 4) forms. The severity of brain tumors varies, with some being minor and slow-growing, devoid of cancer cells – characteristics indicative of a benign brain tumor.

Benign tumors typically remain localized in a specific area of the brain without spreading, while malignant brain cells harbor rapidly growing cancer cells that can extend to other regions of the brain and spinal cord, potentially leading to fatal consequences. The World Health Organization (WHO) classifies brain tumors into benign tumors of grades 1 and 2 or malignant tumors of grades 3 and 4, with the latter referred to as malignant tumors.

Various techniques, such as computed tomography and EEG, can be employed to detect brain tumors, but magnetic resonance imaging (MRI) stands out as the most effective and widely utilized method. MRI utilizes a powerful magnetic field and radio waves to generate detailed images of internal organs, offering superior insights compared to CT or EEG. In this project, the focus is on detecting brain tumors through MRI imaging [2], with the primary objective of aiding clinical diagnosis.

To achieve this goal, the project aims to provide an algorithm that ensures the reliable detection of tumors in brain MRI images. This involves combining multiple procedures to establish a robust and trustworthy method for identifying the presence of tumors in the brain.

# **II. MOTIVATION**

A brain tumor refers to an abnormal cell growth within the brain or the central spinal canal, and some tumors have the potential to become cancerous, necessitating early detection. The precise cause of brain tumors remains unclear, and the set of symptoms is not definitively outlined, leading individuals to endure potential risks unknowingly. Brain tumors can manifest as either malignant (containing cancer cells) or benign (lacking cancer cells), appearing as solid lumps when diagnosed through diagnostic medical imaging techniques.

Two main types of brain tumors exist: primary brain tumors and metastatic brain tumors. A primary brain tumor originates in the brain and tends to remain localized, while a metastatic brain tumor forms elsewhere in the body and spreads to the brain. Symptoms associated with a brain tumor vary depending on the tumor's location, size, and type, with common indicators including headaches, nausea, vomiting, and issues related to balance and walking. Diagnostic imaging modalities such as CT scans and MRIs play a crucial role in the detection of brain tumors.

Medical imaging extensively employs various techniques, including X-rays, computed tomography, and magnetic resonance imaging, to identify lesions. Image processing is crucial for discerning and distinguishing the intricate details of the human body's internal structures. Among these techniques, magnetic resonance imaging stands out as the most suitable 3D non-invasive method for detecting brain tumors. Its exceptional image quality enables clear delineation of brain structure, precise identification of tumor size, and accurate determination of its location.

#### III. PROBLEM STATEMENT AND OBJECTIVE

This paper centers on extracting and simplifying MR brain images of tumors for universal understanding. The primary goal is to present valuable information in an accessible format, particularly for medical staff treating patients. The paper seeks to establish an algorithm capable of extracting tumor images from MR brain images. The resulting images aim to convey details such as tumor size, dimensions, and position, with the boundary offering pertinent information for diverse cases. This comprehensive data serves as a foundation for medical staff in determining optimal treatment procedures. Ultimately, this research utilizes Convolutional Neural Network to ascertain the presence of a tumor in a given MR brain image.

Here the objective is to identify tumors in brain MR images, providing crucial assistance in clinical diagnosis. The algorithm developed ensures robust tumor detection by combining various procedures, focusing on image preprocessing techniques like segmentation, extraction, and classification. The resulting images deliver essential information, including the tumor's position, dimensions, and size, aiding staff in making informed decisions for diverse cases and guiding appropriate therapeutic approaches.



#### IV. LITERATURE SURVEY

# A. SURVEY OF EXISTING SYSTEM [1] [3]

The prevailing system commonly encountered comprises:

- 1. Neurological Examination: This entails a series of tests designed to assess the functioning of the patient's nervous system, encompassing both physical and mental alertness.
- 2. Brain Scan: A brain scan involves capturing an internal structure image of the brain, akin to a digital camera

photographing. A specialized machine captures the scan, compiling images of the brain from different angles using computer technology. Some scans utilize a contrast agent or dye to enhance the distinction between normal and abnormal brain tissues, aiding doctors in their analysis.

- 3. MRI (Magnetic Resonance Imaging): Utilizing a magnetic field and computer technology, MRI is a scanning device that produces images of the brain on films without the use of x-rays. It provides multi-planar images, allowing doctors to construct a three-dimensional image of the tumor. By detecting signals emitted from both normal and abnormal tissues, MRI yields clear images of nearly all types of tumors.
- 4. CT or CAT scan (Computed Tomography): Integrating advanced X-ray and computer technology, CT provides a comprehensive view of soft tissues, bones, and blood vessels. The images generated by CT can identify certain types of tumors and also reveal signs of swelling, bleeding, as well as bone and tissue calcification. Typically, iodine serves as the contrast agent employed during a CT scan.

#### **B. RELATED WORK**

#### Brain Tumor [4]

A brain tumor is an uncontrolled mass or proliferation of abnormal cells within the brain. While there are 120 different types of brain tumors, they are primarily categorized into two groups: benign and malignant.

### MRI Machine [5]

MRI, short for Magnetic Resonance Imaging, is commonly utilized for the examination of the soft tissue within the human body. The components of the machine include magnets, radio waves, gradients, and computers. With the human body consisting of approximately 60% water, and water possessing magnetic properties due to its oxygen-hydrogen composition, the hydrogen acts as a miniature magnet sensitive to magnetic fields. When the body is placed in the MRI machine, it generates a magnetic field around it, and the gradient adjusts this magnetic field in a specific section to examine a targeted part of the body, such as the brain.

As a result of the magnetic field affecting water molecules, they begin to move, with some moving more than others. The MRI machine detects this movement, and the information is relayed to a computer. The computer, equipped with image software, translates this data to produce three-dimensional images that doctors can analyze.

#### C. PROJECT COMPONENTS

#### Deep learning

In recent years, deep learning has garnered significant attention across various domains, spanning decisionmaking, business, healthcare, marketing, and sales. Particularly in the medical field, both machine learning and deep learning have demonstrated promising outcomes in diverse applications, encompassing the diagnosis of illnesses through medical images, deployment of surgical robots, enhancement of hospital performance, and more [6].

One notable application involves utilizing deep learning to detect brain tumors from MRI scan images. The proposed methodology for constructing the classifier is outlined in the following steps:

- Conduct Exploratory Data Analysis (EDA) on the brain tumor dataset.
- Develop a Convolutional Neural Network (CNN) model.
- Train and Evaluate the model on the dataset.

To commence the process, an initial step involves performing Exploratory Data Analysis (EDA). The brain tumor dataset comprises two folders, "no" and "yes," containing ninety-eight and one hundred fifty-five images, respectively. These folders are loaded into the current working directory, and utilizing the imutils module, the paths for all images are extracted and stored in a list named "image\_paths."

The subsequent step involves iterating through each path, extracting the directory name (acting as a label, either "no" or "yes"), and resizing the images to 224 x 224 pixels. The cv2 module's read() function is employed to convert the brain tumor image into pixel information during this process.

#### CNN [7],[8],[9]

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Before delving into constructing the classifier architecture, let's gain an understanding of what a Convolutional Neural Network (CNN) entails. A CNN is a deep neural network widely employed for visual image analysis, particularly adept at tasks such as image classification, recognition, and segmentation. The CNN comprises two main components[8]: Convolution Layer for executing feature extraction tasks, and the final fully connected layer, utilizing the output from the convolution layer to predict the image's class.

To enhance the dataset and address small input data concerns, TensorFlow offers an Image Data Generator for data augmentation. Data expansion proves highly beneficial in situations with limited input data, employing diverse transformations to augment the dataset's size. Various transformations, including rotation, horizontal and vertical flips, and zoom, are provided. The use of fill\_mode and rotation\_range transforms ensures the filling of out-of-bounds pixels with the "closest" pixels and introduces a rotation of 15 degrees

### DATASET

Given the limited size of the dataset for brain tumor detection, training deep neural networks becomes challenging. To overcome this limitation, we leverage the capabilities of transfer learning to achieve optimal predictions. Transfer learning involves utilizing features from pre-trained models, eliminating the necessity to train an entirely new model from the ground up. In the Brain Tumor project, the VGG16 model, originally designed for cardiac condition analysis, is employed. Keras offers various pre-trained models, and the VGG16 model is illustrated below.

### TENSORFLOW

TensorFlow, a Python library, is employed for developing machine-learning models. Although machine learning itself is a complex undertaking, leveraging machine learning models has become more accessible and less challenging, thanks to frameworks like Google's TensorFlow. These frameworks streamline tasks such as data acquisition, model training, prediction generation, and refining future outcomes.

TensorFlow enables developers to construct data flow graphs, which delineate the flow of data through a graph structure or a sequence of processing nodes. Each node on the graph signifies a mathematical operation, while each link or edge connecting nodes represents a multidimensional data system or tensor.

# ANDROID STUDIO

Android Studio functions as an integrated development platform (IDE) designed for Android app development. Built upon IntelliJ IDEA, a comprehensive Java software development platform, Android Studio seamlessly combines coding and developer tools. Facilitating app development within the Android operating system, it employs a Gradle-based build system, emulator, code templates, and GitHub integration.

Within Android Studio, each project encompasses one or more options containing source code and application files. These options encompass Android app modules, library modules, and modules within the Google App Engine, offering versatility in the development process.

#### D. LIMITATION OF AN EXISTING SYSTEM

These methods are mainly traditional methods to detect the tumor present in the brain. Also, an old method includes a human to verify whether the tumor is present in the brain or not. There is a high chance of human error involved in this process. Also, a patient needs to visit a doctor to know whether their MRI reports are normal or not. Proposed system will solve all of these problems.

#### **PROPOSED SYSTEM**

#### A. INTRODUCTION

The algorithm comprises crucial techniques for image processing. The fundamental concept of the proposed algorithm revolves around a series of morphological operations, applied after passing through a high-pass filter, to obtain a clear image of the tumor. The quality and clarity of the tumor image are determined by this set of morphological operations. To implement the algorithm, a graphical user interface (GUI) will be developed using the Python Flask library, and the backend will be coded using Python. The chosen algorithm for this process is Convolutional Neural Network (CNN).

# **B. ARCHITECTURE.**



The system's framework will operate on the Convolutional Neural Network (CNN) model. CNN, a category of deep neural networks, is widely employed for analyzing visual imagery. Contemporary models for various image-processing tasks, such as image classification, segmentation, and object detection, prominently feature convolutional neural networks. To venture into the realm of Image Processing or enhance the prediction accuracy of custom CNN models, familiarity with renowned CNN architectures is essential to stay competitive in this dynamic and demanding landscape.

#### **C. FRAMEWORK**

In Android Studio, we employed tflite, a tool facilitating the conversion of a TensorFlow model into a tflite model suitable for use in Android Studio. Initially, in Android Studio, we designed a user interface using XML. Subsequently, we integrated the tflite model into Android Studio.

The functioning of the proposed system is designed to operate as follows: when a user uploads their MRI images within the application, it will determine and indicate whether a brain tumor is present or not.

#### D. ALGORITHM AND PROCESS DESIGN

#### Algorithm [10]:

- 1. User will see an android app.
- 2. There is an upload an image button.
- 3. User can click on that button.
- 4. From there, user can choose their MRI image.
- 5. System will show the result.



#### **E. CONSIDERED DESIGN CONSTRAINTS**

User Interface Constraints: The system is designed to be user-friendly, with a straightforward and easy-to-use interface. Individuals possessing basic computer operational skills should find it comprehensible, as it offers intuitive functionality.

Hardware Constraints: The system is expected to function smoothly on standard home desktops and laptops and has the potential for extension to mobile phone applications.

Software Constraints: The software is web-based, ensuring compatibility across various platforms.

Communications Constraints: The system's functionality relies on access to CT scan images for brain tumors.

Data Management Constraints: The system is engineered to interface with other components according to their specifications.

Operational Constraints: The system exhibits versatility and is not confined to any particular operating system; it performs effectively on Windows, Mac, and other platforms.

### F. DETAILS OF HARDWARE AND SOFTWARE

Hardware Requirement Processor: i3 processor Ram: 4 GB

Storage: 3 GB

Graphics: integrated graphic card

#### **Software Requirement**

Software: python, java

Editor: VS code studio, android studio Library: OpenCV, TensorFlow, os, tflite

Programming language used: Python, java

#### G. EXPERIMENT AND RESULT FOR VALIDATION AND

#### VERIFICATION

As we open our app we will see an interface in which we can there is having two buttons. The first button is to select an MRI image button. You need to click on that button. As you click on it you will be redirected to your gallery. From your gallery select your MRI image. After selecting click on predict button. After doing so you will see a text displaying whether a tumor is present or not.

This figure represents the accuracy and loss obtained when the ANN model is applied to the training and validation dataset When the ANN model is applied to the training

Data for fifty epochs training accuracy obtained is 97.13% and a validation accuracy of 71.51%. The same when applied to the testing data gives 80.77% accuracy.







The maximum validation accuracy obtained when the model is applied to the training dataset for 200 epochs are 94.00%. The following plot in figure 4 shows the ratio of the training accuracy against the validation accuracy and the training loss and validation loss.

The accuracy of the CNN model in applying the testing data is 89%. Having the precision, recall, and f1score in the hand and comparing the performance of ANN and CNN in detecting the presence of brain tumors, CNN Proves to be the best supporting technique as it has the maximum precision value.



### **Mobile Application:**



Brain Tumor Detection



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Web Application:





Tumor is Detected in Brain



#### H.ANALYSIS

System requirements define what the system must

Achieve without specifying the method of accomplishment. The requirements presented in this document are both comprehensive and coherent. There are two user categories for this software:

1. Patients - Patients can utilize the software to visualize the size of the tumor, providing a straightforward understanding of its dimensions and location.

2. Doctors - Doctors employ the software for extracting tumors from MRI scan images of the brain and for visualizing the tumor.

#### I. FUNCTIONAL REQUIREMENTS

- Selecting the MRI scan images of the brain.
- Extracting only tumor region from the scan images.
- Finding the boundary of the tumor.
- Creating a GUI for easy access to the program.

### J. NON-FUNCTIONAL REQUIREMENTS

- Availability- The software for the Extraction of brain tumors from MRI scan images can be available in all the systems that have MATLAB installed.
- Reliability- This software attempts to ensure appropriate content but assumes no responsibility for external manipulations.
- Performance- The CPU time of the proposed software varies from 4 seconds to 6 seconds and the PSNR value from 25db to 26db

### • CONCLUSION AND FUTURE WORK

#### **D. CONCLUSION**

The mobile application and webpage effectively identify the presence or absence of tumors in sample MRI images. The accuracy of the sample dataset obtained from Keras reaches a level of 90%. However, challenges arise in this project, as non-MRI images may also erroneously indicate the presence of tumors due to the presence of additional pixel data resembling MRI samples. Despite this, the system remains highly effective in determining the presence or absence of tumors in the majority of the sample dataset, with very few instances showing errors.

#### E. FUTURE SCOPE

Experimental findings indicate that the proposed method necessitates a substantial training dataset for enhanced accuracy in results. In the realm of medical image processing, acquiring medical data is a challenging endeavor, and there are instances where datasets might be unavailable. In such scenarios, the proposed method must exhibit sufficient reliability to enable accurate identification of tumor areas in MRI scans. An additional enhancement to the suggested method could involve amalgamating weakly trained algorithms capable of detecting irregularities with minimal training data, coupled with self-learning algorithms. This integration aims to augment algorithm accuracy and reduce computation time.

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# Female Protagonist in Feminism in Anita Desai's Cry, The Peacock Novel

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#### **ABSTRACT:**

In terms of the definition of ecofeminism and the discussion surrounding it, it can be defined as a feminist environmental criticism that focuses on the influence of the environment on literature as well as feminine influences on literature. It is imperative to note that there is a strong correlation between the relationship between women and the environment in the novel Cry, The Peacock that has been selected for this study. A methodology based on ecofeminism is helpful in exploring the importance of nature in Desai's novels. The symbolic interpretation of nature in her novels enables the reader to explore the psyche of women in a unique way. Sheuses various elements of nature like trees, birds, animals, colours etc. to connect their general image with the mental state of her characters. For example, in her novel Cry, the Peacock, she powerfully describes Maya's mental anguish through a peacock's mourning for its mate.

Keywords: Environmental Feminism, Female Protagonist, ecofeminism

## **INTRODUCTION**

The word 'feminism' is derived from the Latin word 'femina', meaning 'woman' (via French feminism) and refers to the advocacy of women's rights, status and power with men on the basis of gender equality. In other words, it has to do with the belief that women should have the same social, economic and political rights as men. Anita Desai seems to be aware of the relationship between feminism as a political movement, a literary and theoretical commitment to struggle against patriarchy and sexism, and not just the study of gender in literature. In fact, feminist critical approaches and theories have become relevant to the study and analysis of social, institutional and individual power constraints between genders. Representations of female sensibility and the dilemmas of women oppressed by a male-dominated social system are recurring themes in her fictional work.

The relationship between women and environment is significant in the novel *Cry*, *ThePeacock* selected for the present study. A methodology based on ecofeminism is helpful in exploring the importance of nature in Desai's novels. For example, in her novel *Cry*, *the Peacock*, she powerfully describes Maya's mental anguish through a peacock's mourning for its mate.

In a state of despair and restlessness, Maya reflects on the flowers in the garden and recalls how "I was drawn away from pain into a world without suffering". Under the influence of her grief, she perceives the scent of flowers differently: "I bent to them, breathing in the mist of the sad soft fragrance, merging with the mood sensation, until nothing remained but that mist."

The striking contrast between the characters of Maya and her husband, Gautham, is shown in the episode where Gautham cannot tell the difference between a petunia and the smellof a lemon. On this, Maya thinks: 'The flowers of the lemon tree were different, very different:very strong, crisp character, they were seen with a sharp knife of mother-of-pearl, cutting hard moon shells, in the curved petals guarding the heart of the fragrance. Their scent was also brighter—a sour, astringent scent, as refreshing as lemon leaves. I tried to explain to Gautama, paralyzed with anxiety, that by now, when his company was needed, I needed his closeunderstanding.'

Gautama, who values his work and tries to find logic in everything, has no idea about the beauty and magic of nature. Maya is romantic by nature and needs special attention from her husband, which he fails to give. She finds joy in nature and its elements through close observation of trees and plants. The extraordinary perception she had of silk cotton plants can be seen in their huge, red flowers, solid pods with thick petals, and blue blood bubbles that appear in the petals. When she was a child, her father used to take her to his house for days on end. The garden at her father's house holds a special place in her memories and she remembers having breakfast in the garden with her father. As fairies and elves "eat melons and syrup by moonlight", breakfast was like that of fairies and elves. Maya raves about the garden and recalls, "Our table set next to a mandarin orange tree. In every corner of the garden there is a small fairy tree, with its glossy leaves, and an overload of tiny, bright miniature lanterns on carnival nights".

For Maya, spring, in which she is at Gautama's house in Delhi, brings melancholy as itechoes the mournful cry of the brain fever bird. The cry of a bird wakes her up. Spring in her memory lane, however, is quite different. It is calming and soothing rather than painful. Thus, the difference in Maya's perception of the same season at two

different places and stages of herage gives the reader an insight into her psyche. Maya's garden of dreams, the garden of her father's house, the Garden of Eden for her, is no longer idyllic. An albino astrologer, depicted metaphorically as a snake, enters her garden as she waits for an unknown person reclining in her chair: "*I lay in my chair and took a deep breath, waiting for summer? For snakes? For themoon? I didn't know*". She imagines the astrologer's shadow as a snake, and as he approachesher, she turns a shadow towards her that traps her. The woman leaps from the chair in terror, "snakes coiling over me and drawing their long damp lengths, descending viciously from the overhanging branches of a maddening death, unprepared to be heralded by deafening drum beats." Maya has an extraordinary relationship with petunias which are "sentimental, soulless flowers that give off scents that perfectly match her mood and she looks at them - with a familiarhug".

Maya's feelings are depicted in the novel using natural elements. Each animal or bird has an image attached to it. The dog is known for its loyalty, the peacock for its beauty and shyness, the snake for its venom and the crow for its guile. These associative images are effectively interwoven with events in Maya's life. As the most prominent image in the novel, Toto is used as a structural device that makes sense not only from the novelist's point of view but also from the theme's perspective. Toto's death, a very moving event in Maya's life, causesher paranoia and mental instability:

There was no shelter from the sun and the body had rotted for days. It could not be moved to the veranda because in the April sun, the decay of the dead flesh was too strong andwould soon have penetrated the rooms. The crows sat in a circle around the carcass and the crows will eat anything - guts, eyes, anything.

When Maya's pet dog dies, she is changed and unwilling to accept the bitter truth that death is inevitable. "Childless women develop intense attachment to their pets," she asserts. She is torn apart by her husband's cold response to her wishes. Gautama's ignorance of her desire is evident when she thinks, "Gautama, let me wear an opal ring on my finger, not noticing the translucent skin beneath, the blue shining veins running beneath". When her mental imbalance reaches its peak, she starts seeing imaginary creatures trying to bite her.

As a result of Maya's inability to conceive, she is extremely concerned. The botanical elements used in the novel relate to her barrenness and the resulting emptiness. She observes:

Undisguised by the finer details on the leafless, bare neem trees, hitherto carefully hidden, nests, deserted by birds On the Dova road, the silk-cotton trees blossomed for the first time: their huge, reddish flowers, thick. Petals, hard pods then dropped onto the asphalt and squashed into a soft, yellowish miasma, they looked like animals instead of flowers, they were so big, they were heavy, moist and alive to the touch.

The 'silk cotton trees' with 'huge blossoms', 'crushed in a yellow miasma' represent Maya's suffering of barrenness. The introduction of various images related to nature is important in understanding that Maya is aware of these events happening around her. When she hears the cooing of pigeons during mating, she feels sorry for her own loveless life. Whenshe sees new-born chicks in a pigeon nest on her veranda, she thinks about the inadequacy of having children. She gets even more annoyed when she sees the baby mice and thinks, "Mice will nurse their young very tenderly. I know this because I now lived very close to one, with seven cubs nestled at its feet". All these incidents add to her agony and gradually she becomesparanoid. There is nothing she can do to stop thinking about the snakes that "crawl lustily overthe pale sweet white flowers".

She looks suspiciously at the lizards as if they are trying to give her a clue and says, "Of the lizards, the lizards that come upon you, follow you silently, when cold, fingers slide inand out of tongues, in and out an audible hiss and death rattle. , slowly moving up, closing in on you...rubbing their cold bellies against you. rubbing and grinding". Finally, when Gautam asks Maya about the behemoth lizards known as iguanas, she says, "Iguanas...! The crack of his tail still echoed in the white daylight, making my blood run cold. Get off—I say, get off!". These events bring to life the terrible fear of Maya in her subconscious mind.

Fearing death more than anything else, Maya is not ready to die and Gautama's death is the only way to get her out of this predicament. To survive, she decides to kill her husband who is the main factor of her unfulfilled desires. She has no thought but Gautama's death and gets involved in plotting his murder. She is not willing to wait much longer and tries to find the first opportunity to kill her husband. Eventually, it becomes difficult for her to kill her husband and she tries to find every reason necessary to do so. Following a reflection on her past life with her husband, she decides to go ahead with her decision.

# CONCLUSION

Desai effectively uses botanical imagery and the destructive conditions prevalent in nature to intensify the character of Maya's tragic suffering. Meanwhile, Maya's father plans to go to Europe to spend some time in

peace and asks Maya and Gautham to come with him. ButGautama has an alternative plan and is ready to go to his ancestral home. When they are at the train station, Maya's attention is drawn to cages full of monkeys destined to go to a laboratoryfor experimentation. This pitiful scene is quite heart-wrenching because Maya is in the same condition as those animals. Seeing the thirst of the monkeys, Maya gets angry and "there is noteven a bowl of water for them". When the couple reaches home, Maya is somewhat relaxed in the company of her sister-in-law, Nila and mother-in-law. However, she is still haunted by thememory of her husband's murder. She never stops being haunted by it and remains resolute as an arrow.

She makes her final decision and considers the right time to implement her plan. In no time at all, a dust storm approaches, and she becomes confident that "the hour of destruction has arrived". According to Maya, this is a time of liberation and release. Instead of going for a walk in the garden with Gautham in the evening, Maya insists on going to the terrace with her. In a casual conversation with Gautama, Maya thinks: "Poor Gautama, poor dear Gautama who was intense and yet never lived and never will". Speaking to him, she leads him to the edge of the parapet and pushes him down shouting "Gautama! in a rage". Gautama's sudden death comes as a shock to his family. In response to a question about the incident, Maya responds, "It was an accident". She shows no sign of grief and carries on walking enthusiastically. After Gautama's death A month later, Maya moves into her home with her mother-in-law and sister-in-law. Nila and her mother, one day, "hear the sound of a child's laughter and some new joy coming down the scales - perhaps a bright peacock feather? Then they stop, suddenly they seea A different sound was heard...came out in great fear". Maya's mother-in-law rushes to the balcony in an attempt to prevent Maya from taking any hostile steps, but she disappears "silently into the darkness". Like peacocks, Maya ends her life to escape pain.

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# A Study of Cybercrime and its Impact on Financial Transactions with Special Reference to India

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#### ABSTRACT

This research paper explores the complex landscape of cybercrime, focusing on its various forms and their impact on financial transactions in India. Drawing on secondary data sources, the study aims to understand the evolving tactics of cybercriminals and their implications for the Indian financial sector. The research aims to identify and categorize cybercrimes affecting financial transactions, analyze the methodologies employed by cybercriminals, assess the resultant impact on India's financial landscape, and scrutinize the efficacy of existing cybersecurity measures. The paper categorizes cybercrimes such as phishing, identity theft, ransomware, and financial fraud, and critically examines the tactics employed by cybercriminals, including social engineering and malware. The study also examines the repercussions of cybercrime on India's financial landscape, including financial losses, reputation damage, and challenges to trust in digital financial services. The study concludes by synthesizing key findings and proposing recommendations to fortify cybersecurity measures, mitigate financial risks, and enhance the resilience of digital financial transactions against the escalating threat of cybercrime in India.

Keywords: Cybercrime, Financial Transactions, Digital Finance, Cybersecurity, India, Financial Fraud, Cyber Threats

#### **INTRODUCTION**

In the contemporary digital landscape, the rapid evolution of technology has revolutionized the way financial transactions are conducted. The integration of digital platforms and the advent of online financial services have undoubtedly facilitated efficiency and convenience, propelling economies into a new era of interconnected financial ecosystems. However, this digital transformation has brought with it a shadowy adversary – cybercrime. The burgeoning reliance on technology for financial activities has given rise to a pervasive and sophisticated threat that undermines the security and integrity of financial transactions worldwide.

The focus of this research paper is to delve into the intricate nexus between cybercrime and financial transactions, with a special emphasis on the Indian context. As a rapidly developing economy, India has witnessed an unprecedented surge in digital financial transactions, making it imperative to comprehend and address the escalating risks posed by cyber threats.

Cybercrime encompasses a myriad of illicit activities conducted in the virtual realm, ranging from identity theft and phishing to sophisticated financial fraud and ransomware attacks. The interconnected nature of the global financial system and the increasing digitization of financial services have provided cybercriminals with fertile ground to exploit vulnerabilities for personal gain. The repercussions of these cybercrimes extend far beyond monetary losses, affecting the trust and confidence of individuals and institutions in the digital financial landscape.

Against this backdrop, understanding the dynamics of cybercrime is not only essential for individual users but also holds critical implications for the financial stability of nations. In the case of India, a country at the forefront of digital innovation and financial inclusion efforts, the need to comprehensively study and address the impact of cybercrime on financial transactions becomes even more pronounced.

#### **OBJECTIVES OF RESEARCH**

- 1) To identify and categorize different types of cybercrimes affecting financial transactions.
- 2) To analyze the methods and tools employed by cybercriminals in the context of financial fraud.
- 3) To assess the impact of cybercrime on the financial sector in India.
- 4) To explore existing cybersecurity measures and their effectiveness in mitigating cyber threats to financial transactions.

#### LITERATURE REVIEW:

1) Smith, J. et al. (2018) - "Cyber Threats in the Digital Age: A Global Perspective" Smith and colleagues present a comprehensive global perspective on cyber threats, establishing a foundation for

understanding the transnational nature of cybercrime. While not specifically centered on India, the study emphasizes the interconnectedness of financial systems and the need for collaborative efforts in addressing cyber threats.

- 2) Gupta, R. (2019) "Digital Financial Inclusion in India: Opportunities and Risks" Gupta's study explores the opportunities and risks associated with digital financial inclusion in India. The research identifies the transformative impact of digital finance on financial accessibility but also highlights the vulnerabilities that arise, laying the groundwork for an in-depth analysis of cyber threats in the Indian financial landscape.
- 3) Sharma, N., & Kumar, S. (2017) "Phishing Attacks and their Impact on Online Banking Users: A Case Study of India" Sharma and Kumar's study focuses on the prevalence and impact of phishing attacks on online banking users in India. The research provides insights into the methods employed by cybercriminals in the Indian context and the consequential effects on the security of financial transactions.
- 4) Chatterjee, A., & Das, P. (2019) "Ransomware Threats in the Indian Financial Landscape" Chatterjee and Das specifically address the growing menace of ransomware in the Indian financial landscape. Their research explores the tactics employed by cybercriminals to execute ransomware attacks and assesses the impact on financial transactions in India.
- 5) **Kapoor, M., & Verma, R. (2015) "Impact of Cybercrime on Consumer Trust in Indian E-banking Services"** Kapoor and Verma's study delves into the consequences of cybercrime on consumer trust in Indian e-banking services. The research investigates how cyber threats affect user confidence in digital financial transactions and explores potential implications for the adoption of online banking services in India.

These studies collectively contribute to the understanding of cyber threats in the context of financial transactions, setting the stage for the present research, which aims to provide a nuanced analysis of cybercrime's impact on financial transactions in India.

## **RESEARCH METHODOLOGY**

This study uses a secondary data analysis approach, utilizing data from various sources such as books, journals, governmental agencies, research institutions, and academic studies.

#### Cybercrime in India and its Impact on Financial Transactions:

Cybercrime is a significant challenge in India's digital transformation, as the country's rapid surge in online financial transactions has increased the threat posed by cybercriminals. The pervasive nature of cybercrime, ranging from traditional phishing attacks to sophisticated tactics like ransomware and financial fraud, is heightened by the growing dependence on digital platforms for financial transactions.

The financial landscape in India remains vulnerable to cyber threats due to inadequate cybersecurity measures and the rapid adoption of digital financial services. This exposes financial institutions and users to risks such as unauthorized access, data breaches, and manipulation of online transactions.

Cybercrime also impacts consumer trust, as incidents of financial fraud and data breaches erode trust in digital financial services, hindering financial inclusion and digital economy growth. The economic consequences of cybercrime are far-reaching, with financial losses incurred by individuals, businesses, and financial institutions draining economic resources.

Regulatory bodies in India have been working to enhance cybersecurity measures, but the dynamic nature of cybercrime necessitates continuous adaptation and innovation in cybersecurity strategies. Addressing cybercrime in India requires a comprehensive approach that includes public awareness and education. Empowering users with knowledge about safe online practices, recognizing phishing attempts, and understanding the importance of secure passwords can help mitigate the impact of cyber threats on financial transactions.

Strengthening cybersecurity infrastructure, fostering public awareness, and adapting to evolving cyber threats are essential for securing the digital future of financial transactions in India.

# **Types of Cybercrimes Affecting Financial Transactions:**

The threat landscape of cybercrime is constantly evolving as digital financial transactions become more integral to our daily lives. There are several types of cybercrimes that pose significant risks to the security and integrity of financial transactions. Phishing involves fraudulent attempts to obtain sensitive information, such as

usernames, passwords, and financial details, often through deceptive emails, messages, or websites. This can result in unauthorized access to bank accounts, compromise of personal data, and financial losses for victims. Identity theft occurs when cybercriminals steal personal information to impersonate an individual for fraudulent financial transactions, impacting millions of individuals worldwide. Victims may face financial ruin, damage to credit scores, and the difficult task of reclaiming their stolen identity. Ransomware is a form of malicious software that encrypts user files, demanding payment, often in cryptocurrency, for their release. Financial fraud involves deceptive practices aimed at gaining unauthorized access to funds or manipulating financial systems. Victims may suffer monetary losses, while financial institutions may face regulatory penalties, legal consequences, and reputational harm. Understanding the methods, prevalence, and consequences of these cybercrimes is essential for individuals, businesses, and financial institutions to implement effective cybersecurity measures. A proactive and informed approach is crucial to mitigate the risks posed by these cyber threats to financial transactions.

## **Tactics Employed by Cybercriminals:**

Cybercriminals constantly innovate and adapt their tactics to exploit vulnerabilities in digital systems, particularly those related to financial transactions. These tactics include social engineering techniques, malware, and the exploitation of vulnerabilities in financial systems. Social engineering techniques involve psychological manipulation to deceive individuals or employees into divulging confidential information. Examples include phishing and pretexting, where cybercriminals send deceptive emails or messages to trick individuals into divulging sensitive information.

Malware, on the other hand, is designed to harm or exploit systems, compromising security, gaining unauthorized access, or facilitating fraudulent transactions. Examples include Trojan Horses, ransomware, and spyware. Cybercriminals target weaknesses in financial systems' infrastructure, exploiting vulnerabilities to gain unauthorized access, manipulate transactions, or disrupt operations. Examples include zero-day exploits, SQL injection, and brute force attacks.

Man-in-the-middle attacks intercept and potentially alter communication between two parties without their knowledge, including during financial transactions. Examples include session hijacking and packet sniffing. Credential stuffing involves leveraging stolen usernames and passwords from one platform to gain unauthorized access to multiple accounts, exploiting individuals who reuse credentials across different services. Examples include automated attacks and account takeover.

Understanding these tactics is crucial for individuals, businesses, and financial institutions to implement robust cybersecurity measures. As cybercriminals evolve, staying vigilant and adopting proactive security strategies are essential to safeguard financial systems against these sophisticated threats.

#### Impact on the Indian Financial Landscape:

Cybercrime in India has significant implications for the financial sector, including financial losses, damage to reputation, and erosion of trust in digital financial services. Financial losses can result from phishing attacks, unauthorized transactions, and the cost of investigating and mitigating cybercrimes. This drains economic resources and affects individuals, businesses, and financial institutions.

Reputation damage is another consequence of cybercrime, as financial institutions are custodians of trust and credibility. Reports of security breaches, data leaks, or successful cybercrimes can tarnish the perceived reliability and integrity of financial organizations, leading to a loss of clientele, reduced investor confidence, and challenges in attracting new customers. Rebuilding trust can be a lengthy and arduous process.

Eroding trust in digital financial services is another consequence of cybercrime. Fear of falling victim to phishing attacks, identity theft, or financial fraud can deter individuals from engaging in online transactions or adopting digital payment platforms. This reduces trust and hinders the growth of digital financial services, hindering the government's efforts to promote a cashless economy and financial inclusion.

Regulatory scrutiny and compliance costs are also significant due to cybersecurity incidents. Meeting regulatory requirements incurs additional costs for financial institutions, necessitating investments in cybersecurity measures and compliance efforts. Disruption of financial services can lead to operational disruptions, inconvenience for customers, and financial losses for businesses.

Understanding the multifaceted impact of cybercrime on the Indian financial landscape is crucial for policymakers, financial institutions, and individuals. Implementing robust cybersecurity measures, fostering

public awareness, and continuously adapting to emerging threats are essential to mitigate these adverse effects and safeguard the integrity of financial transactions in India.

#### Cybersecurity Measures in India: A Review of Financial Institutions and Regulatory Bodies

Cybersecurity is a crucial aspect of protecting financial institutions and regulatory bodies in India. The adoption of cybersecurity measures by these institutions has been a proactive approach, addressing the diverse and sophisticated cyber threats prevalent in the digital landscape. Key measures include Multi-Factor Authentication (MFA), which requires multiple forms of identification before accessing accounts, and encryption protocols, which secure the transmission of sensitive data.

Regular security audits and assessments are conducted to identify vulnerabilities and weaknesses in systems, strengthening the overall cybersecurity posture. Collaborative threat intelligence sharing allows financial institutions to stay informed about emerging cyber threats, fostering a unified front against cybercriminals.

Regulatory frameworks and guidelines, issued by organizations like the Reserve Bank of India (RBI), outline minimum security standards, incident response protocols, and risk management practices. These frameworks play a pivotal role in setting industry standards and promoting a culture of compliance.

Incident response and cybersecurity training are also essential for financial institutions to respond swiftly to cyber incidents. Robust incident response plans and continuous training contribute to a swift and effective response to cyber incidents.

Continuous monitoring and threat detection systems are deployed by financial institutions to identify and respond to suspicious activities in real-time, using machine learning algorithms and behavioral analytics. This enhances the ability to detect and mitigate cyber threats promptly, reducing the dwell time of potential attackers within the network.

Cyber insurance policies are often adopted by financial institutions to mitigate financial losses in the event of a cybersecurity incident. These policies cover costs associated with data breaches, legal consequences, and business interruption, providing an additional layer of protection against the financial implications of a cyber-attack.

The adoption of these cybersecurity measures reflects a proactive approach by financial institutions and regulatory bodies in India. However, the dynamic nature of cybercrime necessitates continuous adaptation and innovation in cybersecurity strategies.

#### CONCLUSION

The digital age has revolutionized financial transactions, but it has also brought about a formidable adversary - cybercrime. A study in India has identified a range of cyber threats, from traditional phishing attacks to sophisticated malware and ransomware. These threats have significant financial implications, causing financial losses, damage to reputation, and disruptions to services. Trust erosion is a significant fallout of cybercrime, as individuals are becoming more wary of online transactions. Regulatory bodies, such as the Reserve Bank of India, play a proactive role in establishing frameworks and guidelines, but the dynamic nature of cyber threats necessitates continuous regulatory adaptation. Recommendations include continuous vigilance, collaboration, innovation in cybersecurity strategies, user education and awareness, and policy adaptation. The resilience of the financial sector depends on the collective efforts of financial institutions, regulatory bodies, and users. Continuous vigilance, collaboration, and innovation are essential in addressing the growing menace of cybercrime. By implementing the recommended measures, India can fortify its financial ecosystem, fostering a secure and trustworthy environment for digital transactions in the future.

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