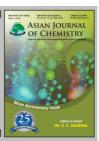
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Phenylethanoids from the Roots of Codonopsis cordifolioidea and Their Anti HIV-1 Activities

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A new phenylethanoid, 3,4-dihydroxyphenethyl-5-hydroxy-4-oxopentanoate (1), together with four known phenylethanoids (2-6), were isolated from the roots of *Codonopsis cordifolioidea*. Their structures were determined by means of HR ESI MS, extensive 1D and 2D NMR spectroscopic studies and chemical evidence. Compounds 1-6 were tested for their anti HIV-1 activities. Compounds 1 and 3 showed obvious anti HIV-1 activities with therapeutic index values above 50.

Key Words: Codonopsis cordifolioidea, Phenylethanoids, Anti HIV-1 activity.

INTRODUCTION

The genus Codonopsis (Campanulaceae) in China is represented by 39 species. Some of them, such as C. pilosula and C. tangshen are commonly used as herbal remedies due to their tonic effects¹. In addition, the roots of some Codonopsis species, such as C. cordifolioidea, C. bulleyana, C. micrantha and C. subglobosa are well-known vegetables in southwest China^{2,3}. C. cordifolioidea Tsoong is a herbaceous plant spread in Yunnan, Tibet and Sichuan Provinces. Its roots, locally known as Choushen, have been used as a food in China since ancient times. Meanwhile, this species has become an important economic plant widely cultivated in several areas of Yunnan Province^{4,5}. The previous phytochemical researches on C. cordifolioidea has revealed that phenylpropanoids, lignans, as well as flavonoids are major components isolated from this plant⁵⁻⁸. Motivated by search for bioactive metabolites from this plant, the phytochemical investigation on the roots of C. cordifolioidea was carried out. As a result, a new phenylethanoid, together with five known phenylethanoids, were isolated from the roots of this plant. In addition, the anti HIV-1 activities of those compounds were evaluated. This article deals with the isolation, structural elucidation and biological activities of the compounds.

EXPERIMENTAL

UV spectra were obtained using a Shimadzu UV-2401A spectrophotometer. A Tenor 27 spectrophotometer was used for scanning IR spectroscopy with KBr pellets. 1D and 2D

NMR spectra were recorded on DRX-500 spectrometers with TMS as internal standard. Unless otherwise specified, chemical shifts (δ) were expressed in ppm with reference to the solvent signals. HR ESI MS was performed on an API QSTAR time-of-flight spectrometer and a VG Autospec-3000 spectrometer, respectively. Preparative HPLC was performed on a Shimadzu LC-8A preparative liquid chromatograph with a ZORBAX PrepHT GF (21.2 mm × 25 cm, 7.0 m) column or a Venusil MP C18 (20 mm × 25 cm, 5.0 µm) column. Column chromatography was performed with Si gel (200-300 mesh, Qing-dao Marine Chemical, Inc., Qingdao, China), Lichroprep RP-18 gel (40-63 µm, Merck, Darmstadt, Germany) and MCI gel (75-150 µm, Mitsubishi Chemical Corporation, Tokyo, Japan). The fractions were monitored by TLC and spots were visualized by heating Si gel plates sprayed with 5 % H₂SO₄ in EtOH.

The roots of *C. cordifolioidea* were collected in Dali Prefecture, Yunnan Province, People's Republic of China, in September 2010. The identification of the plant material was verified by Prof. Yuan Ning (Yunnan Nationalities University). A voucher specimen (YNNI 10-9-64) has been deposited in our laboratory.

Extraction and isolation: The air-dried and powdered roots of *C. cordifolioidea* (3.5 kg) were extracted four times with 70 % methanol (4×3 L) at room temperature and filtered. The crude extract (138 g) was applied to silica gel (200-300 mesh) column chromatography, eluting with a chloroform-acetone gradient system (20:1, 9:1, 8:2, 7:3, 6:4, 5:5), to give six fractions A-F. The further separation of fraction D (7:3, 26.8 g) by silica gel column chromatography, eluted

with chloroform-methanol and preparative HPLC (35 % methanol, flow rate 12 mL/min) to give **1** (15.9 mg), **3** (22.6 mg) and **4** (28.8 mg). On the other hand, separation of fraction E (6:4, 21.2 g) by silica gel column chromatography and preparative HPLC (22 % methanol, flow rate 12 mL/min) led to the purification of **2** (19.3 mg), **5** (33.2 mg) and **6** (38.5 mg).

Anti HIV-1 assay: The cytotoxicity assay against C8166 cells (CC_{50}) was assessed using the MTT method and anti HIV-1 activity was evaluated by the inhibition assay for the cytopathic effects of HIV-1 (EC_{50})¹⁷.

3,4-Dihydroxyphenethyl-5-hydroxy-4-oxopentanoate (1): Obtained as white powder; UV (MeOH) λ_{max} (log ϵ) 324 (2.52), 286 (3.88), 246 (3.01), 210 (4.18) nm; IR (KBr, ν_{max} , cm⁻¹): 3450, 2922, 2853, 1746, 1710, 1618, 1543, 1455, 1430, 1359, 1172, 1088, 975, 826; ¹H and ¹³C NMR data (C_5D_5N , 500 and 125 MHz, respectively), Table-1; negative ESI MS m/z 267 [M-H]⁻; HR ESI MS m/z 267.0862 [M-H]⁻ (calcd. (%) 267.0869 for $C_{13}H_{15}O_6$).

RESULTS AND DISCUSSION

A 70 % aqueous methanol extract prepared from the roots of *Codonopsis cordifolioidea* was subjected repeatedly to column chromatography on Si gel, Sephadex LH-20, RP-18 and preparative HPLC to afford a new phenylethanoid, 3,4-dihydroxyphenethyl-5-hydroxy-4-oxopentanoate (1) and five known phenylethanoids (2-6). The structures of the compounds 1-6 were as shown in Fig. 1 and the ¹H and ¹³C NMR data of the compound 1 were listed in Table-1. The known compounds, compared with literature data, were identified as. 2-(3-O- β -D-glucopyranosyl-4-hydroxyphenyl)ethanol (2)⁹, 2-(3,4-dihydroxyphenyl)ethanol (3)⁹, 4-(2-acetoxyethy1)-1,2-dihydroxybenzene (4)¹⁰, 1'-O- β -D-(3,4-dihydroxyphenyl)-ethyl-6'-O-vanilloyl-glucopyranoside (5)¹¹, 3,4-dihydroxyphenylethanol-8-O-[β -D-apiofuranosyl(1 \rightarrow 2)]- β -D-glucopyranoside (6)¹².

Compound **1** was obtained as white powder. Its molecular formula was determined as $C_{13}H_{16}O_6$ by HR-ESI-MS m/z 267.0862 [M-H]⁻ (calcd. (%) 267.0869). Its 1 H and 13 C NMR spectra (Table-1) showed signals to 16 hydrogens and 13 carbons, respectively, corresponding to one aromatic ring ($\delta_{\rm C}$ 130.1, 115.3, 148.5, 146.3, 118.0, 120.8) with three aromatic protons ($\nu_{\rm H}$ 7.11 d J = 1.8, 7.31 d J = 7.8, 6.77 dd J = 1.8 7.8), three methylene groups ($\delta_{\rm C}$ 34.5, 28.3, 33.2), two oxidated methylene group ($\delta_{\rm C}$ 65.8, 68.8), one ketone group ($\delta_{\rm C}$ 209.2), an ester carbonyl group ($\delta_{\rm C}$ 172.9) and two phenolic hydroxy proton signal ($\delta_{\rm H}$ 10.86, 11.25). The 1 H- 1 H COSY of H-7/H-8; together with HMBC correlations (Fig. 2) of H-6 ($\delta_{\rm H}$ 6.77) with C-7 ($\delta_{\rm C}$ 34.5), of H-8 ($\delta_{\rm H}$ 4.32) with C-1 ($\delta_{\rm C}$ 130.1) revealed

Fig. 1. Structures of phenylethanoids isolated from the roots of *C. cordifolioidea*

that the exist of a 3,4-substituted phenylethanoid structural unit¹³. In addition, the 1H - 1H COSY of H-2'/H-3' together with HMBC correlations of H-5' ($\delta_{\rm H}$ 4.52) with C-4' ($\delta_{\rm C}$ 209.2), C-3' ($\delta_{\rm C}$ 33.2), of H-3' ($\delta_{\rm H}$ 2.87) with C-1' ($\delta_{\rm C}$ 172.9), C-2' ($\delta_{\rm C}$ 28.3), C-4' ($\delta_{\rm C}$ 209.2), C-5' ($\delta_{\rm C}$ 68.8), of H-2' ($\delta_{\rm H}$ 2.71) with C-1' ($\delta_{\rm C}$ 172.9), C-3' ($\delta_{\rm C}$ 33.2), C-4' ($\delta_{\rm C}$ 209.2) also suggested that the exist of a 5-hydroxy-4-oxoamylacyl group (-OC(O)-CH₂CH₂-C(O)CH₂OH)¹³. The HMBC of H-8 ($\delta_{\rm H}$ 4.32) with C-1' ($\delta_{\rm C}$ 172.9) indicated that the 5-hydroxy-4-oxoamylacyl group located at C-8. Thus, the structure of 1 was established as 3,4-dihydroxyphenethyl-5-hydroxy-4-oxopentanoate.

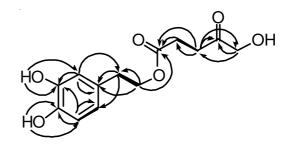


Fig. 2. Selected HMBC () ¹H-¹H COSY (-) correlations of 1

Since certain phenylethanoids exhibit potential anti HIV-1 activity $^{14-16}$, Compounds **1-6** were tested for their anti HIV-1 activity 17 . The cytotoxicity assay against C8166 cells (CC50) and anti HIV-1 activity were evaluated by the inhibition assay for the cytopathic effects of HIV-1 (EC50), using azidothymidine (AZT) as a positive control (EC50 = 0.034 g/mL and CC50 > 200 g/mL). The results are shown in Table-2. The results show that compounds **1** and **3** showed obvious anti HIV-1 activities with therapeutic index (TI) values above 50, respectively.

| TABLE-1 ¹ H NMR AND ¹³ C NMR DATA OF COMPOUND 1 (OBTAINED IN C_5D_5N) | | | | | | |
|---|-------------------------------|-----------------------------------|---------|--------------------------|-----------------------------------|--|
| No. | $\delta_{\mathbb{C}}$ (mult.) | $\delta_{\rm H}$ (mult, J , Hz) | No. | $\delta_{\rm C}$ (mult.) | $\delta_{\rm H}$ (mult, J , Hz) | |
| 1 | 130.1 s | - | 1' | 172.9 s | - | |
| 2 | 115.3 d | 7.11, d, <i>J</i> =1.8 | 2' | 28.3 t | 2.71, t, $J = 6.4$ | |
| 3 | 148.5 s | _ | 3' | 33.2 t | 2.87, t, $J = 6.4$ | |
| 4 | 146.3 s | _ | 4' | 209.2 s | _ | |
| 5 | 118.0 d | 7.31, d, $J = 7.8$ | 5' | 68.8 t | 4.52, s | |
| 6 | 120.8 d | 6.77, dd, $J = 1.8$, 7.8 | Ar-OH-4 | _ | 11.25 | |
| 7 | 34.5 t | 2.83, t, $J = 7.1$ | Ar-OH-3 | _ | 10.86 | |
| 8 | 65.8 t | 4.32, t, $J = 7.1$ | _ | _ | _ | |

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| TABLE-2 | | | | | | |
|--|----------------------|-------------------|--------|--|--|--|
| ANTI HIV ACTIVITIES OF COMPOUNDS 1-6 | | | | | | |
| Compounds | $CC_{50} (\mu g/mL)$ | EC_{50} (µg/mL) | TI^a | | | |
| 1 | $>200 \pm 4.8$ | 2.26 ± 0.11 | >88.5 | | | |
| 2 | 132.5 ± 3.6 | 3.05 ± 0.12 | 43.4 | | | |
| 3 | $>200 \pm 5.1$ | 2.58 ± 0.17 | >77.5 | | | |
| 4 | 115.0 ± 4.5 | 6.31 ± 0.25 | 18.2 | | | |
| 5 | 164.3 ± 4.2 | 8.11 ± 0.24 | 20.3 | | | |
| 6 | 102.7 ± 3.8 | 2.96 ± 1.8 | 34.7 | | | |
| AZT | $>200 \pm 4.0$ | 0.034 ± 0.02 | >5881 | | | |
| $^{a}TI = Therapeutic index EC_{50}/CC_{50}$, n = 3 for all groups. | | | | | | |

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